

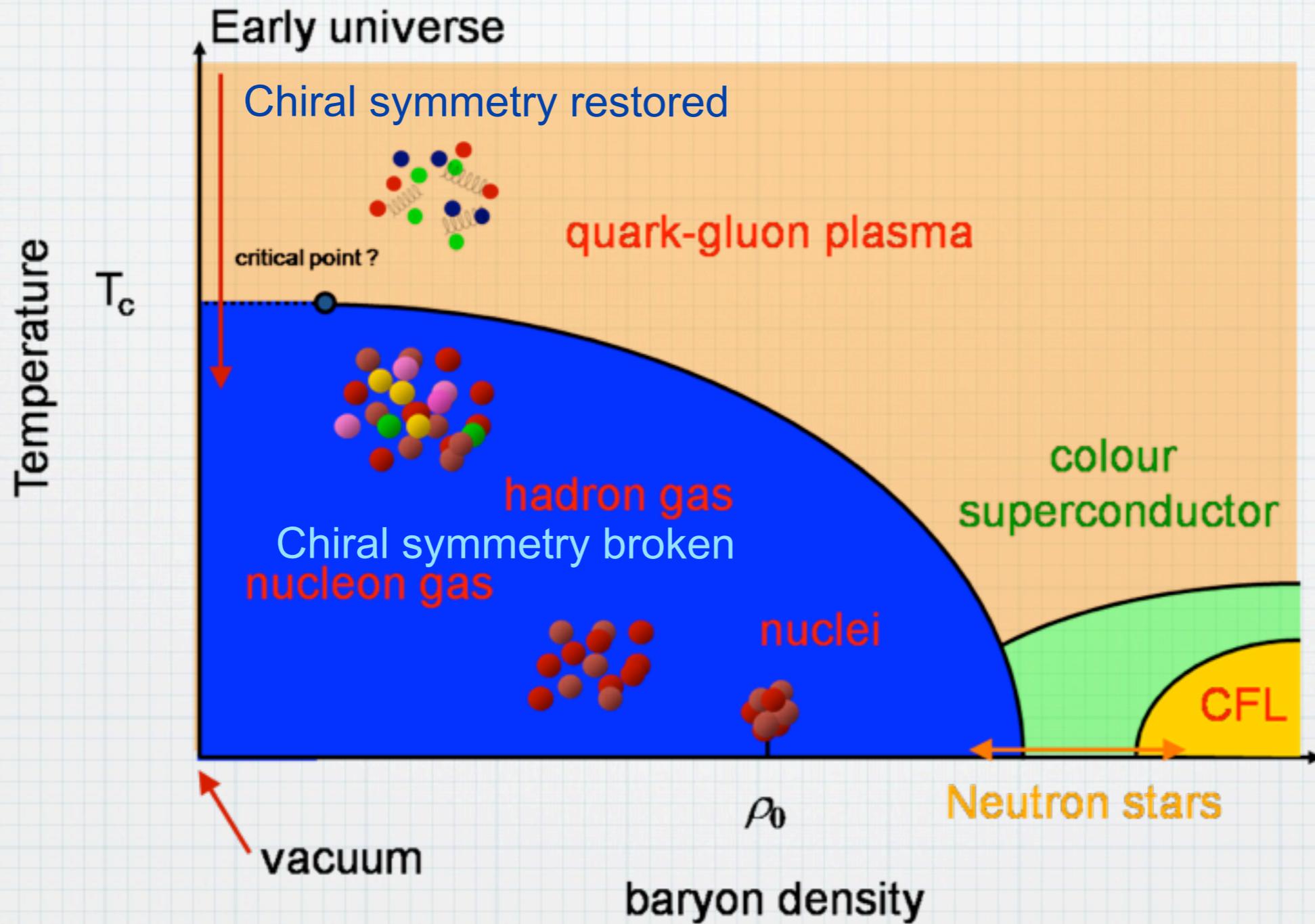
Phase diagram, fluctuations, thermodynamics and hadron chemistry

Observables and concepts

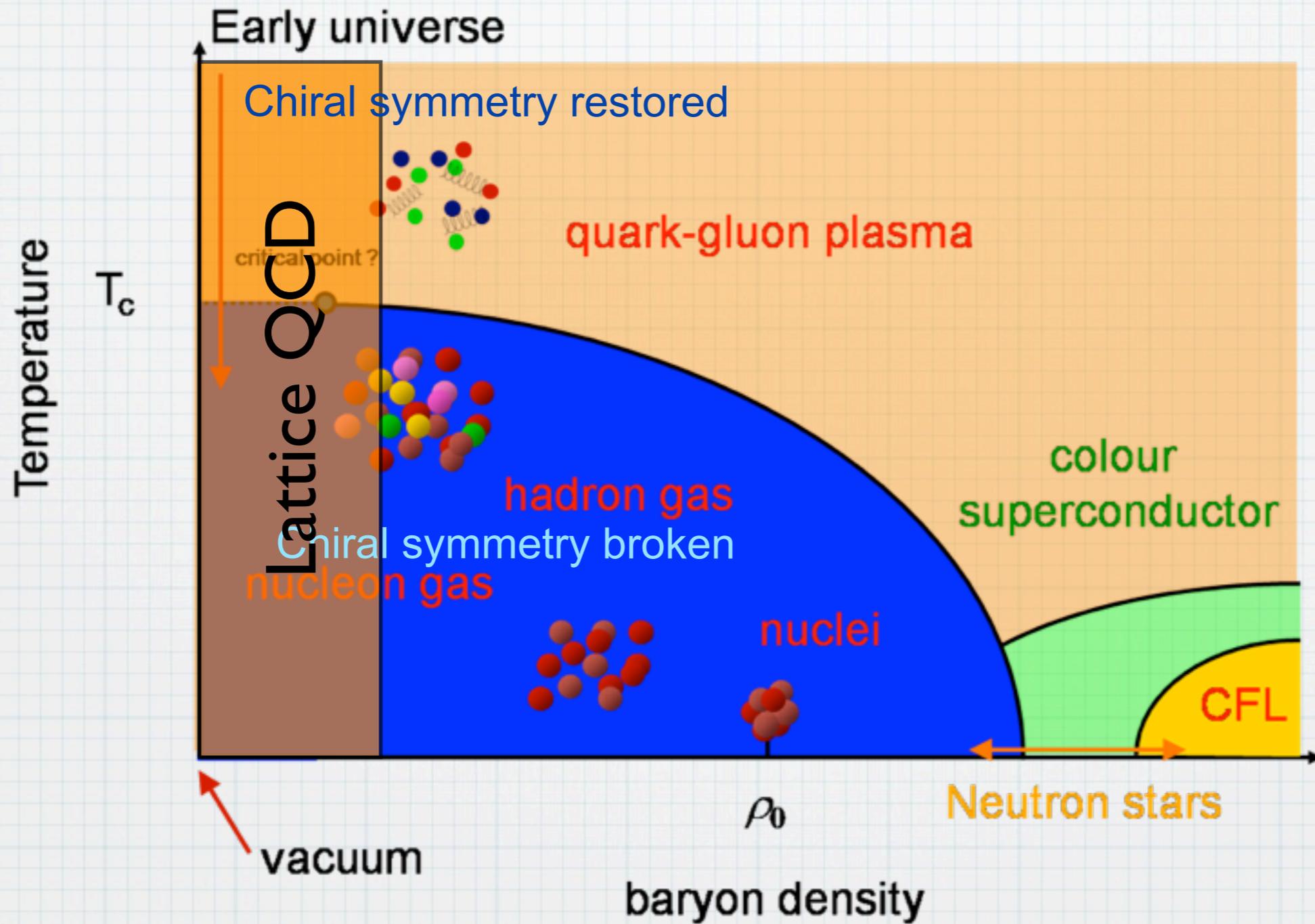
Claudia Ratti

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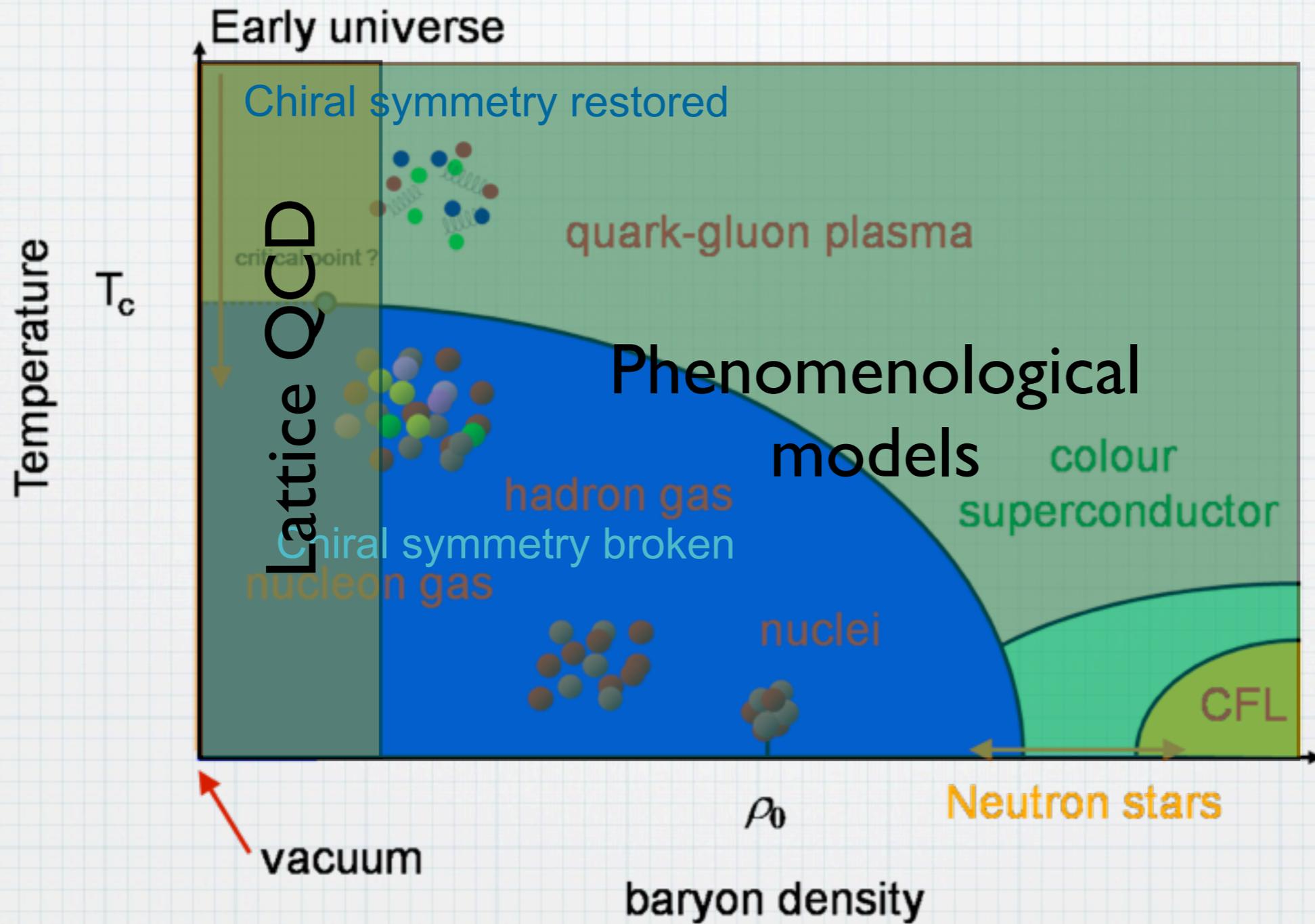
QCD Phase Diagram



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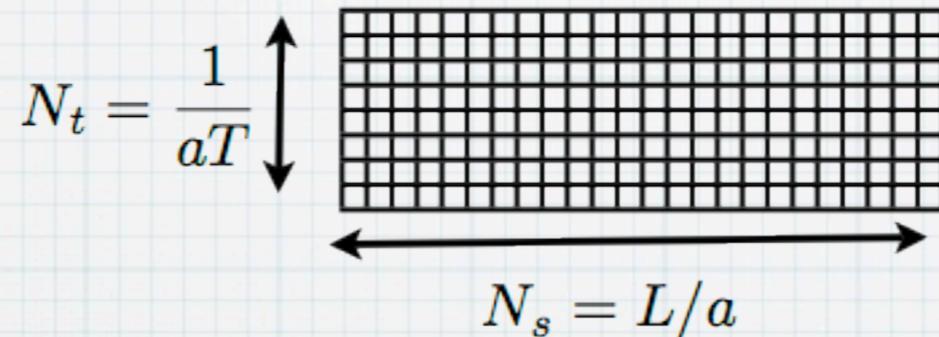


QCD Phase Diagram



Lattice QCD

- * Analytic or perturbative solutions **in low-energy QCD** are hard or impossible due to the **highly nonlinear nature** of the strong force
- * Lattice QCD: well-established **non-perturbative approach** to solving QCD
- * Solving QCD on a grid of points in space and time
- * The lattice action is the parameterization used to discretize the Lagrangian of QCD on a space-time grid



- * From the partition function Z , knowledge of all the thermodynamics

$$F = -T \ln Z ,$$
$$p = \frac{\partial(T \ln Z)}{\partial V} ,$$
$$S = \frac{\partial(T \ln Z)}{\partial T} ,$$

$$\bar{N}_i = \frac{\partial(T \ln Z)}{\partial \mu_i} ,$$
$$E = -pV + TS + \mu_i \bar{N}_i$$

Sign problem

* The QCD path integral is computed by **Monte Carlo algorithms** which samples field configurations with a weight proportional to the **exponential of the action**

$$Z(\mu_B, T) = \text{Tr} \left(e^{-\frac{H_{\text{QCD}} - \mu_B N_B}{T}} \right) = \int \mathcal{D}U e^{-S_G[U]} \det M[U, \mu_B]$$

$\det M[\mu_B]$ **complex** \implies **Monte Carlo simulations are not feasible.**

* If the action is **complex**, its exponential is **oscillating**: it cannot be used as a probability

* This is the reason why lattice QCD simulations cannot presently be performed at finite chemical potential

* Possible solutions:

- ➔ Taylor expansion around $\mu_B=0$
- ➔ Imaginary chemical potential
- ➔ Reweighting technique

All valid at small chemical potentials

Phase transitions and order parameters

- * We want to study the transition **from hadrons to the QGP**: **deconfinement** and **chiral symmetry restoration**
- * A **phase transition** is the transformation of a thermodynamic system from one phase or state of matter to another
- * During a phase transition of a given medium **certain properties of the medium change**, often discontinuously, as a result of some **external conditions**
- * The measurement of the external conditions at which the transformation occurs is called the **phase transition point**
- * **Order parameter**: some observable physical quantity that is able to **distinguish between two distinct phases**
- * We need to find observables which allow us to **distinguish** between **confined/deconfined** system and between **chirally broken/restored** phase

Polyakov loop: deconfinement

* We consider a system of gluons in which we put a heavy quark-antiquark pair as a probe

$$\langle \Phi \rangle \sim e^{-F/T}$$

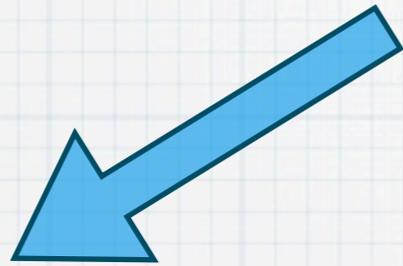
→ How much energy F is needed to extract the heavy quark from the system?

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Confined system
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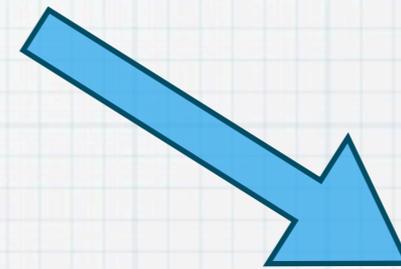
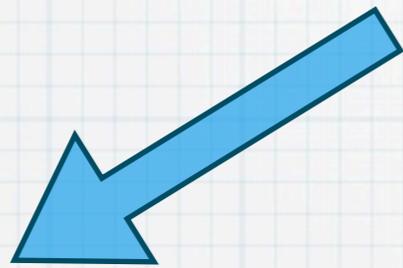
$$\langle \Phi \rangle = 0$$

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Deconfined system
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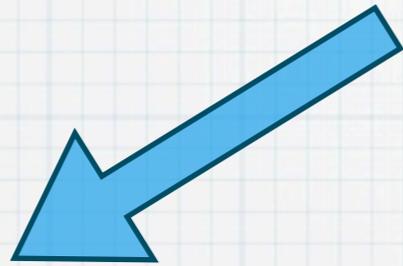
$$\langle \Phi \rangle \rightarrow 1$$

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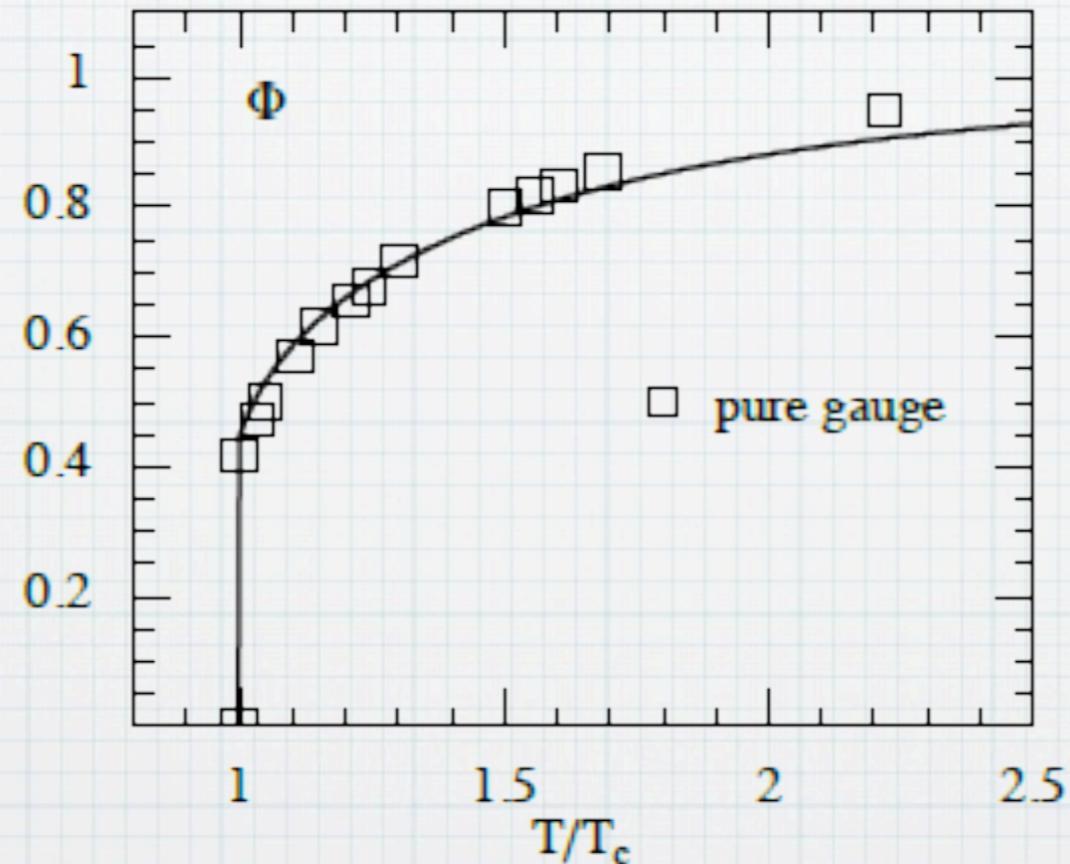
Polyakov loop: order parameter for deconfinement

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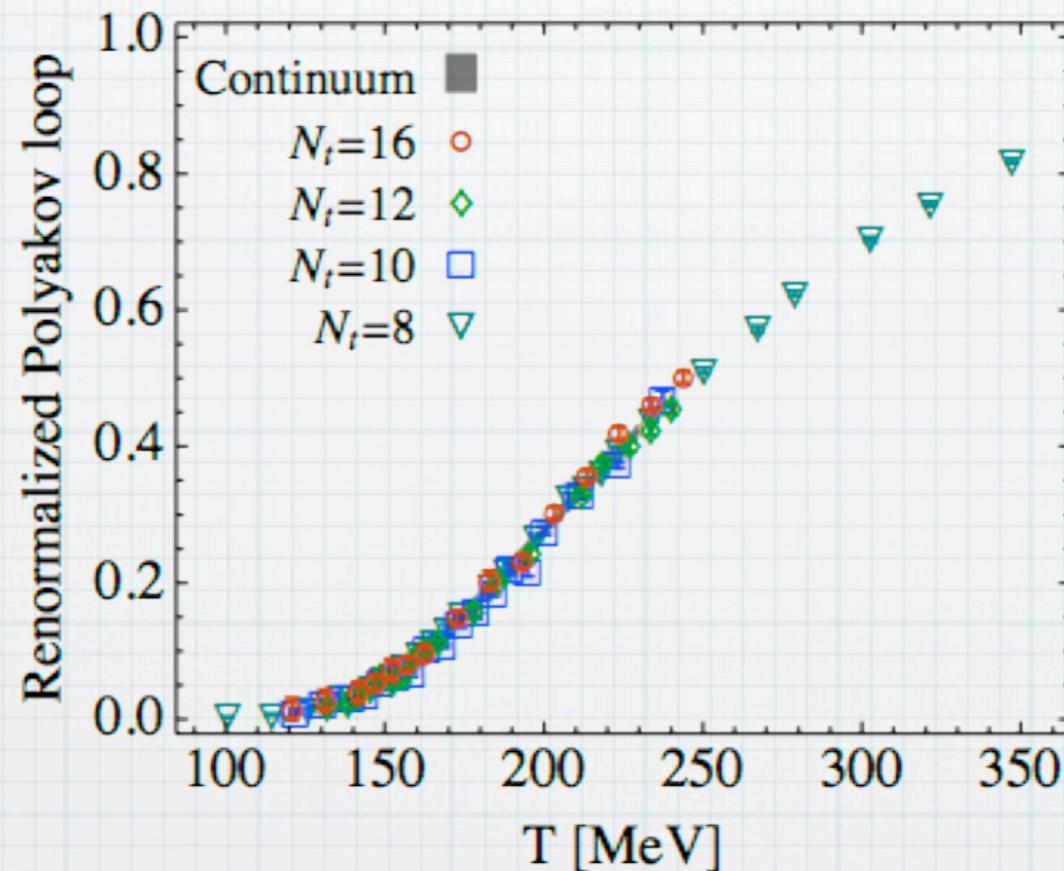
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For QCD with physical quark masses the transition is a smooth crossover

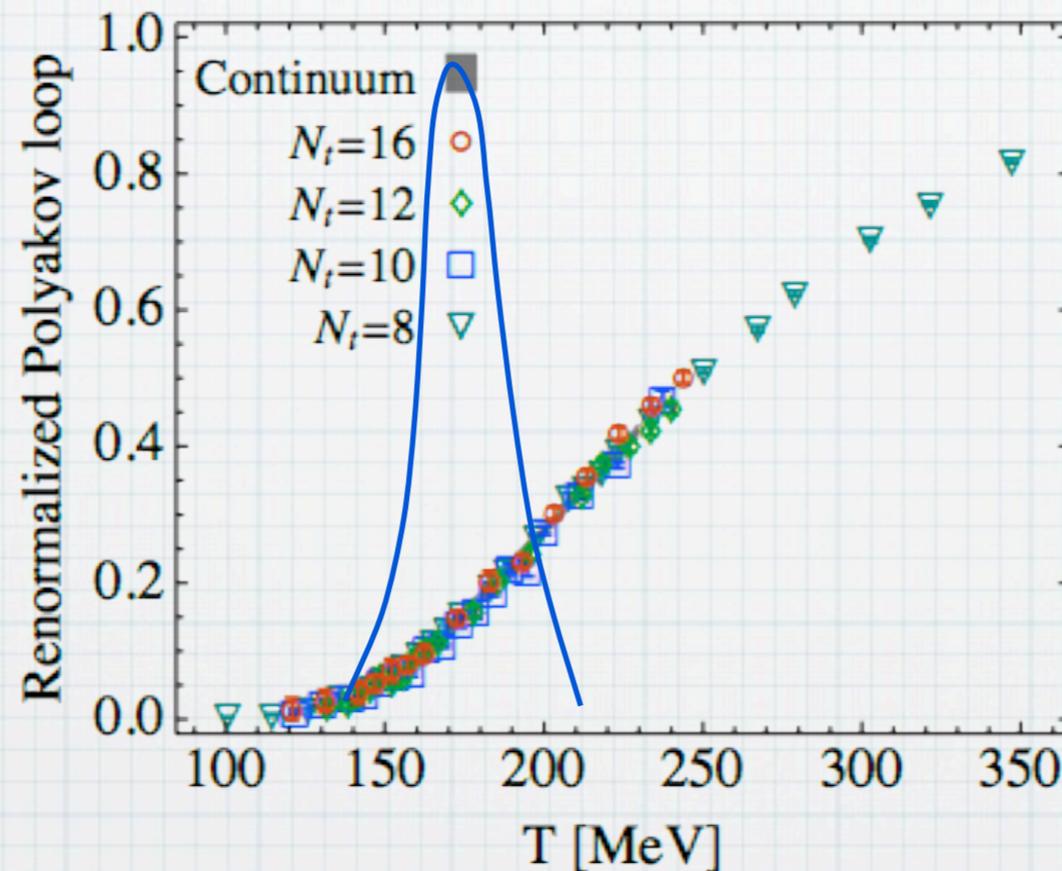
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Polyakov loop: order parameter for deconfinement

Chiral condensate: chiral transition

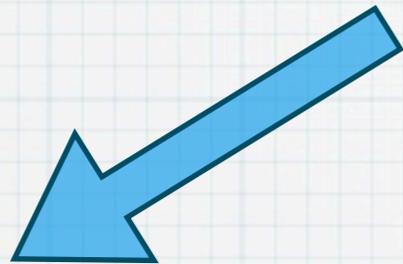
- * The chiral condensate $\langle \bar{\psi}\psi \rangle$ is the vacuum expectation value of the operator $\bar{\psi}\psi$.
- * The magnitude of the constituent quark mass is proportional to it
 - Even if the “bare” quark mass in the QCD Lagrangian is small, they develop a constituent one, through interaction with the chiral condensate

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Chirally broken system
Large effective quark
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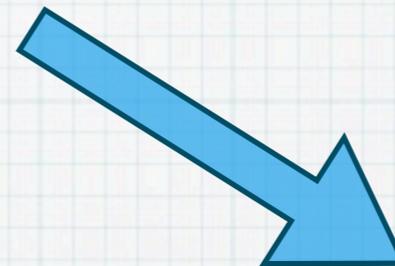
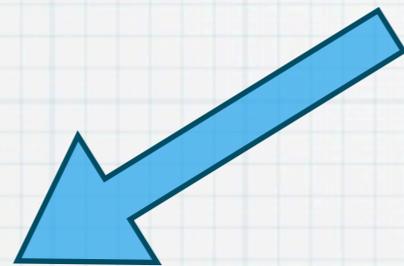
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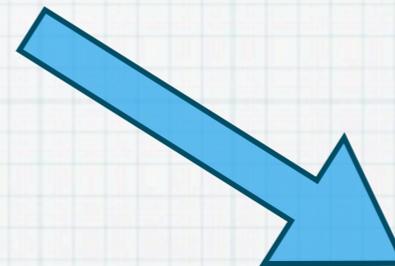
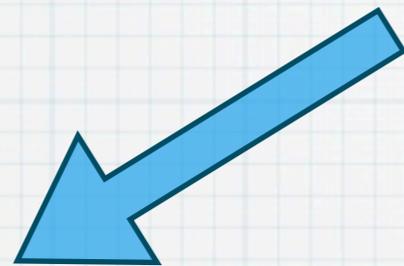
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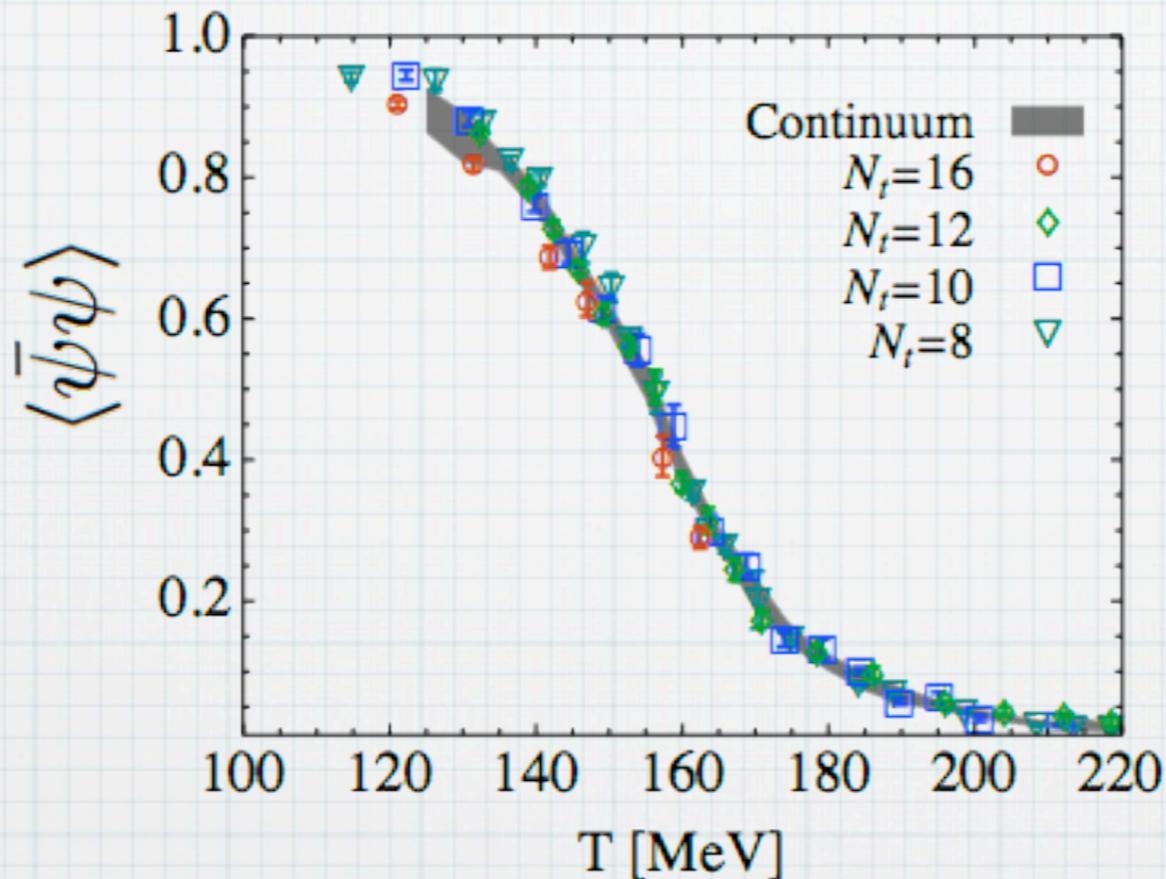
**Chiral condensate: order parameter for
chiral phase transition**

Chiral condensate: chiral transition

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For QCD with physical quark masses the transition is a smooth crossover

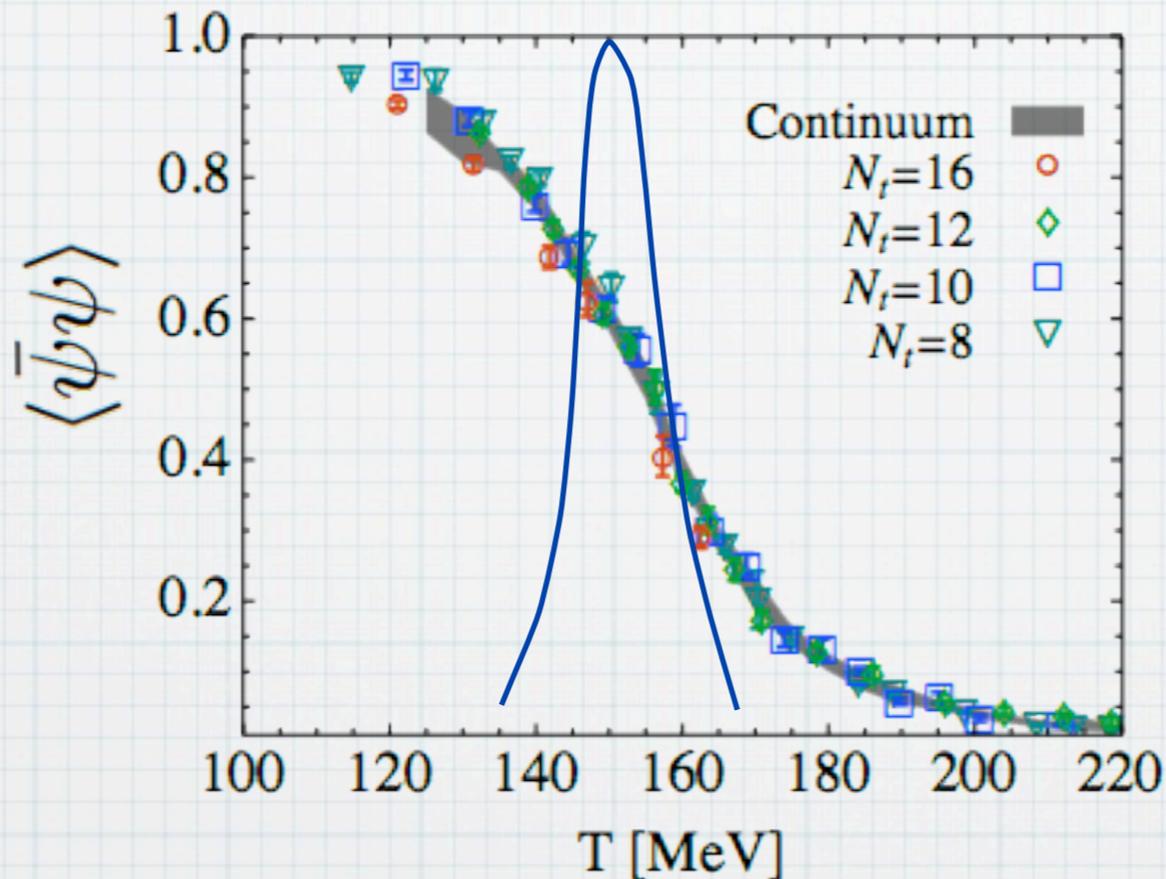
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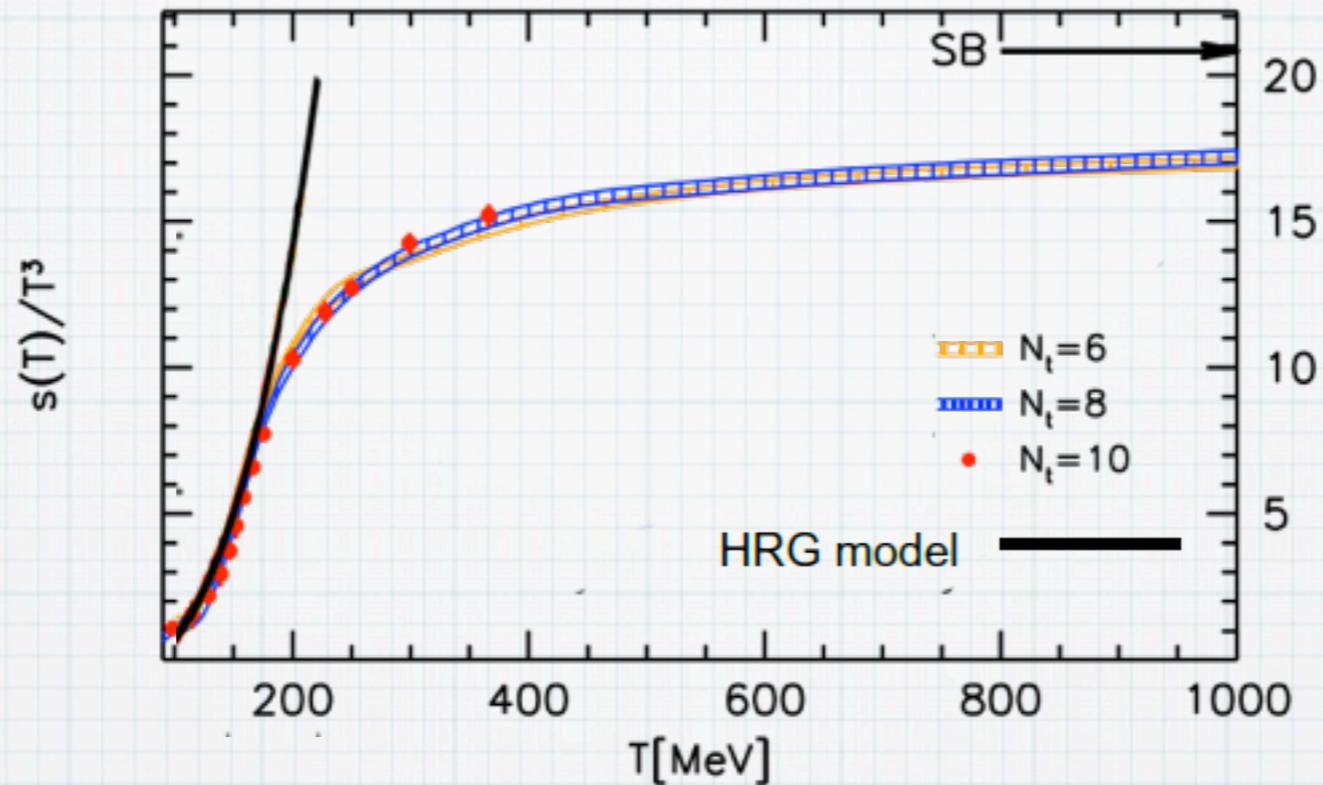
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Chiral condensate: order parameter for chiral phase transition

Transition from QCD Thermodynamics



* s/T^3 indicates the number of particle species

* Rapid rise = liberation of degrees of freedom

* Compare to an ideal gas of quarks and gluons

$$s = \frac{4g}{\pi^2} T^3$$

* This gives us an idea of how strong is the interaction

What happens below T_c ?

* At low T and $\mu_B=0$, QCD thermodynamics is dominated by **pions**

* as T increases, heavier hadrons start to contribute

* Their mutual interaction is suppressed:

$$n_i n_k \sim \exp[-(M_i + M_k)/T]$$

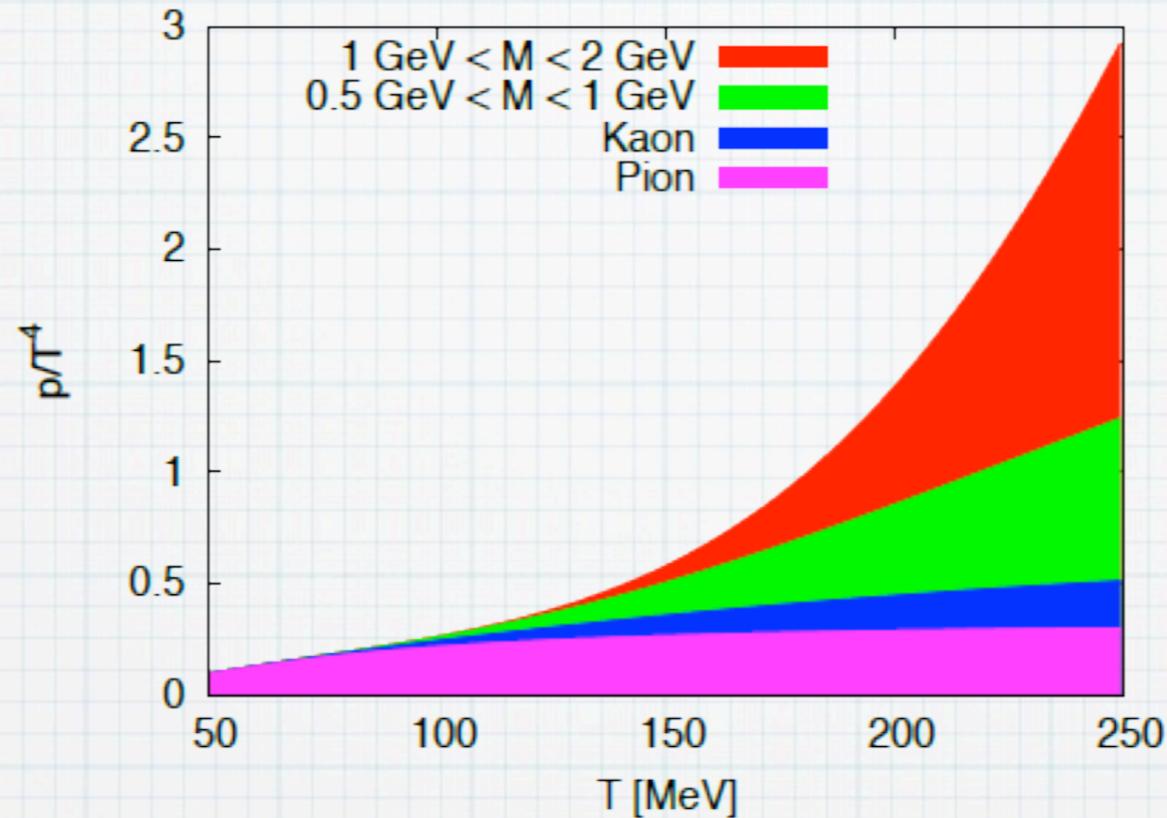
* **Interacting** hadronic matter in the ground state can be well approximated by a **non-interacting** gas of **hadronic resonances**

$$p^{HRG}/T^4 = \frac{1}{VT^3} \sum_{i \in \text{mesons}} \ln Z_{m_i}^M(T, V, \mu_{X^a}) + \frac{1}{VT^3} \sum_{i \in \text{baryons}} \ln Z_{m_i}^B(T, V, \mu_{X^a}),$$

with $\ln Z_{m_i}^{M/B} = \mp \frac{V d_i}{2\pi^2} \int_0^\infty dk k^2 \ln(1 \mp z_i e^{-\epsilon_i/T})$, $\epsilon_i = \sqrt{k^2 + m_i^2}$,

$z_i = \exp\left(\frac{\sum_a X_i^a \mu_{X^a}}{T}\right)$ and X^a are all conserved charges.

How many resonances do we include?

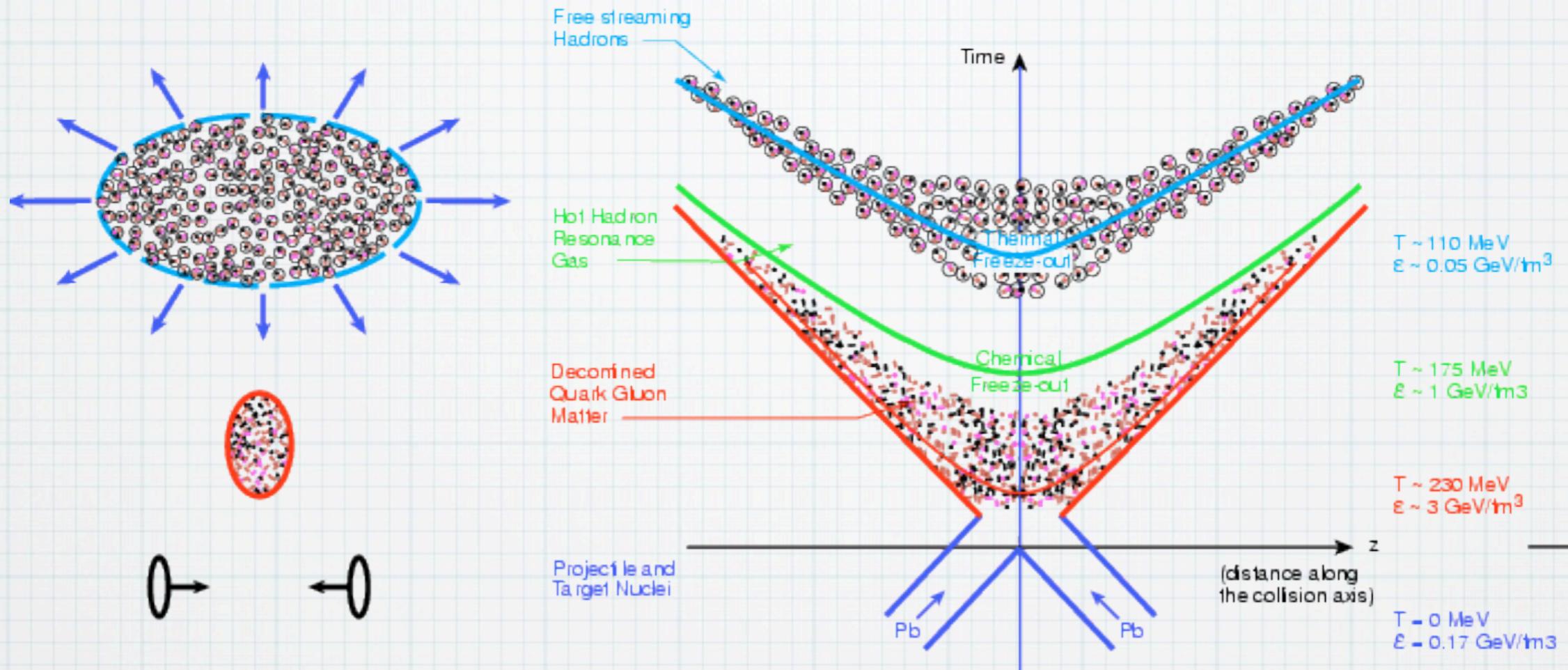


* With different mass cut-offs we can separate the contribution of different particles

* Known resonances up to $M=2.5$ GeV

* ~170 different masses \longleftrightarrow **1500 resonances**

Evolution of a heavy-ion collision

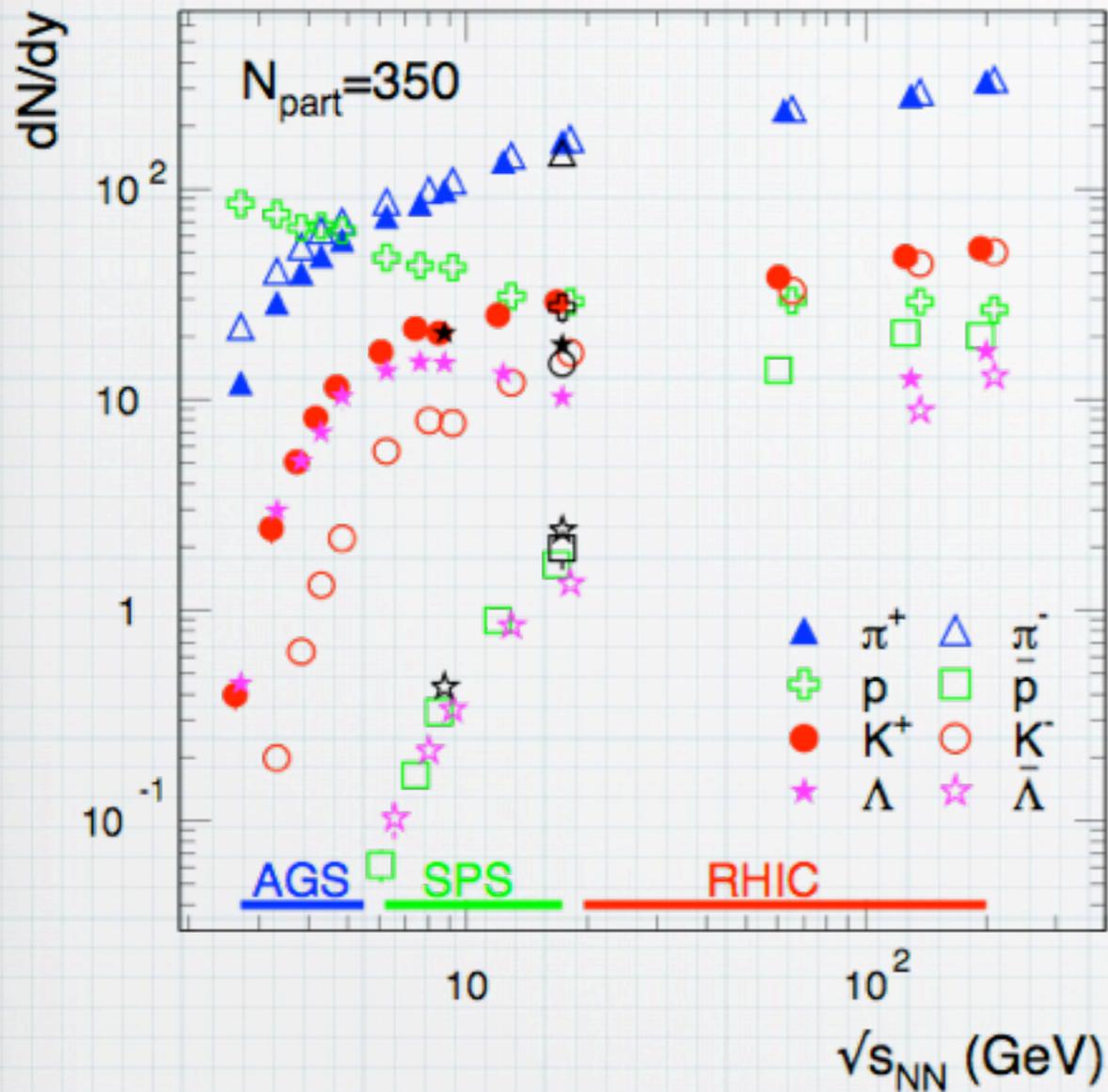


* **Chemical freeze-out:** inelastic reactions cease: the chemical composition of the system is fixed (particle yields and fluctuations)

* **Kinetic freeze-out:** elastic reactions cease: spectra and correlations are frozen (free streaming of hadrons)

* Hadrons reach the detector

Hadron yields



* $E=mc^2$: lots of particles are created

* Particle counting (average over many events)

* Take into account:

* detector inefficiency

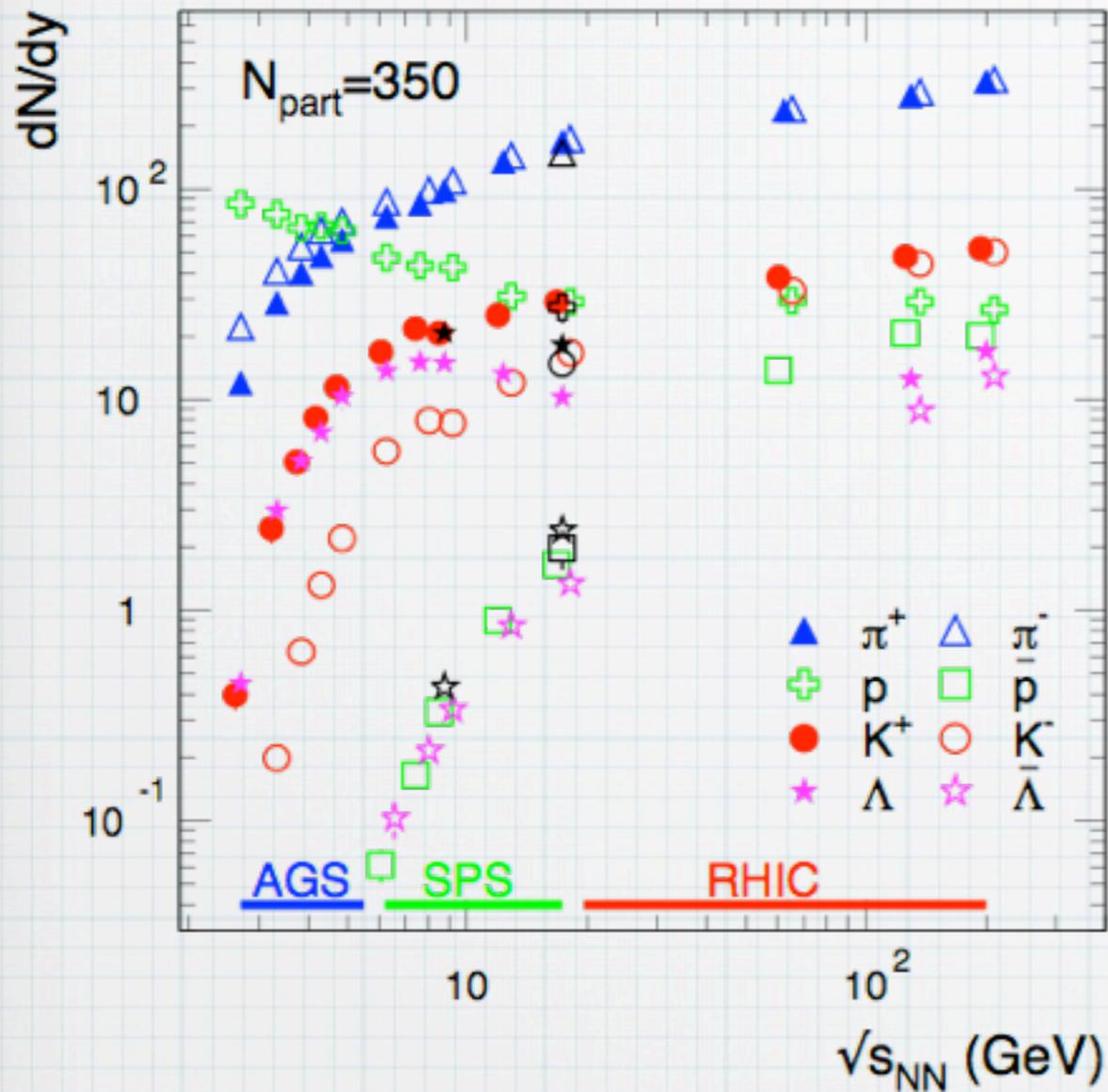
* missing particles at low p_T

* decays

* HRG model: test hypothesis of hadron abundancies in equilibrium

$$N_i = -T \frac{\partial \ln Z_i}{\partial \mu} = \frac{g_i V}{2\pi^2} \int_0^\infty \frac{p^2 dp}{\exp[(E_i - \mu_i)/T] \pm 1}$$

Hadron yields



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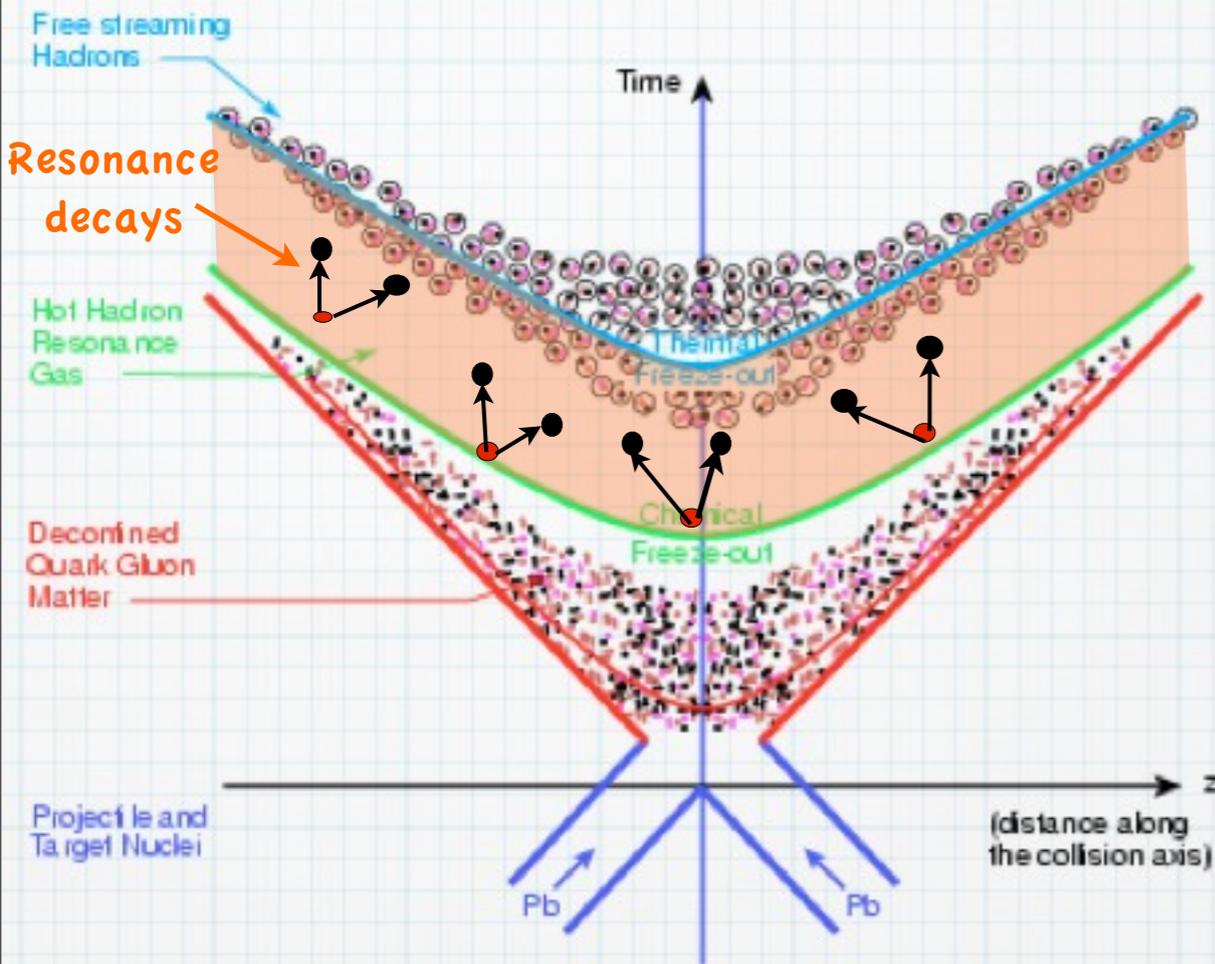
* HRG model: test hypothesis of hadron abundancies in equilibrium

* We need:

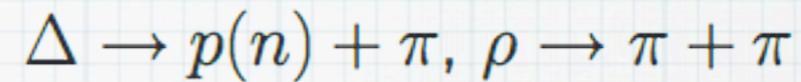
* a complete hadron spectrum

* control the hadron fraction from decays

Decays

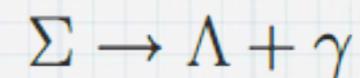


* All hadrons are subject to **strong and electromagnetic decays**

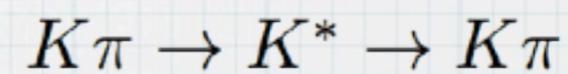
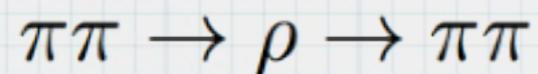


* e.g. pions: **1/4** primordial, **3/4** from strong decays

* Weak decays can be treated too:

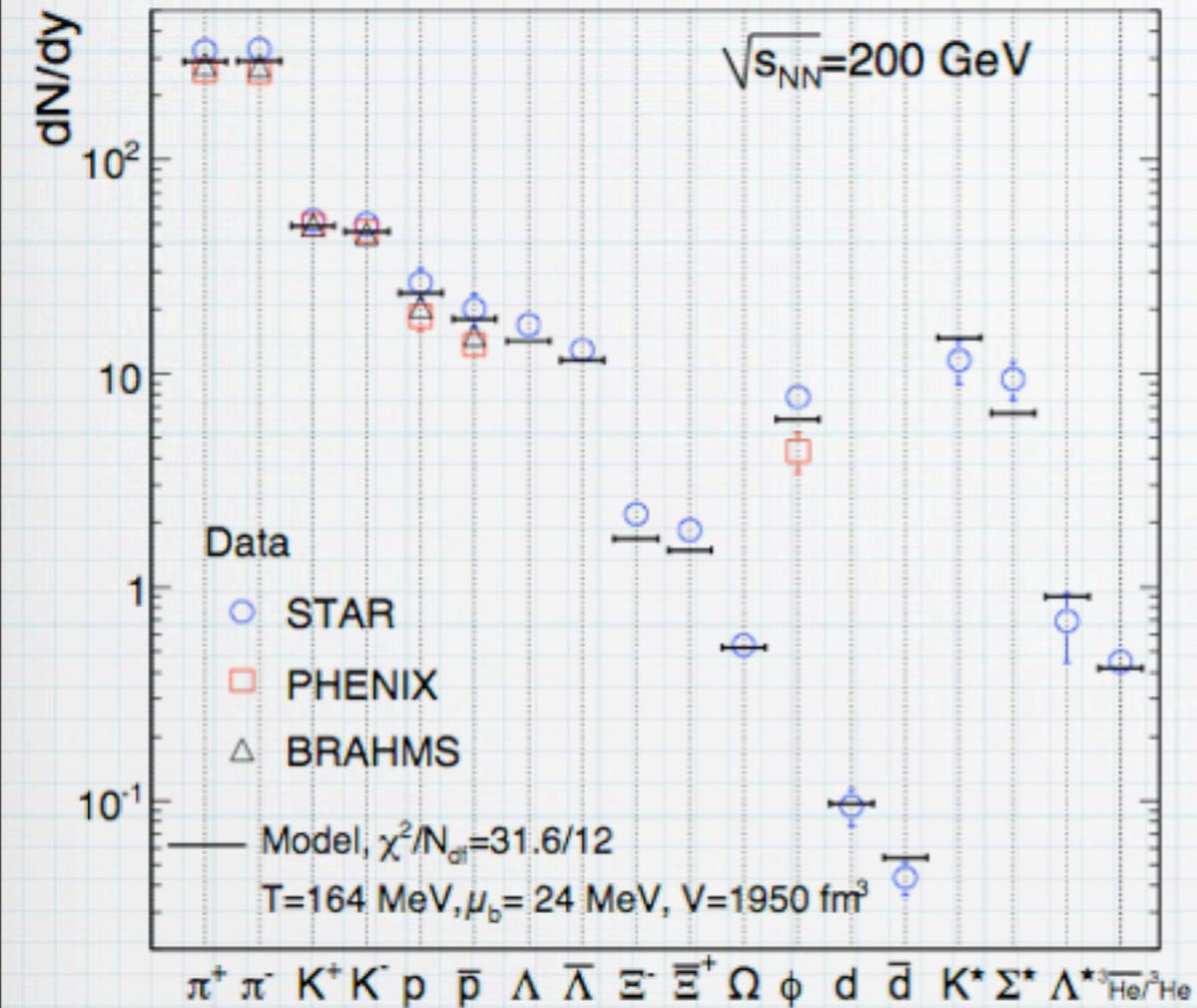


* **after chemical freeze-out:** only elastic and quasi-elastic scatterings take place:



$$\bar{N}_i = N_i + \sum_r d_{r \rightarrow i} N_r$$

The thermal fits

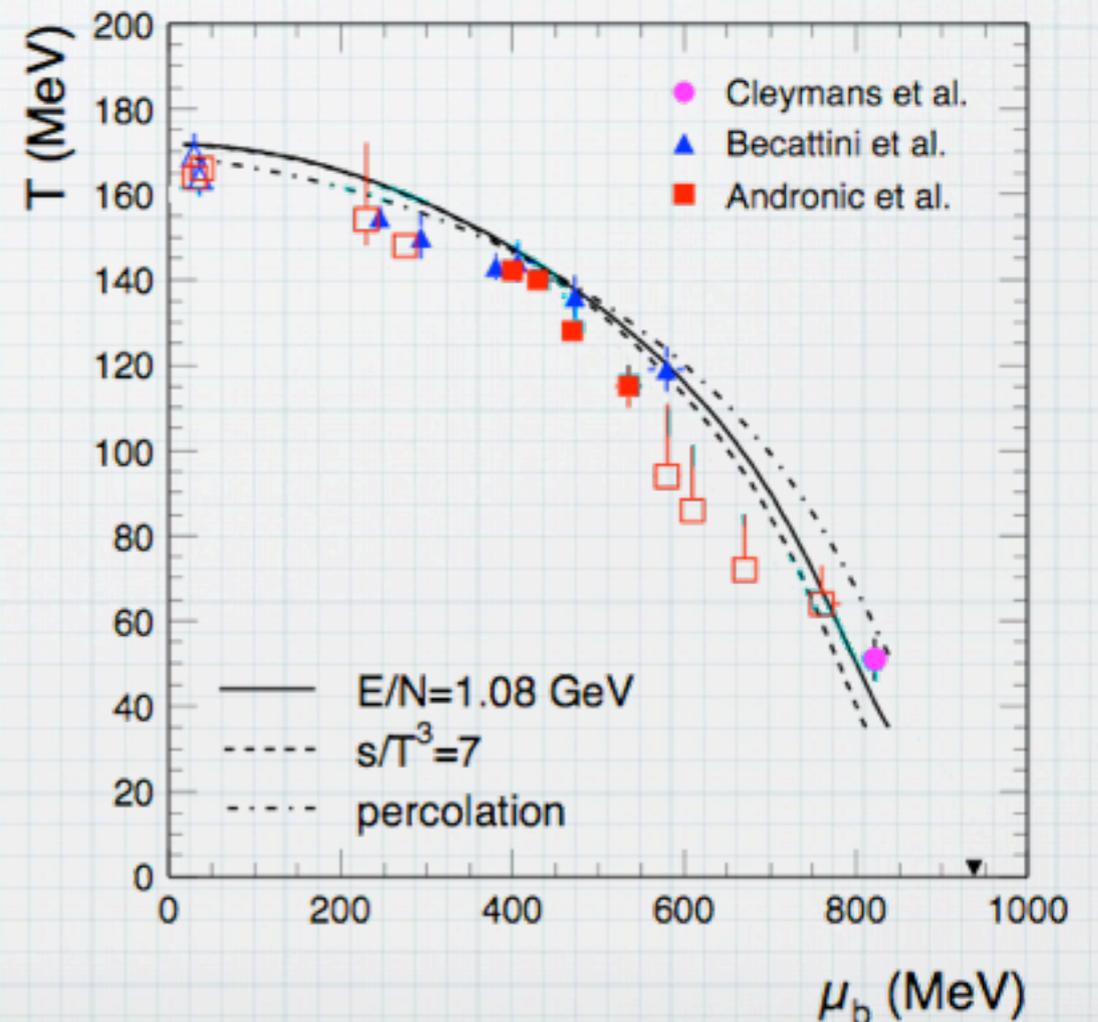


* Fit is performed minimizing the χ^2

* **Fit to yields:** parameters T, μ_B, V

* **Fit to ratios:** the volume V cancels out

* Changing the collision energy, it is possible to draw the freeze-out line in the T, μ_B plane



Caveats

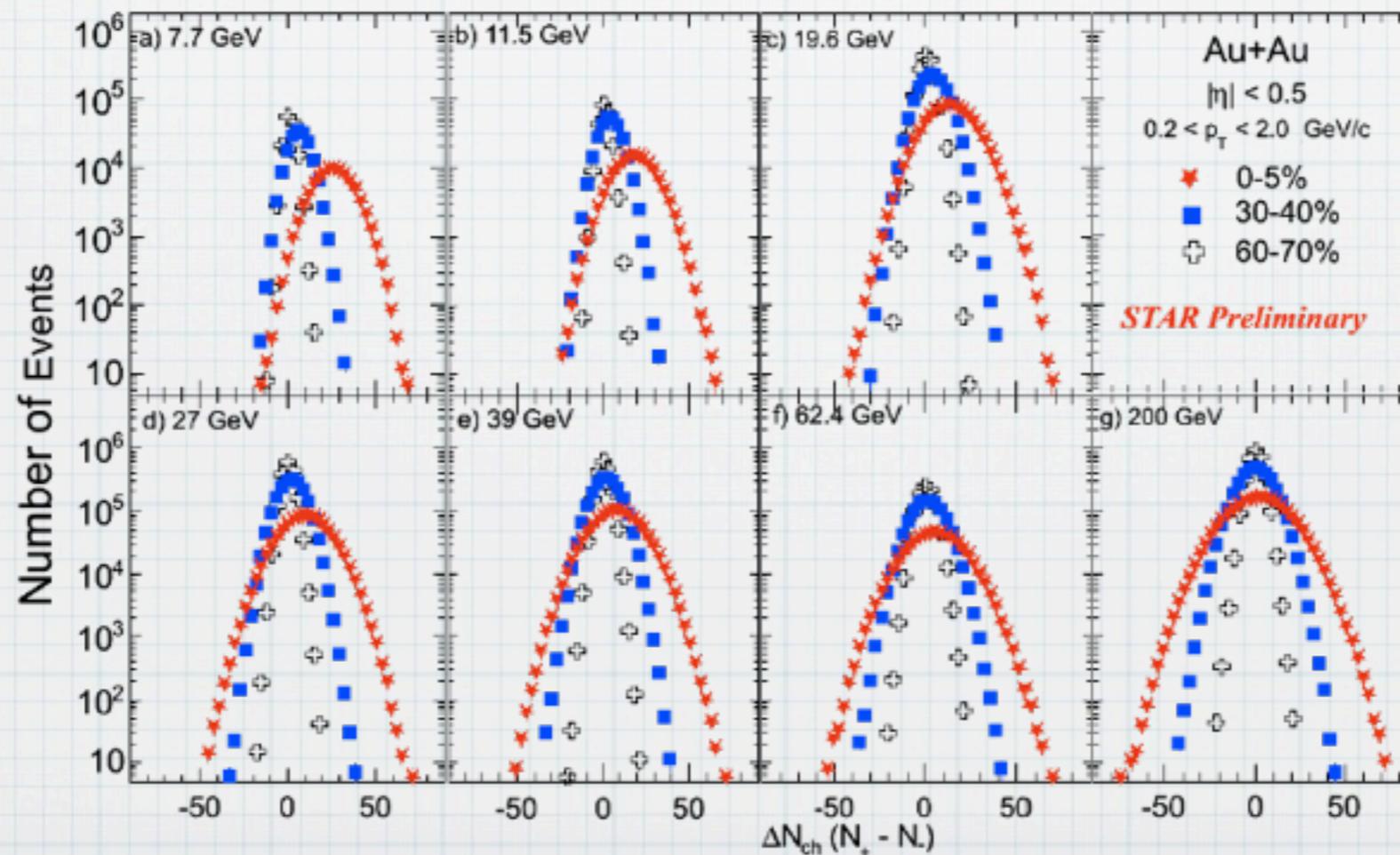
- * These results are model-dependent
 - * they depend on the **particle spectrum** which is included in the model
 - * possibility of having heavier states with **exponential mass spectrum**
 - * not known experimentally but can be postulated
 - * their decay modes are not known

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- * Purpose: extract freeze-out parameters **from first principles**
 - * direct comparison between **experimental measurement** and **lattice QCD results**
 - * observable: fluctuations of conserved charges (electric charge, baryon number and strangeness)
 - * directly related to moments of multiplicity distribution (measured)
 - * lattice QCD looks at conserved charges rather than identified particles

Fluctuations of conserved charges

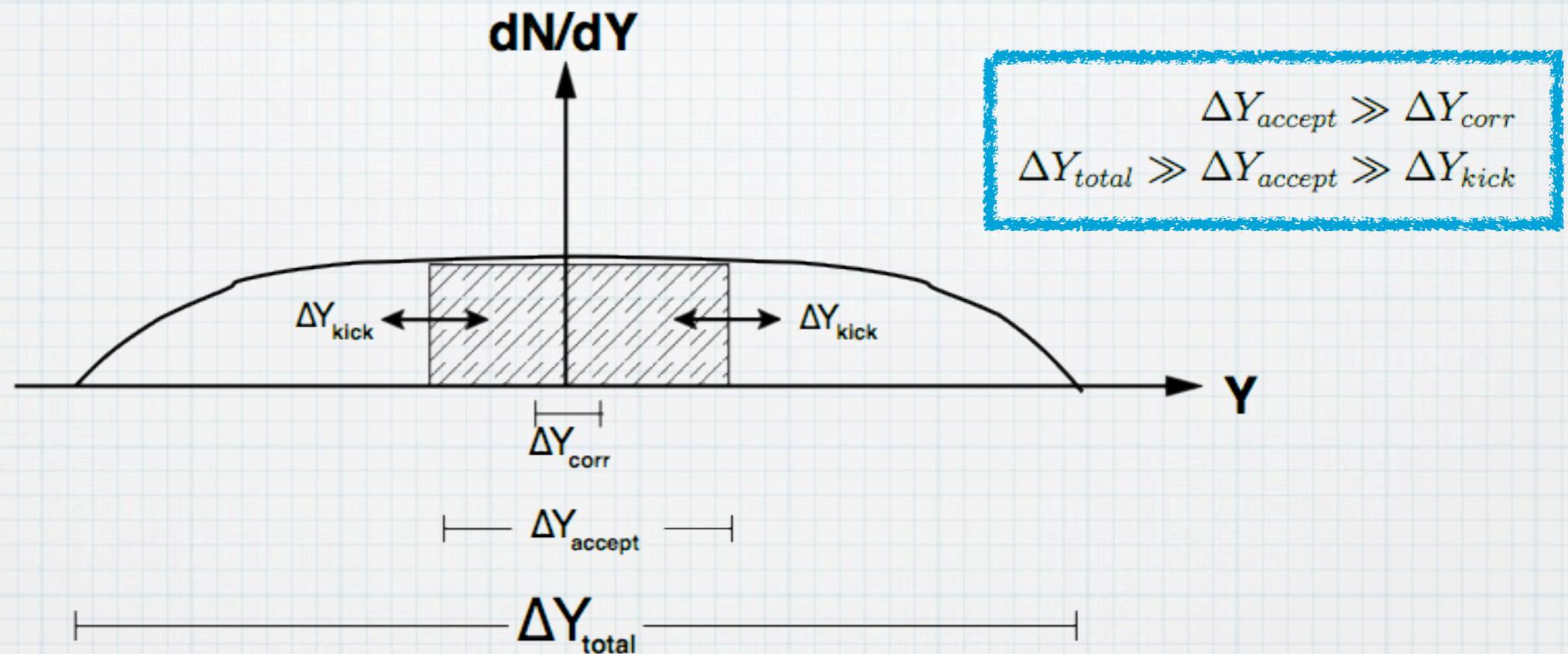
- * Consider the number of electrically charged particles N_Q
- * Its average value over the whole ensemble of events is $\langle N_Q \rangle$
- * In experiments it is possible to **measure its event-by-event distribution**



Fluctuations of conserved charges???

* If we look at the **entire system**, **none of the conserved charges will fluctuate**

* By studying a sufficiently **small subsystem**, the fluctuations of conserved quantities become meaningful



➔ ΔY_{total} : range for total charge multiplicity distribution

➔ ΔY_{accept} : interval for the accepted charged particles

➔ ΔY_{corr} : charge correlation length characteristic to the physics of interest

➔ ΔY_{kick} : rapidity shift that charges receive during and after hadronization

Cumulants of multiplicity distribution

* Deviation of N_Q from its mean in a single event: $\delta N_Q = N_Q - \langle N_Q \rangle$

* The cumulants of the event-by-event distribution of N_Q are:

$$K_2 = \langle (\delta N_Q)^2 \rangle$$

$$K_3 = \langle (\delta N_Q)^3 \rangle$$

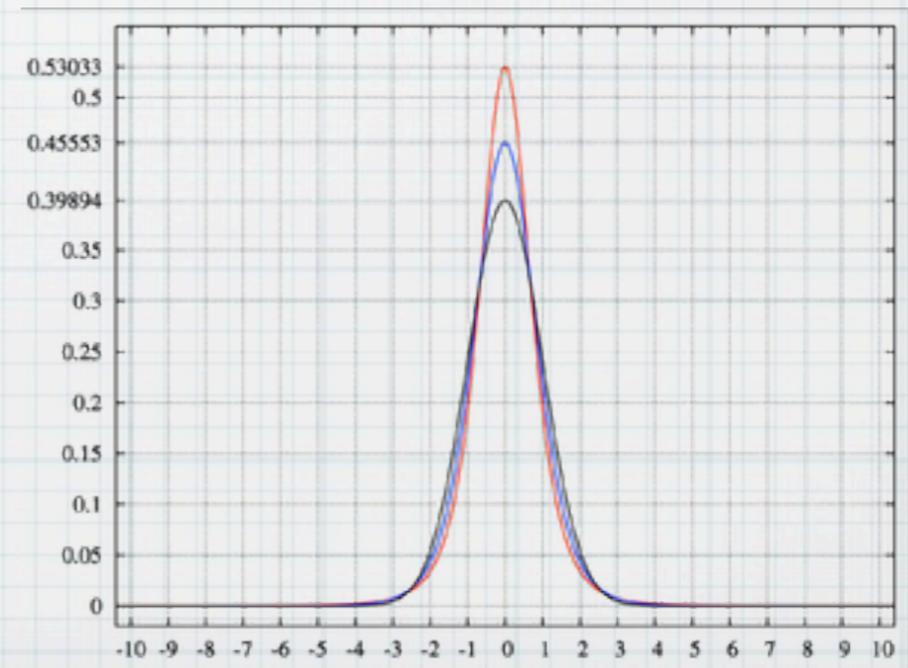
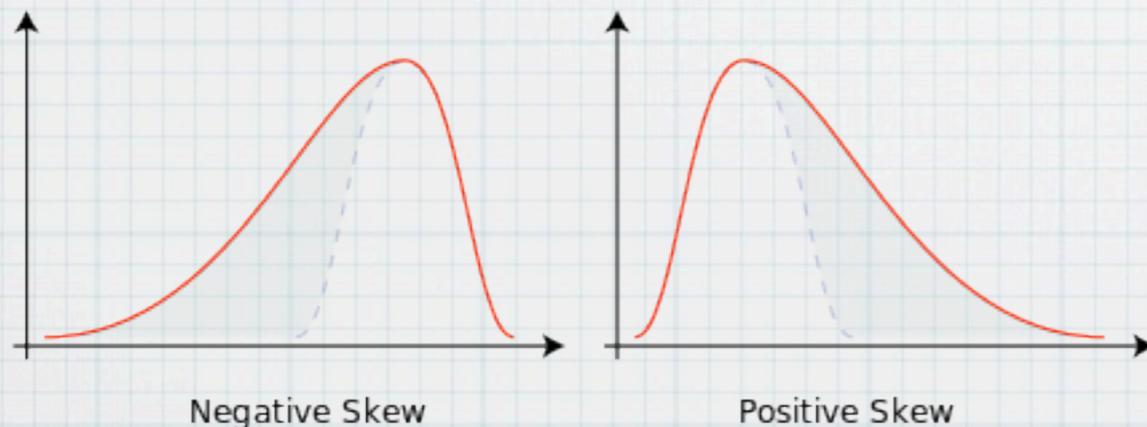
$$K_4 = \langle (\delta N_Q)^4 \rangle - 3 \langle (\delta N_Q)^2 \rangle^2$$

* The cumulants are related to the central moments of the distribution by:

variance: $\sigma^2 = K_2$

Skewness: $S = K_3 / (K_2)^{3/2}$

Kurtosis: $\kappa = K_4 / (K_2)^2$



Experimental measurement

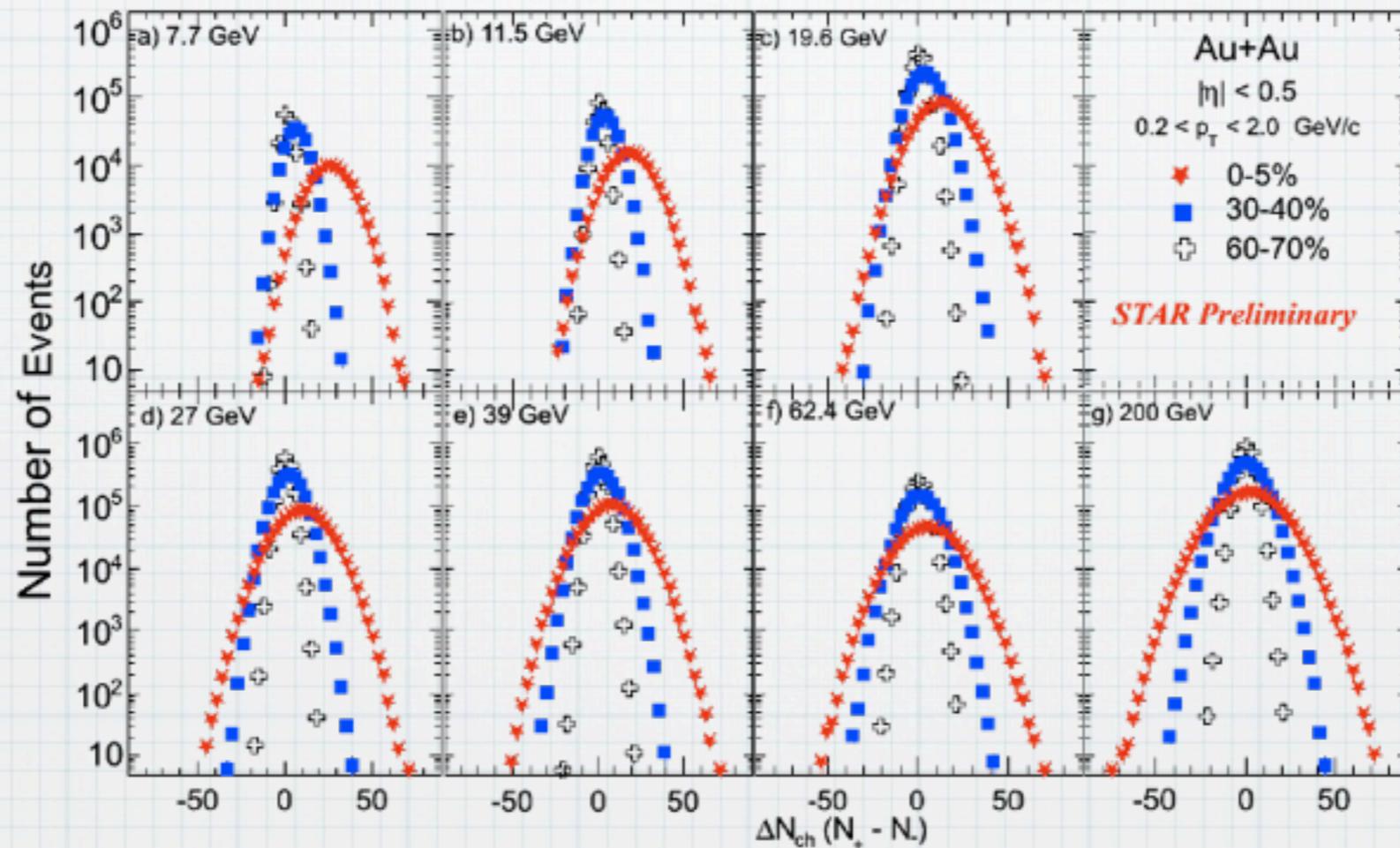
* Volume-independent ratios:

$$M/\sigma^2 = K_1/K_2$$

$$S\sigma = K_3/K_2$$

$$K\sigma^2 = K_4/K_2$$

$$S\sigma^3/M = K_3/K_1$$



Experimental measurement

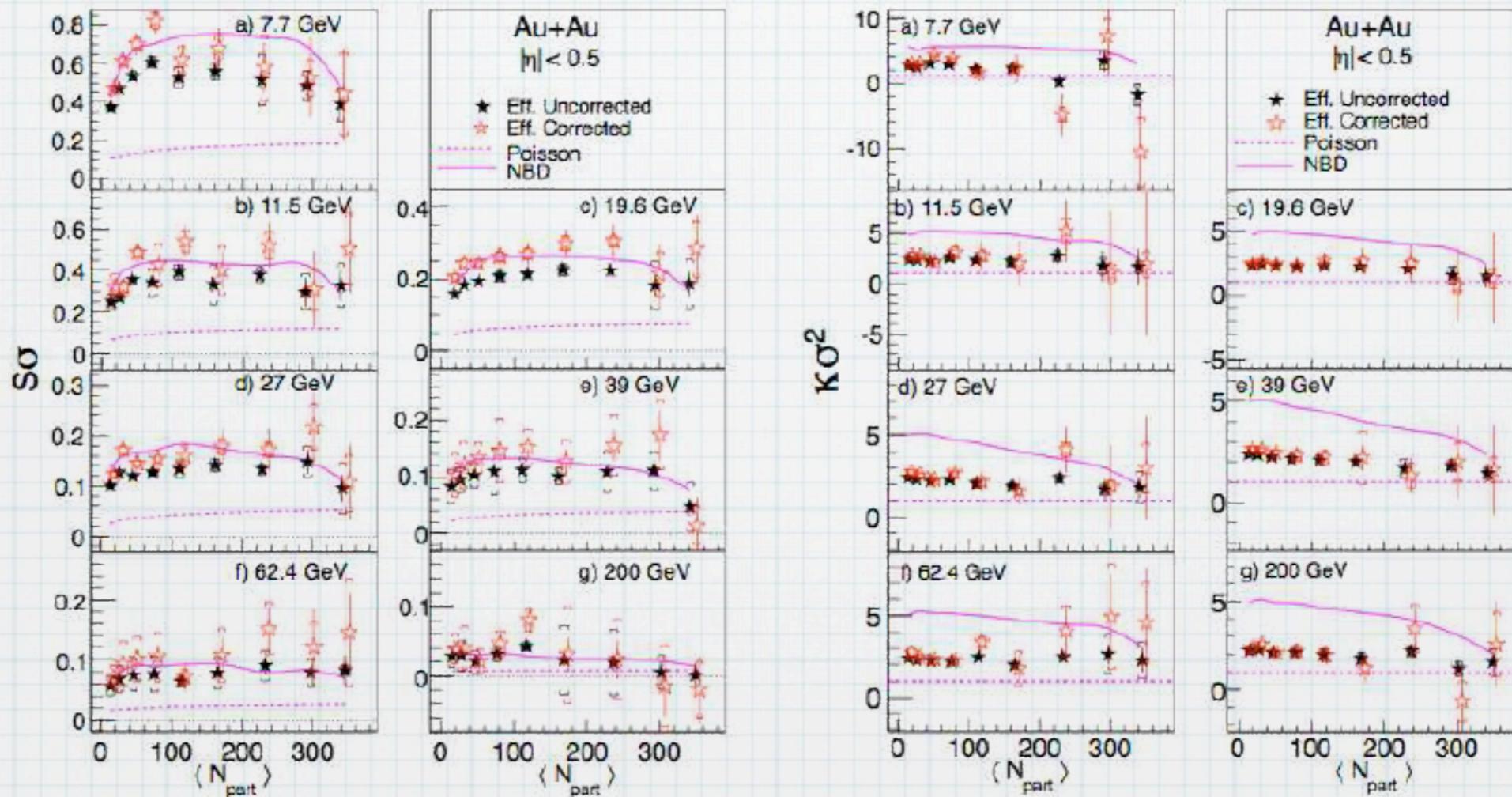
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Susceptibilities of conserved charges

* Susceptibilities of conserved charges

$$\chi_{lmn}^{BSQ} = \frac{\partial^{l+m+n} p / T^4}{\partial(\mu_B/T)^l \partial(\mu_S/T)^m \partial(\mu_Q/T)^n}$$

* Diagonal second-order susceptibilities measure the response of the **charge density** to an infinitesimal change in the **chemical potential**

$$\chi_2^X = \frac{\partial^2 p / T^4}{\partial(\mu_X/T)^2} = \frac{\partial}{\partial(\mu_X/T)} \left(n_X / T^3 \right)$$

➔ A **rapid increase** of these observables in a certain temperature range signals a **phase transition**

* **Non-diagonal** susceptibilities measure the **correlation** between different charges

$$\chi_{11}^{XY} = \frac{\partial^2 p / T^4}{\partial(\mu_X/T) \partial(\mu_Y/T)} = \frac{\partial}{\partial(\mu_Y/T)} \left(n_X / T^3 \right)$$

➔ Information about the **strength of the interaction**

Linking lattice QCD and experiment

* **Susceptibilities** of conserved charges are the **cumulants** of their event-by event distribution

$$\text{mean : } M = \chi_1$$

$$\text{variance : } \sigma^2 = \chi_2$$

$$\text{skewness : } S = \chi_3 / \chi_2^{3/2}$$

$$\text{kurtosis : } \kappa = \chi_4 / \chi_2^2$$

$$S\sigma = \chi_3 / \chi_2$$

$$\kappa\sigma^2 = \chi_4 / \chi_2$$

$$M/\sigma^2 = \chi_1 / \chi_2$$

$$S\sigma^3/M = \chi_3 / \chi_1$$

* Lattice QCD results are functions of **temperature** and **chemical potential**

➔ By comparing lattice results and experimental measurement we can **extract the freeze-out parameters from first principles**

Baryometer and thermometer

* Let us look at the Taylor expansion of R_{31}^B

$$R_{31}^B(T, \mu_B) = \frac{\chi_3^B(T, \mu_B)}{\chi_1^B(T, \mu_B)} = \frac{\chi_4^B(T, 0) + \chi_{31}^{BQ}(T, 0)q_1(T) + \chi_{31}^{BS}(T, 0)s_1(T)}{\chi_2^B(T, 0) + \chi_{11}^{BQ}(T, 0)q_1(T) + \chi_{11}^{BS}(T, 0)s_1(T)} + \mathcal{O}(\mu_B^2)$$

* To order μ_B^2 it is independent of μ_B : it can be used as a **thermometer**

* Let us look at the Taylor expansion of R_{12}^B

$$R_{12}^B(T, \mu_B) = \frac{\chi_1^B(T, \mu_B)}{\chi_2^B(T, \mu_B)} = \frac{\chi_2^B(T, 0) + \chi_{11}^{BQ}(T, 0)q_1(T) + \chi_{11}^{BS}(T, 0)s_1(T)}{\chi_2^B(T, 0)} \frac{\mu_B}{T} + \mathcal{O}(\mu_B^3)$$

* Once we extract T from R_{31}^B , we can use R_{12}^B to extract μ_B

Caveats

- * Effects due to volume variation because of finite centrality bin width
- * Finite reconstruction efficiency
- * Spallation protons
- * Canonical vs Grand Canonical ensemble
- * Proton multiplicity distributions vs baryon number fluctuations
- * Final-state interactions in the hadronic phase

Caveats

- * Effects due to volume variation because of finite centrality bin width

 - Experimentally corrected by centrality-bin-width correction method

- * Finite reconstruction efficiency

 - Experimentally corrected based on binomial distribution

- * Spallation protons

 - Experimentally removed with proper cuts in p_T

- * Canonical vs Gran Canonical ensemble

 - Experimental cuts in the kinematics and acceptance

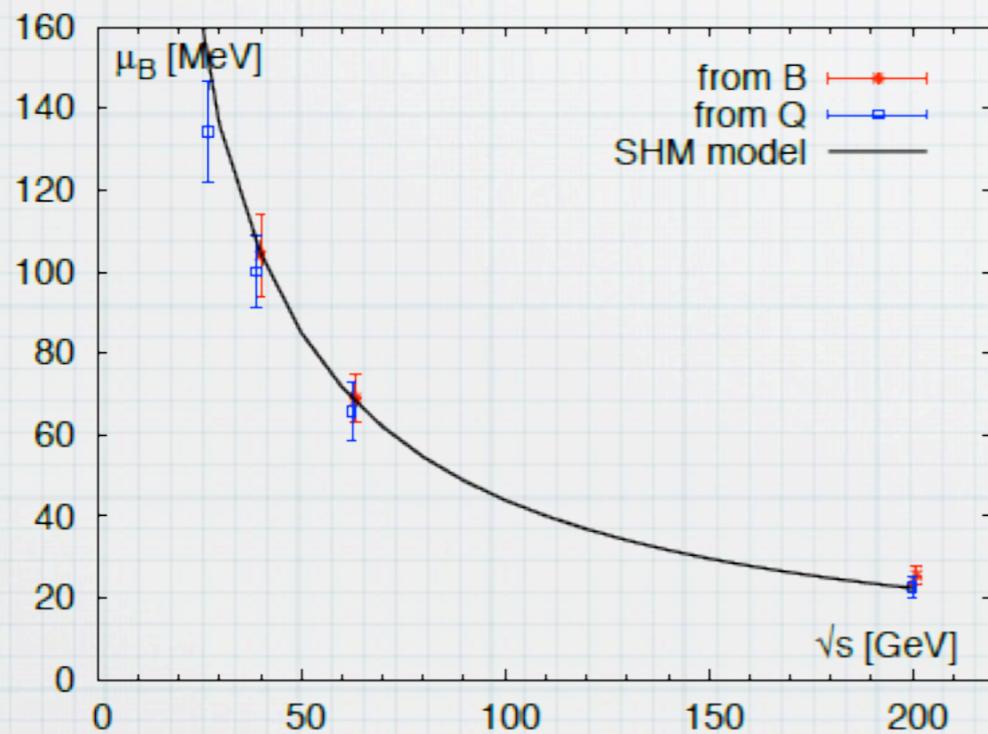
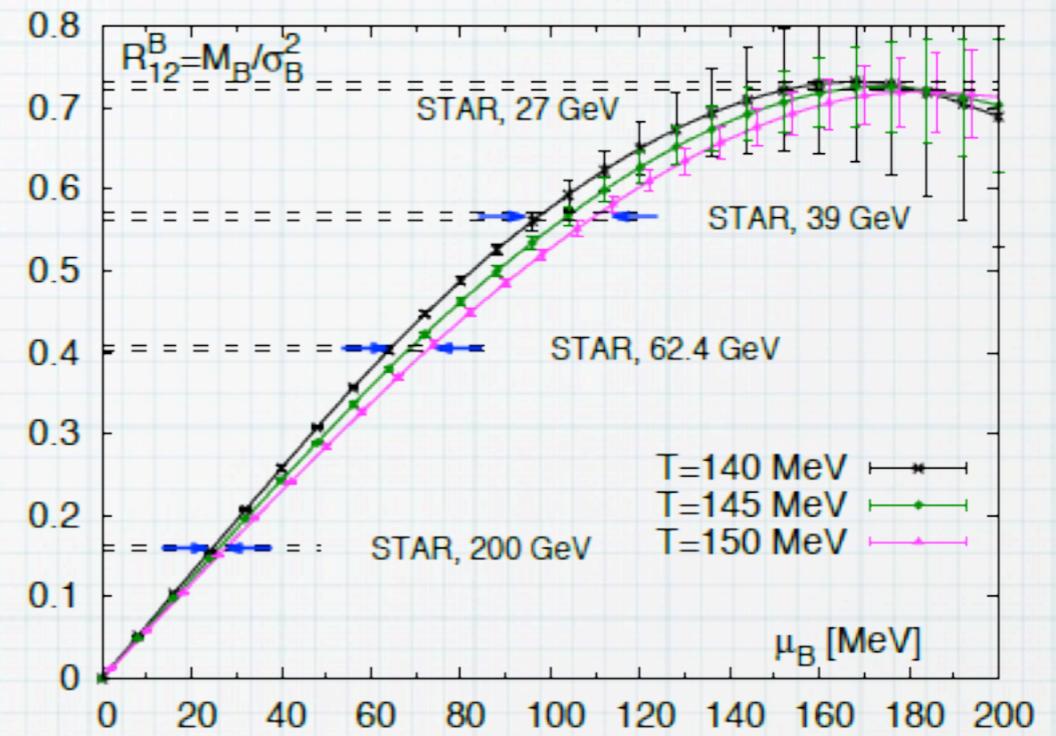
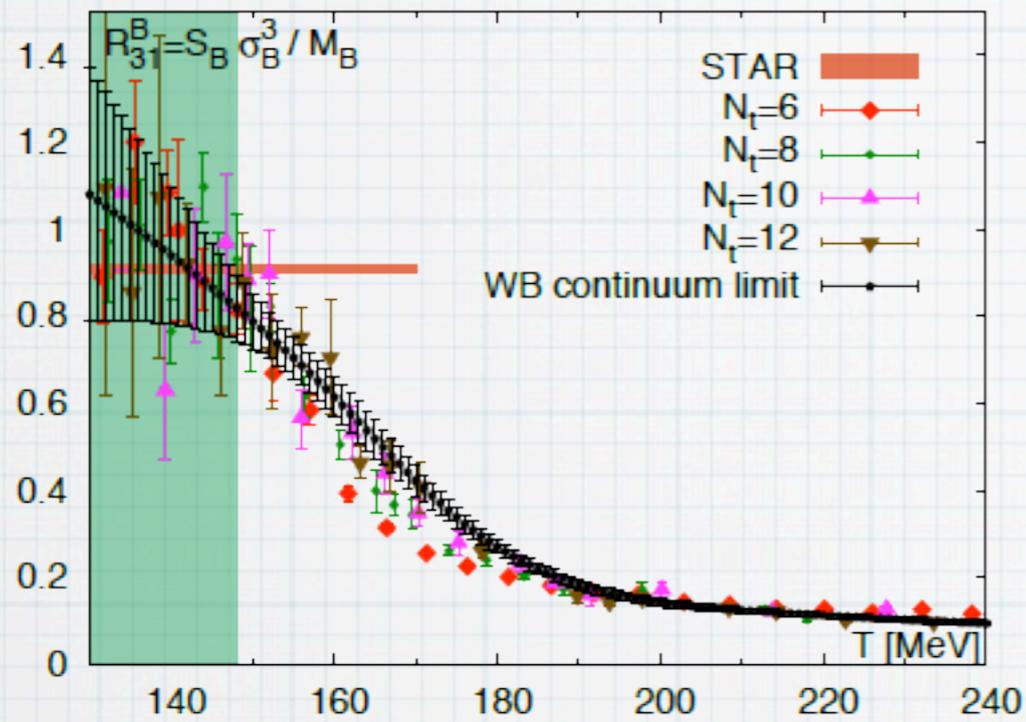
- * Proton multiplicity distributions vs baryon number fluctuations

 - Numerically very similar once protons are properly treated

- * Final-state interactions in the hadronic phase

 - Consistency between different charges = fundamental test

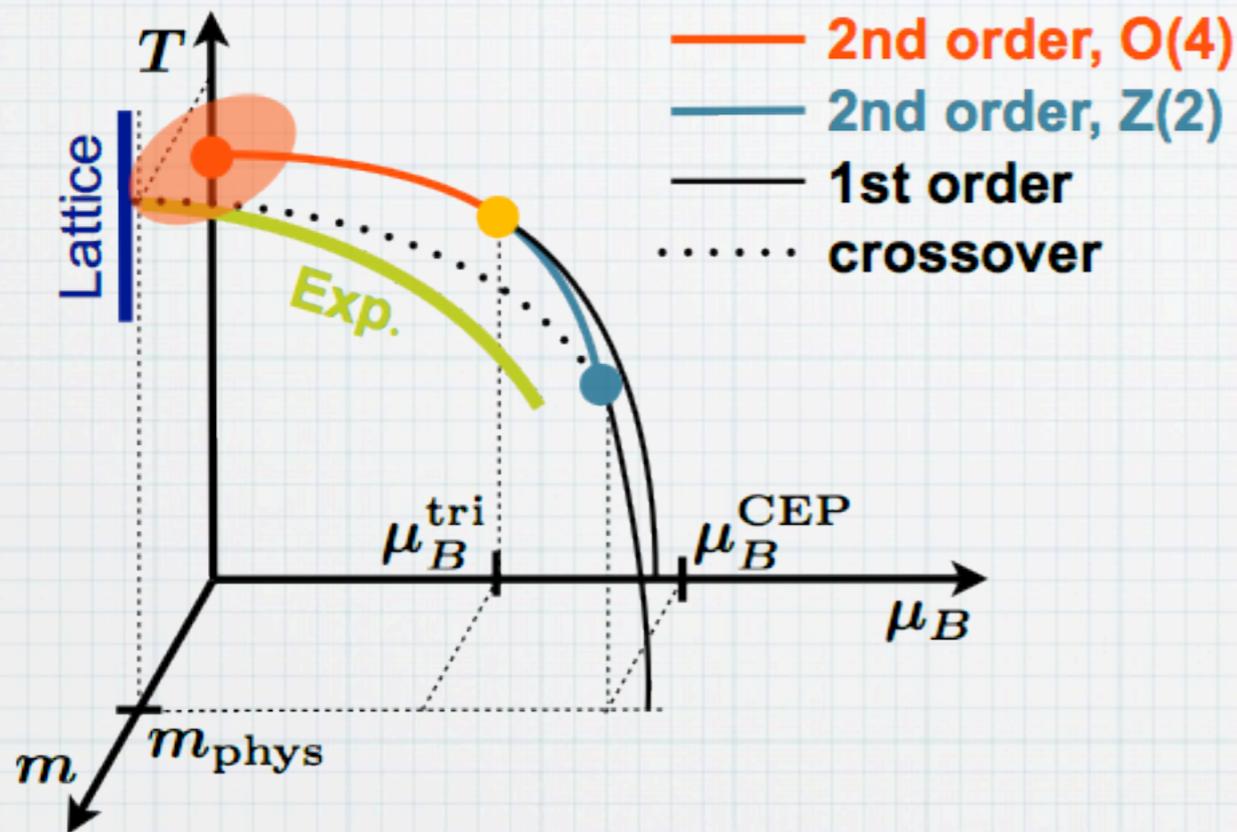
Results



Consistency between
different charges and
with SHM fits

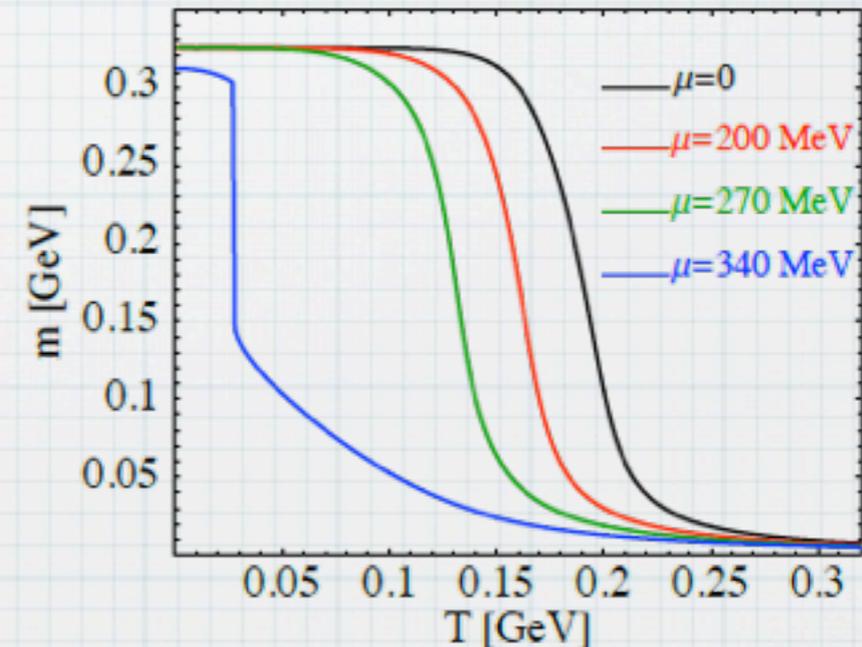
Our world is not ideal:

neither chiral symmetry ($m_q=0$) nor confinement ($m_q=\infty$) is well defined.



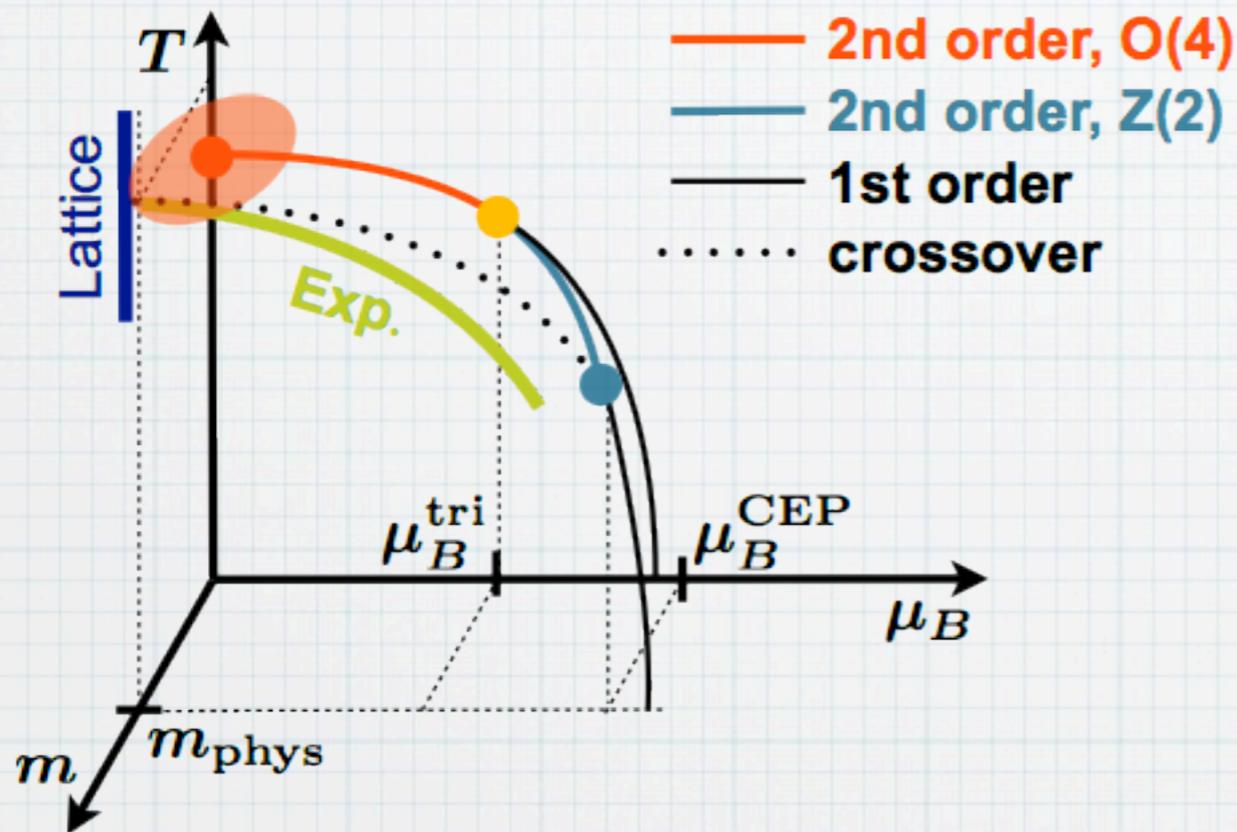
Existence of QCD critical point predicted by models

Z(2) universality class



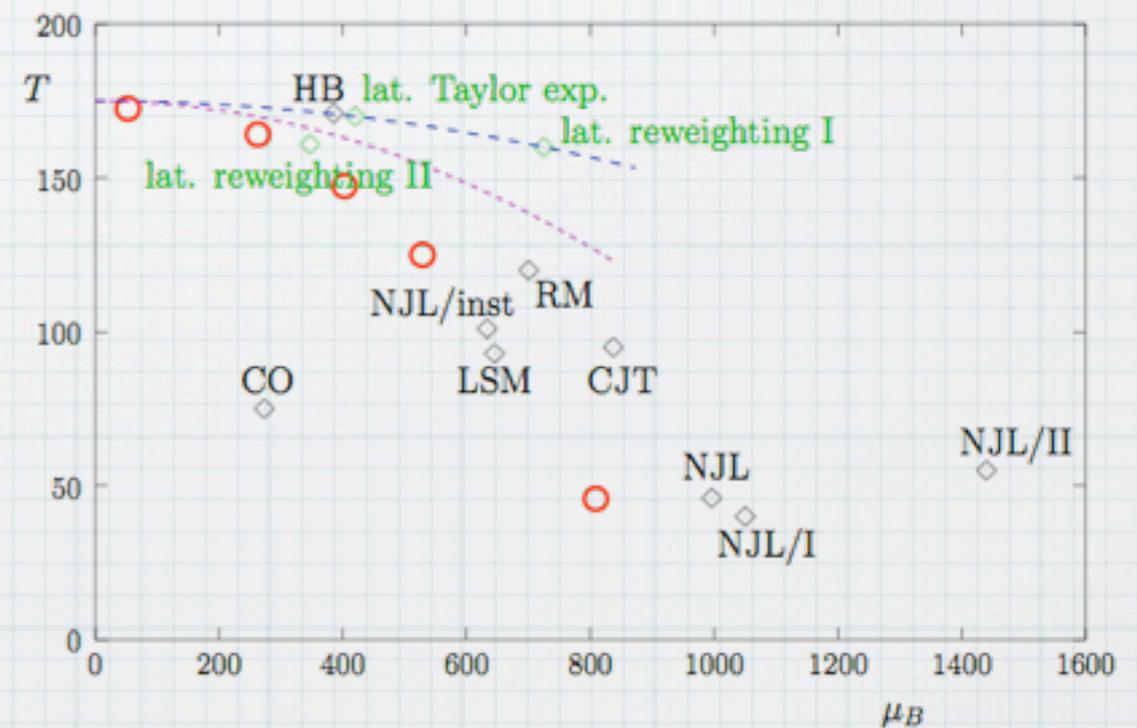
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Existence of QCD critical point predicted by models

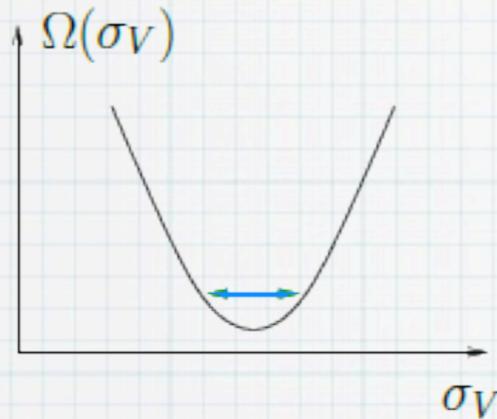
$Z(2)$ universality class



Fluctuations at the critical point

1

$\mu < \mu_{\text{CP}}$



Consider the order parameter for the chiral phase transition $\sigma \sim \bar{\psi}\psi$

It has a probability distribution of the form:

2

$\mu = \mu_{\text{CP}}$

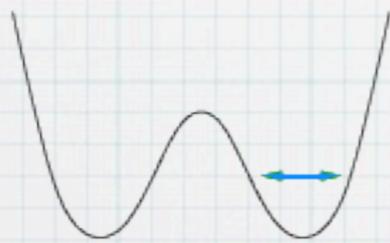


$$P[\sigma] \sim \exp\{-\Omega[\sigma]/T\},$$

$$\Omega = \int d^3x \left[\frac{1}{2}(\nabla\sigma)^2 + \frac{m_\sigma^2}{2}\sigma^2 + \frac{\lambda_3}{3}\sigma^3 + \frac{\lambda_4}{4}\sigma^4 + \dots \right].$$

3

$\mu > \mu_{\text{CP}}$



where: $m_\sigma \equiv \xi^{-1}$

and, near the critical point:

$$\lambda_3 = \tilde{\lambda}_3 T (T \xi)^{-3/2}, \quad \text{and} \quad \lambda_4 = \tilde{\lambda}_4 (T \xi)^{-1}$$

$$\langle \sigma_V^3 \rangle = 2VT^{3/2} \tilde{\lambda}_3 \xi^{4.5}$$

$$\langle \sigma_V^4 \rangle = 6VT^2 [2(\tilde{\lambda}_3)^2 - \tilde{\lambda}_4] \xi^7$$

correlation length ξ is **limited** due to critical slowing down, together with the finite time the system has to develop the correlations: $\xi < 2-3 \text{ fm}$

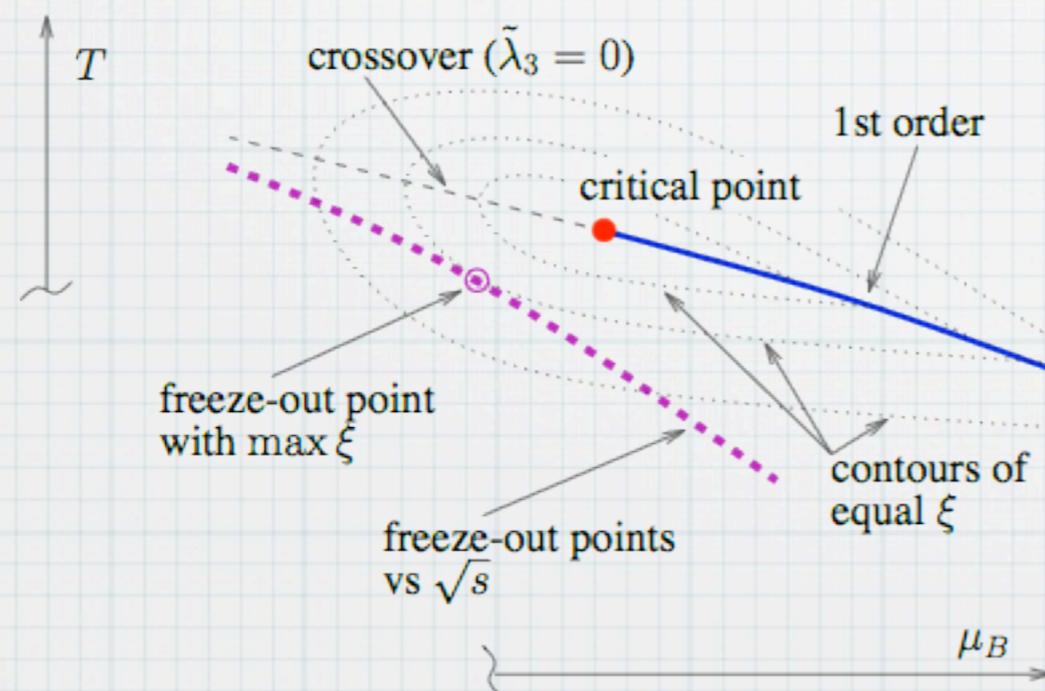
Experimental fluctuations

We consider the fluctuation of an observable (e.g. protons)

$$\delta N = \sum_{\mathbf{p}} \delta n_{\mathbf{p}}$$

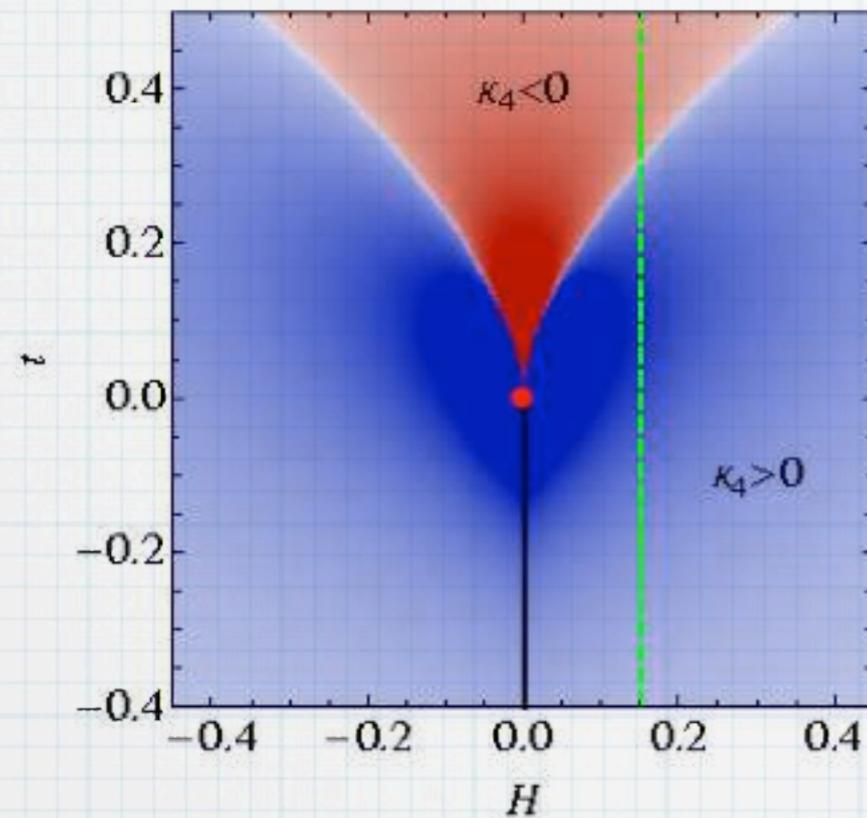
At the critical point, it receives both a regular and a singular contribution. The latter comes from the coupling to the σ field:

$$\delta n_{\mathbf{p}} = \underbrace{\delta n_{\mathbf{p}}^0}_{\substack{\text{statistical} \\ \text{(Poisson)}}} + \underbrace{\frac{\partial \bar{n}_{\mathbf{p}}}{\partial m} g \delta \sigma}_{\text{critical}}$$

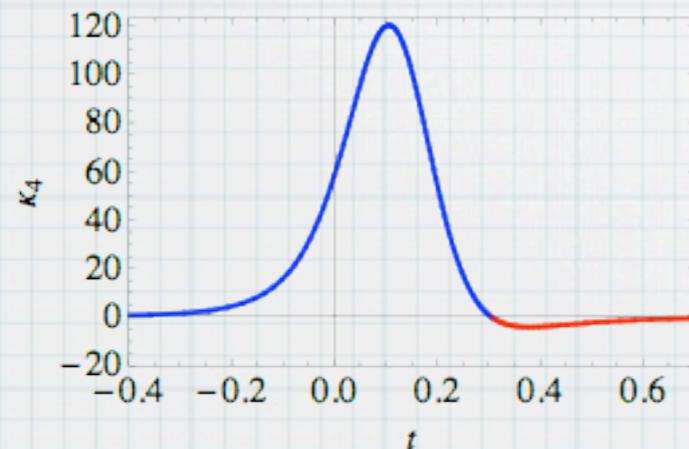


Higher order moments have **stronger dependence on ξ** : they are more sensitive signatures for the critical point

Sign of kurtosis



(a)



The 4th order cumulant becomes **negative** when the critical point is **approached from the crossover side**: from Ising model:

$$M = R^{\beta}\theta, \quad t = R(1 - \theta^2), \quad H = R^{\beta\delta}h(\theta)$$

$$K_4 = \langle M^4 \rangle \quad (t, H) \rightarrow (\mu - \mu_{CP}, T - T_{CP})$$

Consequently, the experimental 4th order fluctuation will be **smaller than its Poisson value** (precise value depends on ξ , on how close the freeze-out occurs to the critical point...)