

# Lecture 2, Part I: Yields



# Basic Idea of Statistical Hadronic Models

- Assume thermally (constant  $T_{\text{ch}}$ ) and chemically (constant  $n_i$ ) equilibrated system
- Given  $T_{\text{ch}}$  and  $\mu$  's (+ system size),  $n_i$ 's can be calculated in a grand canonical ensemble ( $\mu VT$  ensemble)

## Chemical freeze-out

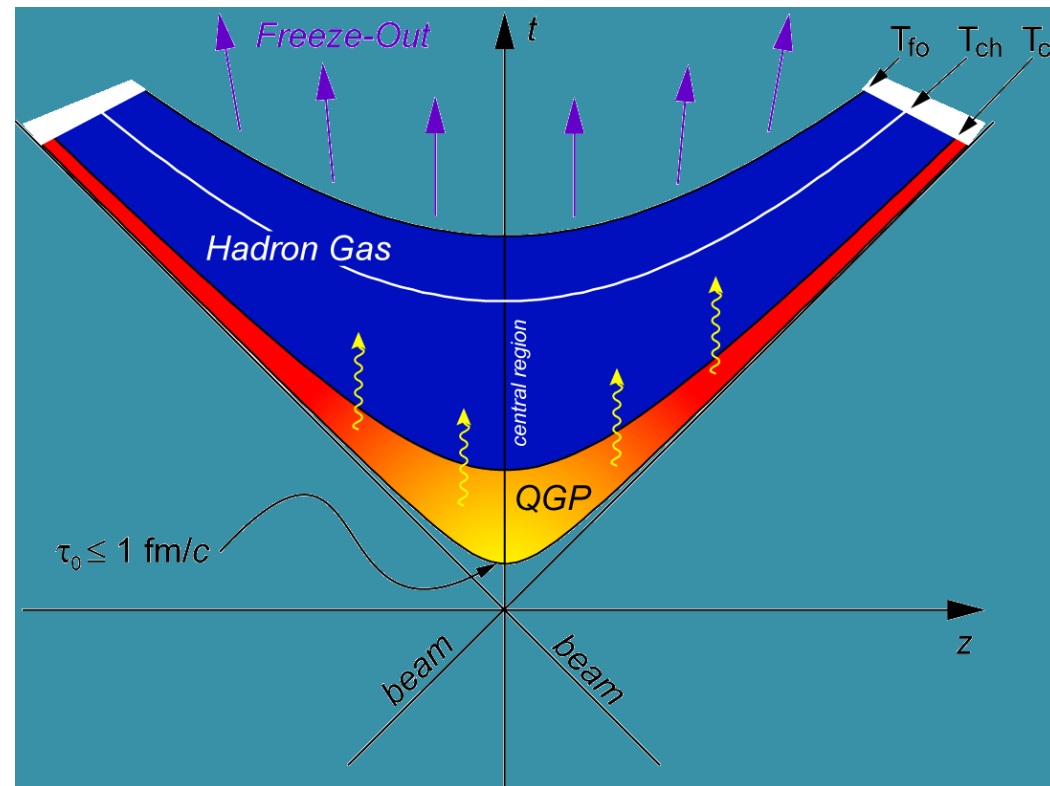
(yields & ratios)

- inelastic interactions cease
- particle abundances fixed (except maybe resonances)

## Thermal freeze-out

(shapes of  $p_T, m_T$  spectra):

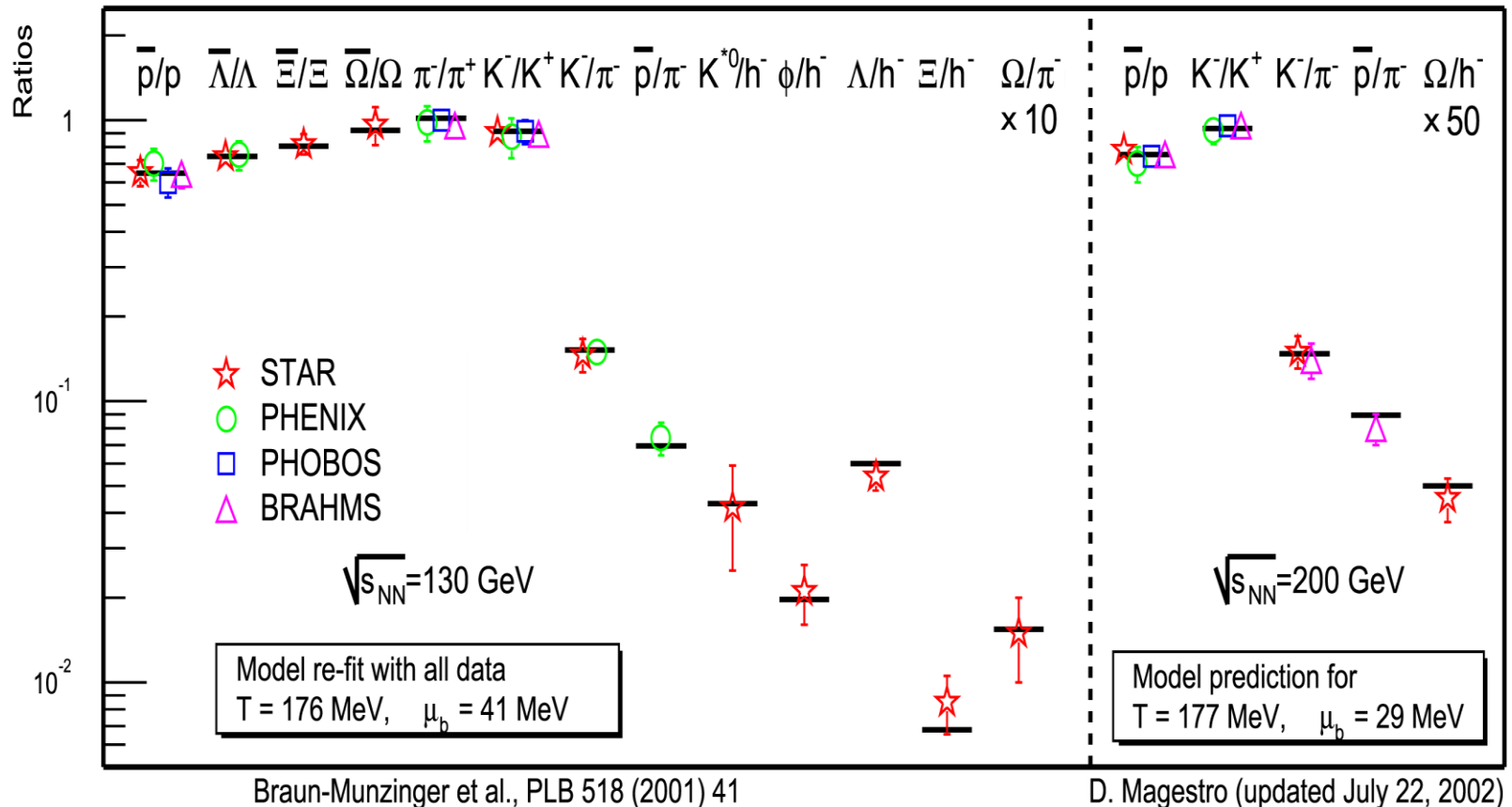
- elastic interactions cease
- particle dynamics fixed



# Ensembles in statistical mechanics

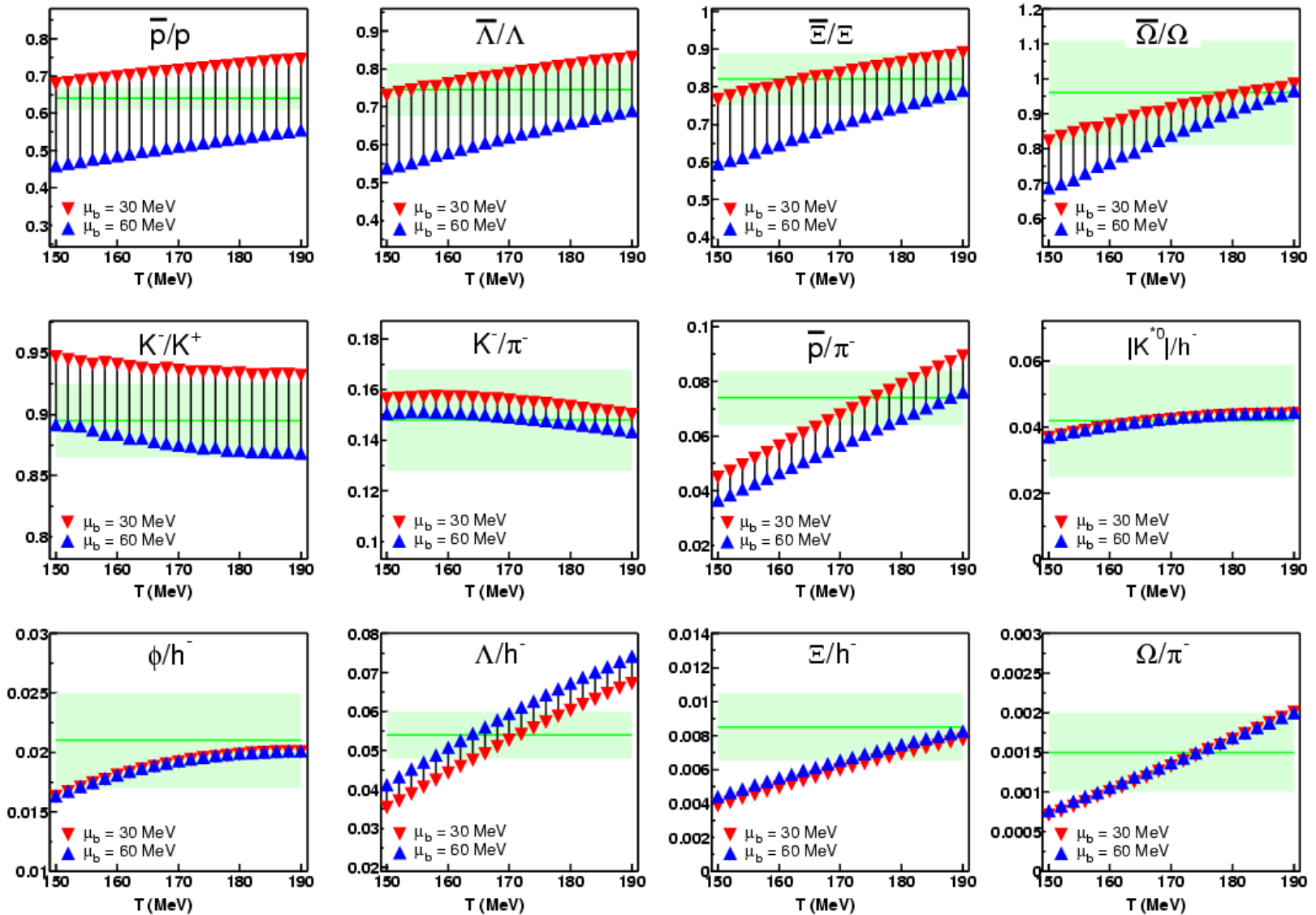
- Microcanonical ensemble is a concept used to describe the thermodynamic properties of an isolated system. Possible states of the system have the same energy and the probability for the system to be in any given state is the same. So, it describes a system with a fixed number of particles (" $N$ "), a fixed volume (" $V$ "), and a fixed energy (" $E$ ").
- Canonical ensemble describes a system where the number of particles (" $N$ ") and the volume (" $V$ ") is constant, and it has a well defined temperature (" $T$ "), which specifies fluctuation of energy.
- Grand canonical ensemble describes a system with fixed volume (" $V$ ") which is in thermal and chemical equilibrium with a reservoir. Both, energy (" $T$ ") and particles (" $N$ ") are allowed to fluctuate. To specify the (" $N$ ") fluctuation it introduces a chemical potential (" $\mu$ ").

# Particle production at relativistic energies: statistical models do well



**We get a chemical freeze-out temperature and a baryochemical potential from the fit**

# Ratios that constrain model parameters



# Statistical Hadronic Models :

## Misconceptions

- Model says nothing about **how** system reaches chemical equilibrium
- Model says nothing about **when** system reaches chemical equilibrium
- Model makes no predictions of **dynamical** quantities
- Some models use a **strangeness suppression factor**, others not
- Model does not make assumptions about a **partonic phase**; However the model findings can complement other studies of the phase diagram (e.g. Lattice-QCD)

*Beccatini, Heinz, Z.Phys. C76 (1997) 269*

# Thermalization in Elementary Collisions ?

- Is a process which leads to multiparticle production thermal?
- Any mechanism for producing hadrons which evenly populates the free particle phase space will mimic a microcanonical ensemble.
- **Relative probability** to find a given number of particles is given by the ratio of the **phase-space** volumes  $P_n/P_{n'} = \phi_n(E)/\phi_{n'}(E) \Rightarrow$  given by statistics only. Difference between MCE and CE vanishes as the size of the system  $N$  increases.

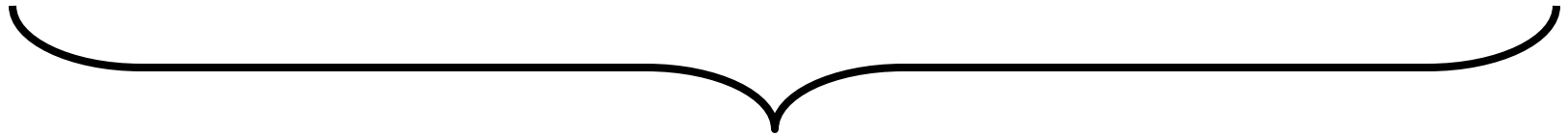
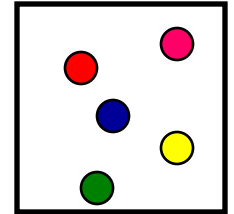
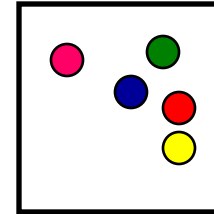
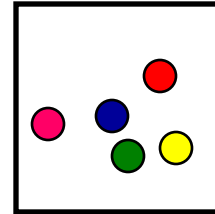
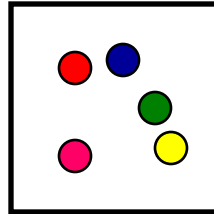
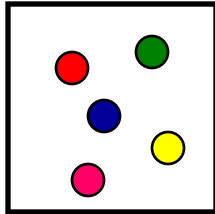
This type of “thermal” behavior requires no rescattering and no interactions. The collisions simply serve as a mechanism to populate phase space without ever reaching thermal or chemical equilibrium

**In RHI we are looking for large collective effects.**



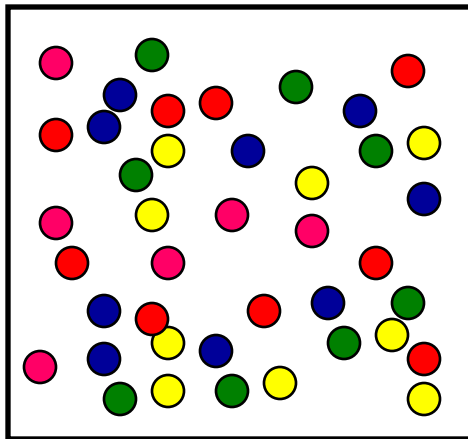
# Statistics $\neq$ Thermodynamics

p+p



Ensemble of events constitutes a statistical ensemble  
T and  $\mu$  are simply Lagrange multipliers  
“Phase Space Dominance”

A+A



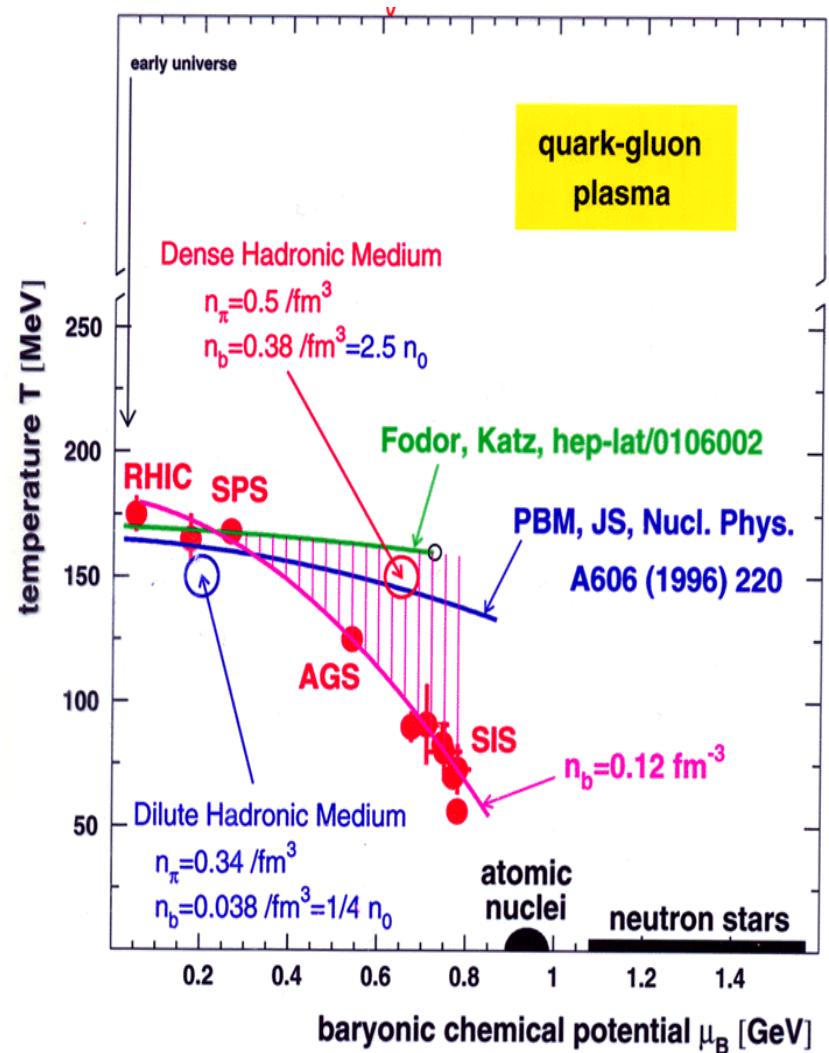
We can talk about pressure  
• T and  $\mu$  are more than Lagrange multipliers

# Are statistical hadronization models relevant ?

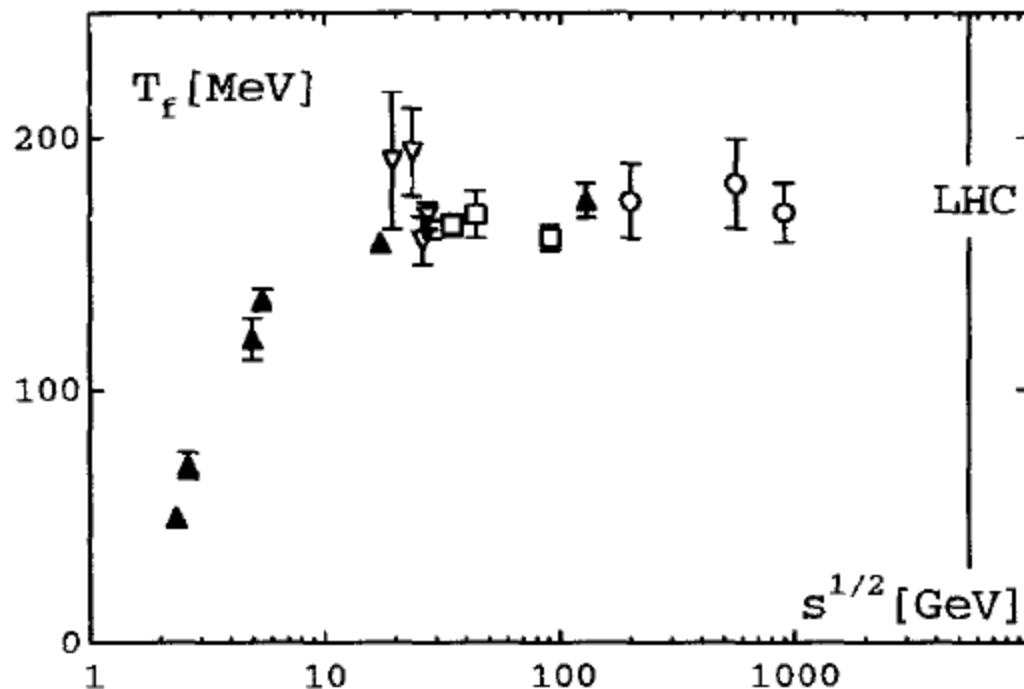
Since there is no information about the dynamics prior to freeze-out, thermal models generally will tell us nothing about QGP, but (e.g. PBM et al., nucl-th/0112051):

Elementary particle collisions: canonical description, i.e. local quantum number conservation (e.g. strangeness) over small volume. Just Lagrange multipliers, not indicators of thermalization.

Heavy ion collisions: grand-canonical description, i.e. percolation of strangeness over large volumes, most likely in deconfined phase if chemical freeze-out is close to phase boundary.

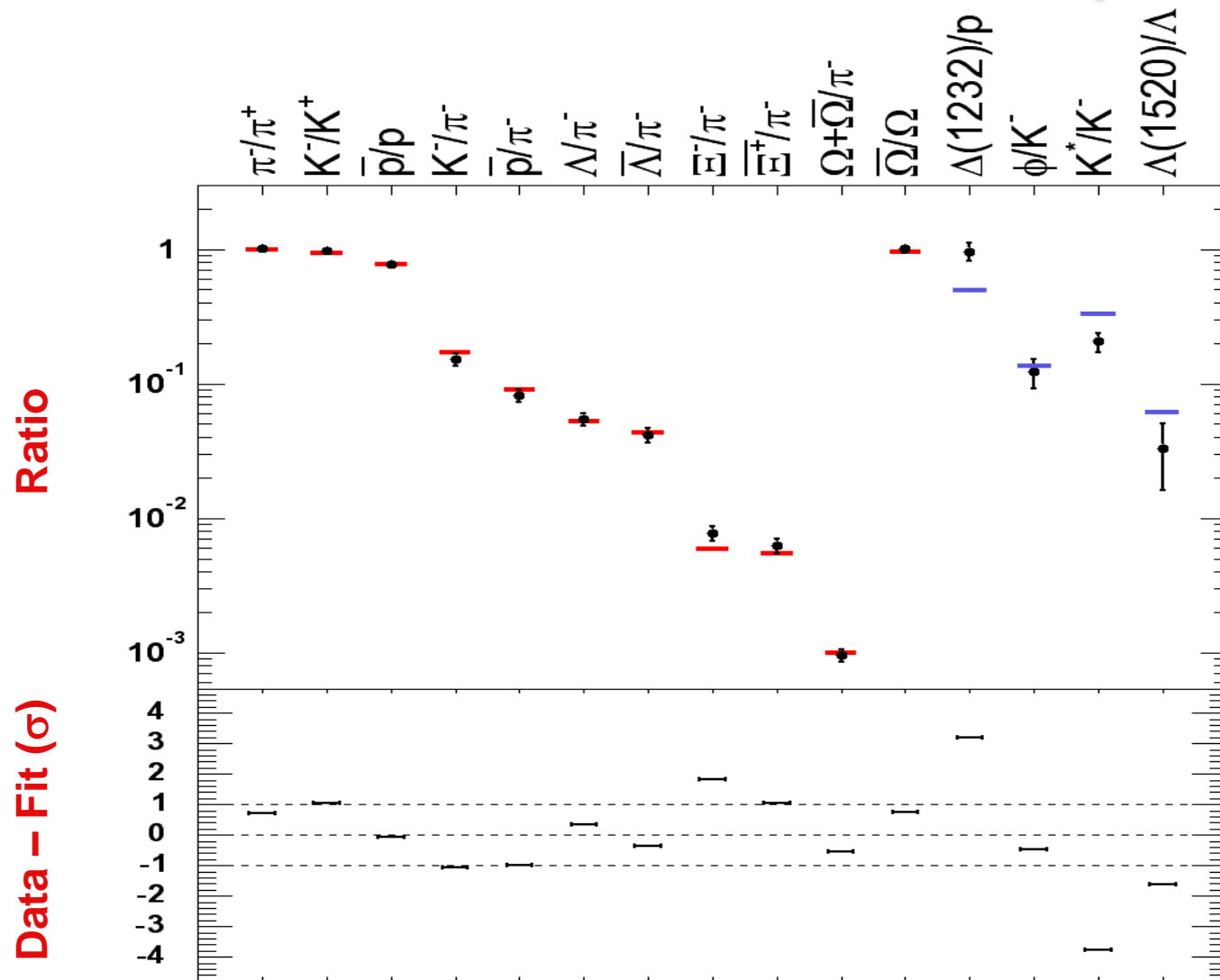


# $T_{ch}$ systematics



- it looks like Hagedorn was right!
  - if the resonance mass spectrum grows exponentially (and this seems to be the case), there is a maximum possible temperature for a system of hadrons
  - indeed, we do not seem to be able to produce a system of hadrons with a temperature beyond  $T_{max} \sim 170$  MeV!

# Does the thermal model always work ?

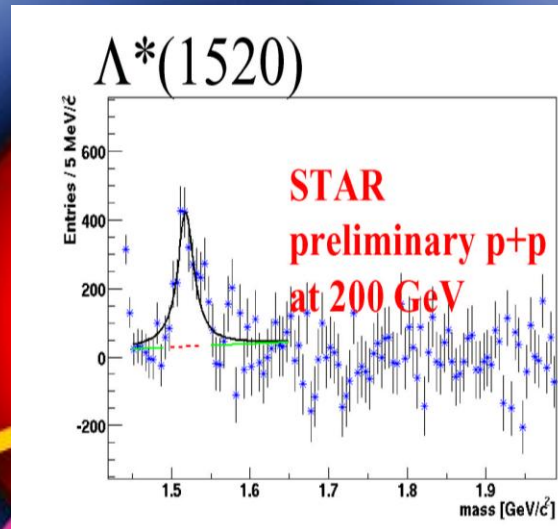


Resonance ratios deviate  $\rightarrow$  Hadronic rescattering & regeneration

# Strange resonances in medium

Short life times [fm/c]:

$K^* < \Sigma^* < \Lambda(1520) < \phi$   
4 < 6 < 13 < 40



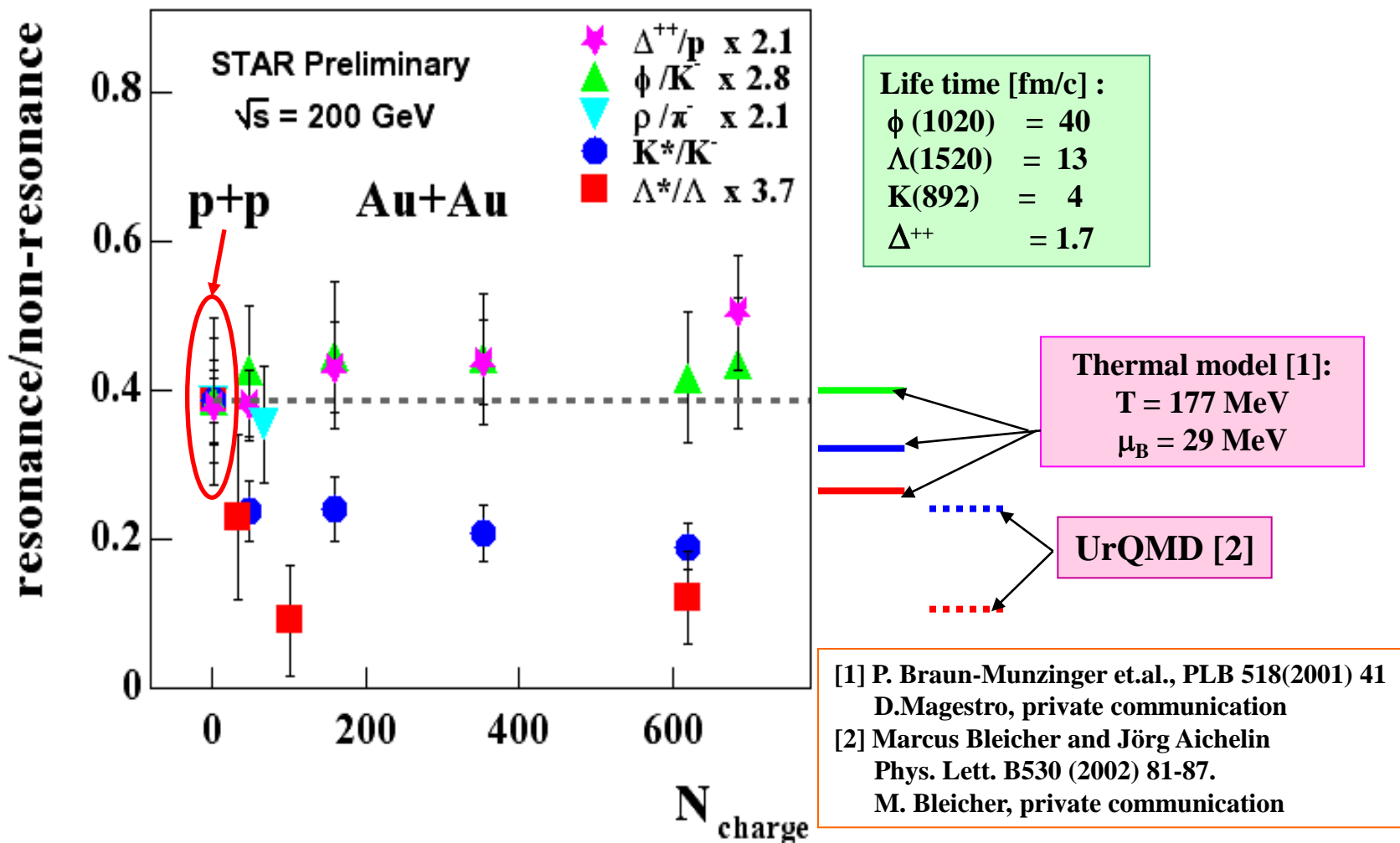
## Rescattering vs. Regeneration ?

**Red:** before chemical freeze out

**Blue:** after chemical freeze out

Medium effects on resonance and their decay products before (inelastic) and after chemical freeze out (elastic).

# Resonance Production in p+p and Au+Au



Rescattering and regeneration is needed !

Strength can determine hadronic/partonic lifetime

# Strangeness: Two historic QGP predictions

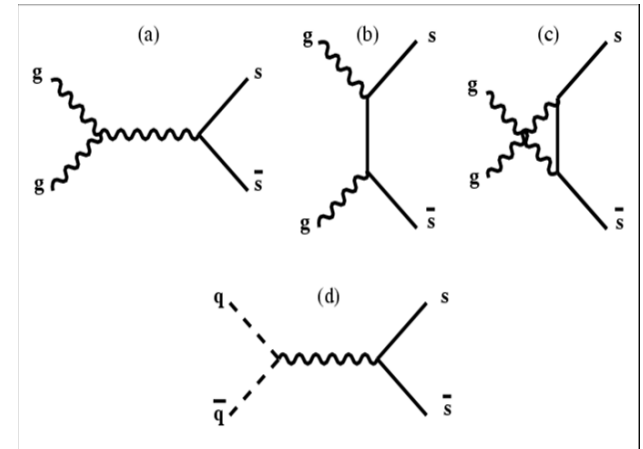
- restoration of  $\chi$  symmetry  $\rightarrow$  increased production of  $s$

- mass of strange quark in QGP expected to go back to current value ( $m_s \sim 150 \text{ MeV} \sim T_c$ )

$\rightarrow$  copious production of  $s\bar{s}$  pairs, mostly by  $gg$  fusion

[Rafelski: Phys. Rep. 88 (1982) 331]

[Rafelski-Müller: P. R. Lett. 48 (1982) 1066]



- deconfinement  $\rightarrow$  stronger effect for multi-strange baryons

- by using uncorrelated  $s$  quarks produced in independent partonic reactions, faster and more copious than in hadronic phase

$$q + \bar{q} \rightarrow s + \bar{s}$$

$$g + g \rightarrow s + \bar{s}$$

$$E_{\text{thres}} = 2m_s \approx 300 \text{ MeV}$$

$$\pi + N \rightarrow \Lambda + K$$

$$E_{\text{thres}} \approx 530 \text{ MeV}$$

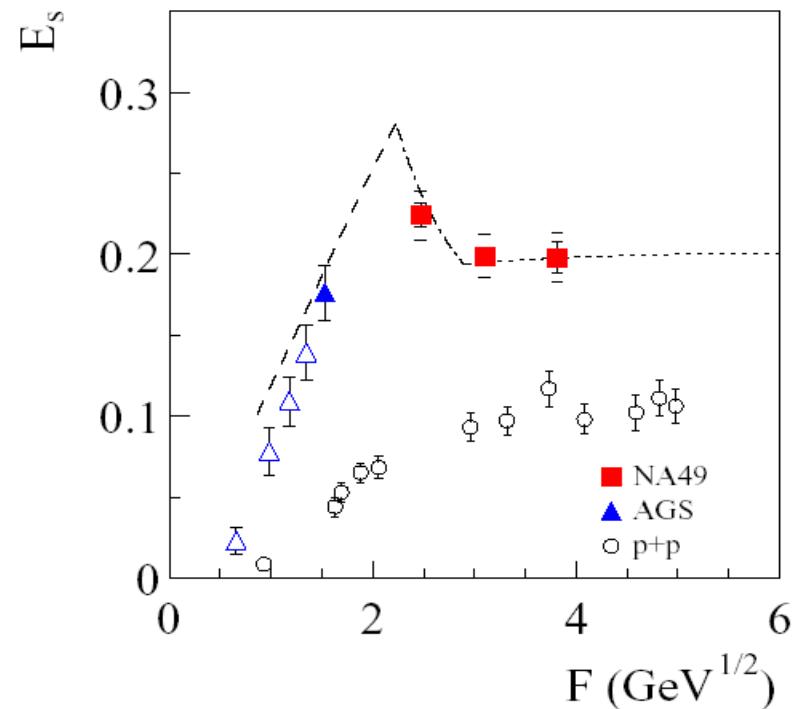
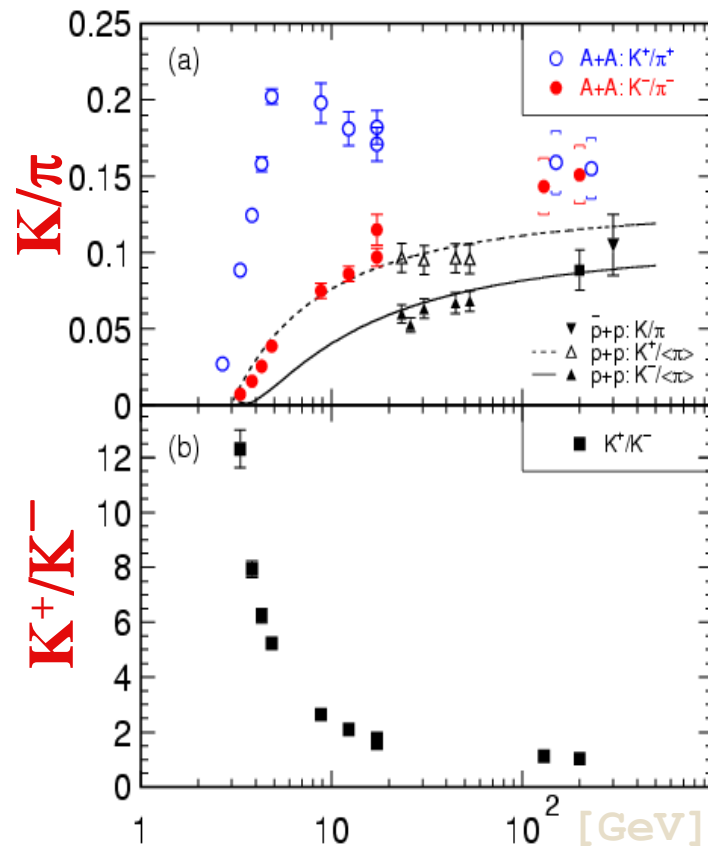
$$K + \pi \rightarrow \bar{\Lambda} + N$$

$$E_{\text{thres}} \approx 1420 \text{ MeV}$$

$\rightarrow$  strangeness enhancement increasing with strangeness content [Koch, Müller & Rafelski: Phys. Rep. 142 (1986) 167]

# Strangeness enhancement

- $K/\pi$  – the benchmark for abundant strangeness production:

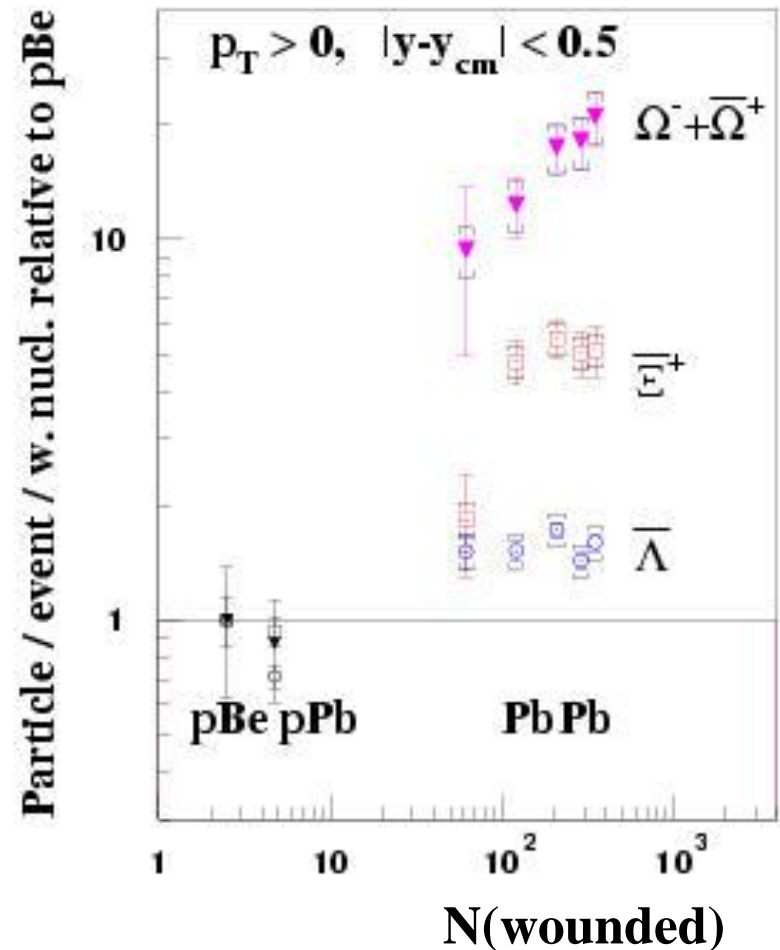
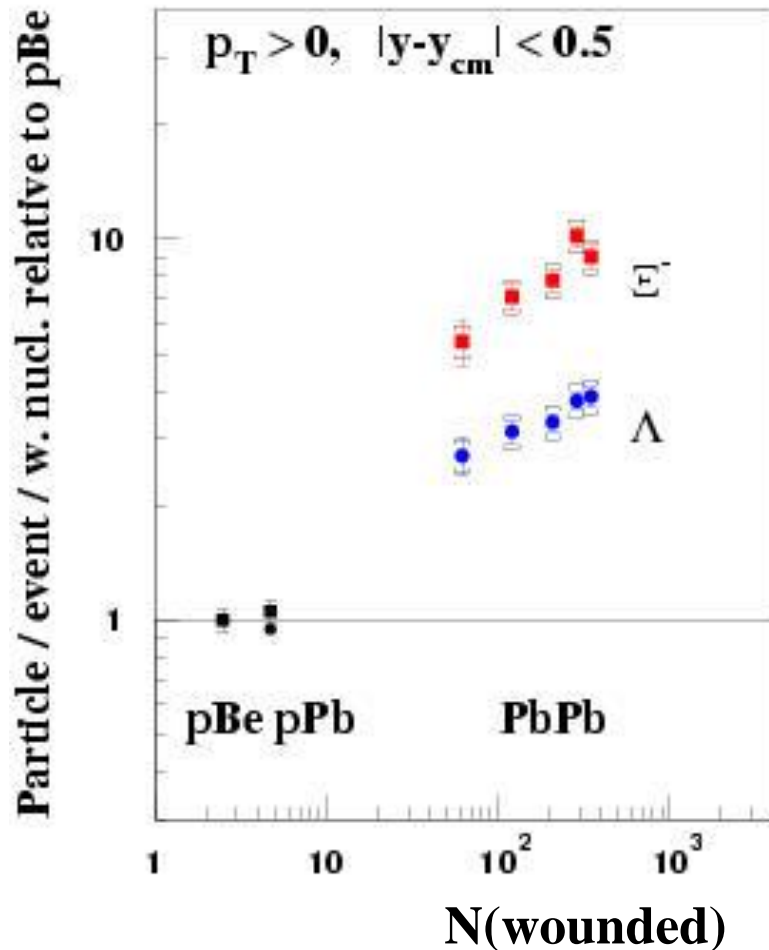


line: M.Gazdzicki, M.I. Gorenstein, Acta Phys. Pol. B 9, 2705



# The SPS 'discovery plot' (WA97/NA57)

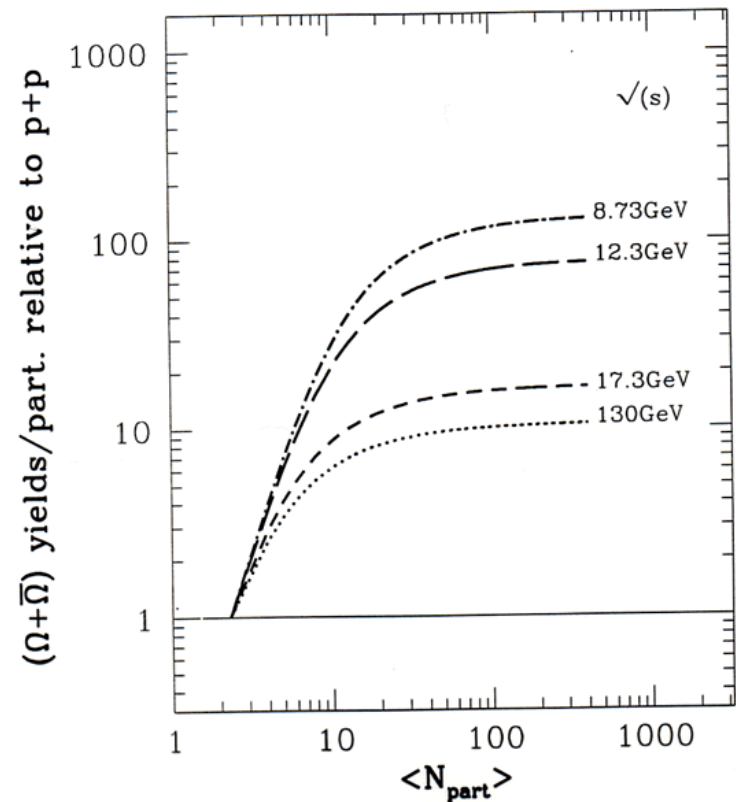
## Unusual strangeness enhancement



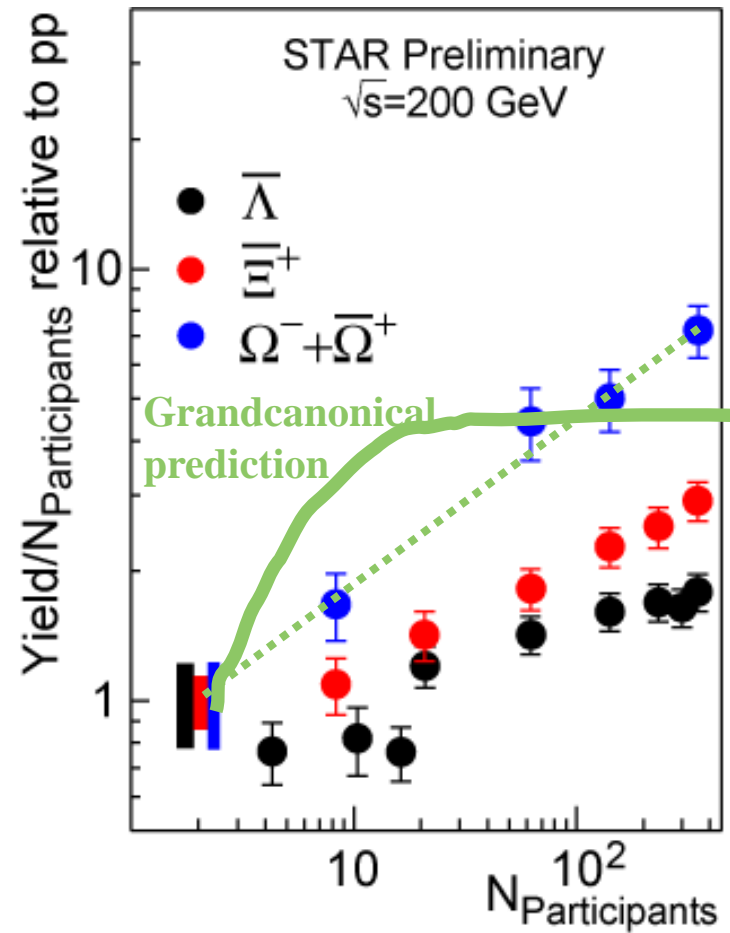
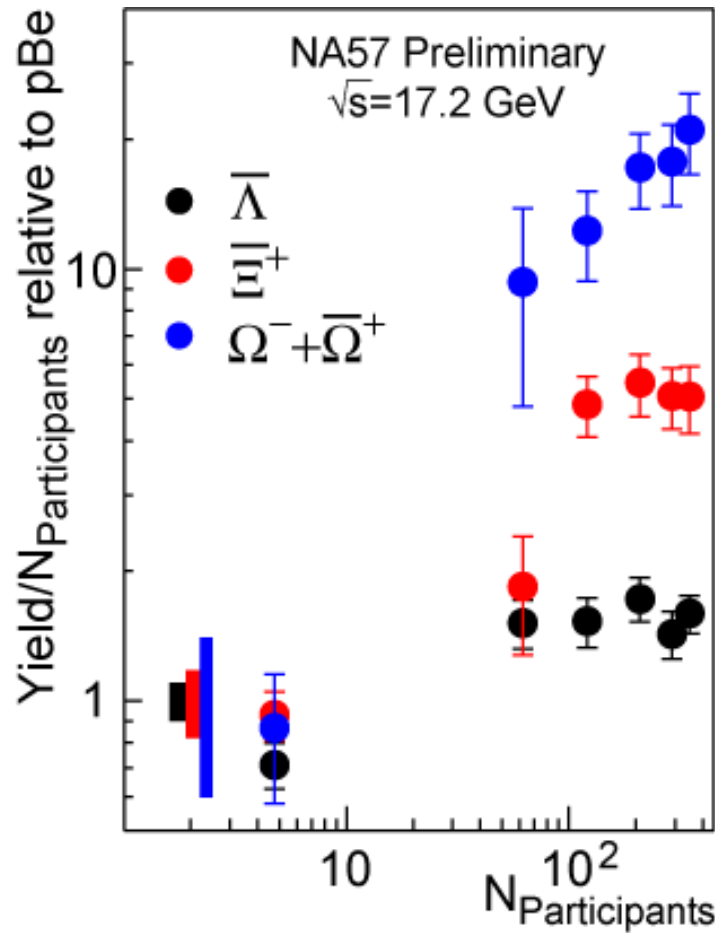
# The switch from canonical to grand-canonical (Tounsi, Redlich, hep-ph/0111159, hep-ph/0209284)

The strangeness enhancement factors at the SPS (WA97) can be explained not as an enhancement in AA but a suppression in pp.

The pp phase space for particle production is small. The volume is small and the volume term will dominate the ensemble (canonical (local)). The grand-canonical approach works for central AA collisions, but because the enhancements are quoted relative to pp they are due to a canonical suppression of strangeness in pp.

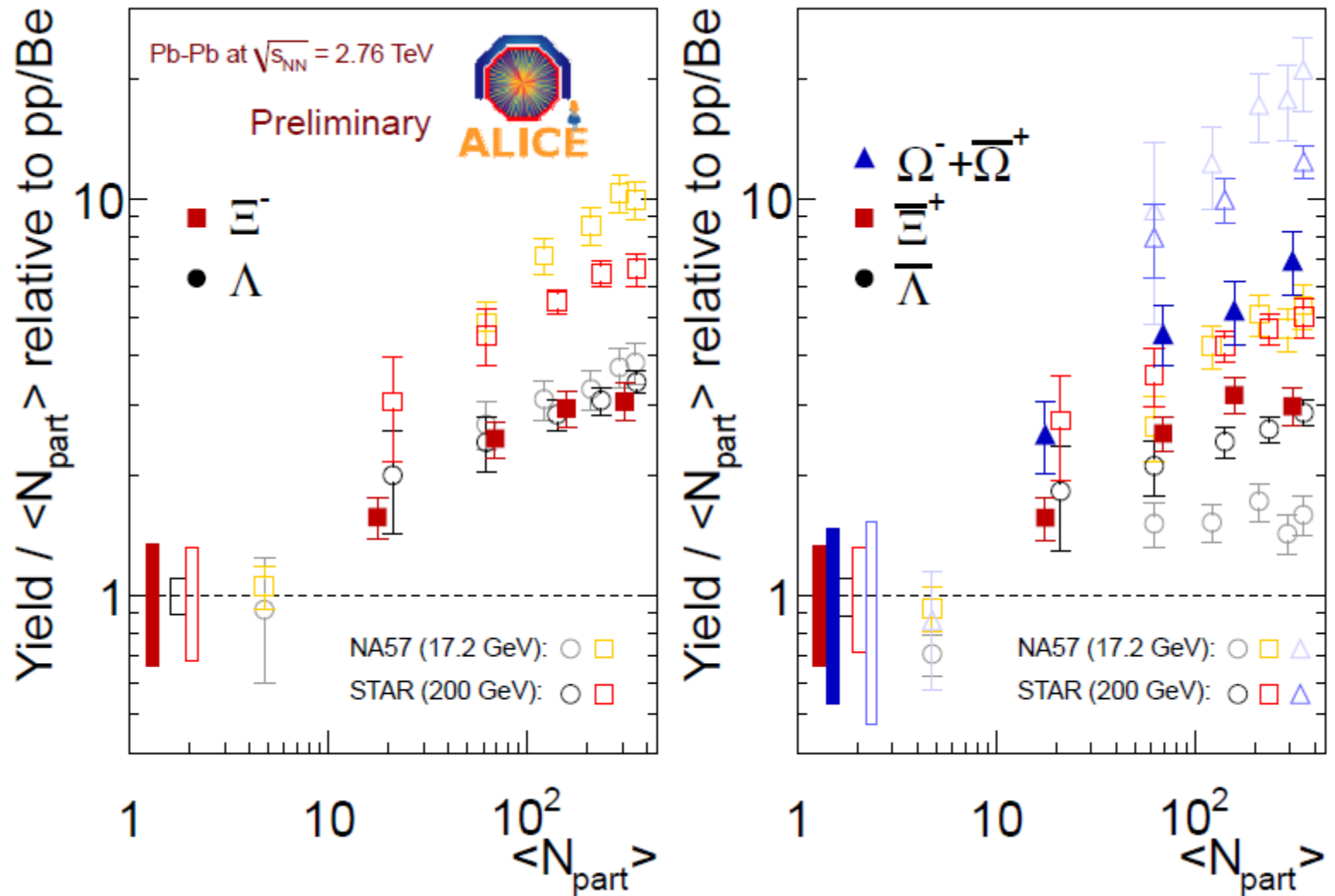


# Does not really work at RHIC



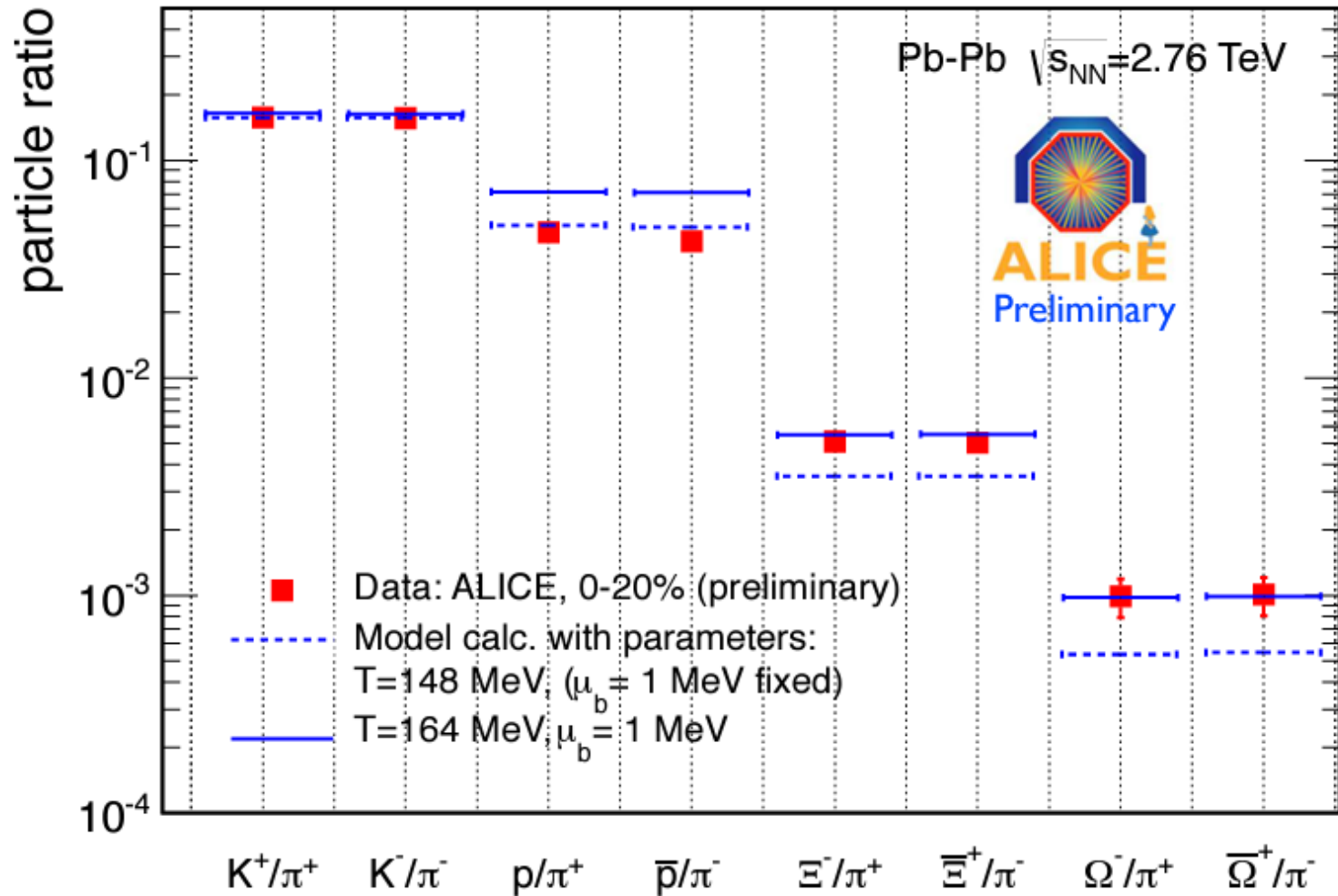
Alternative: flavor hierarchy ?

# ...or the LHC

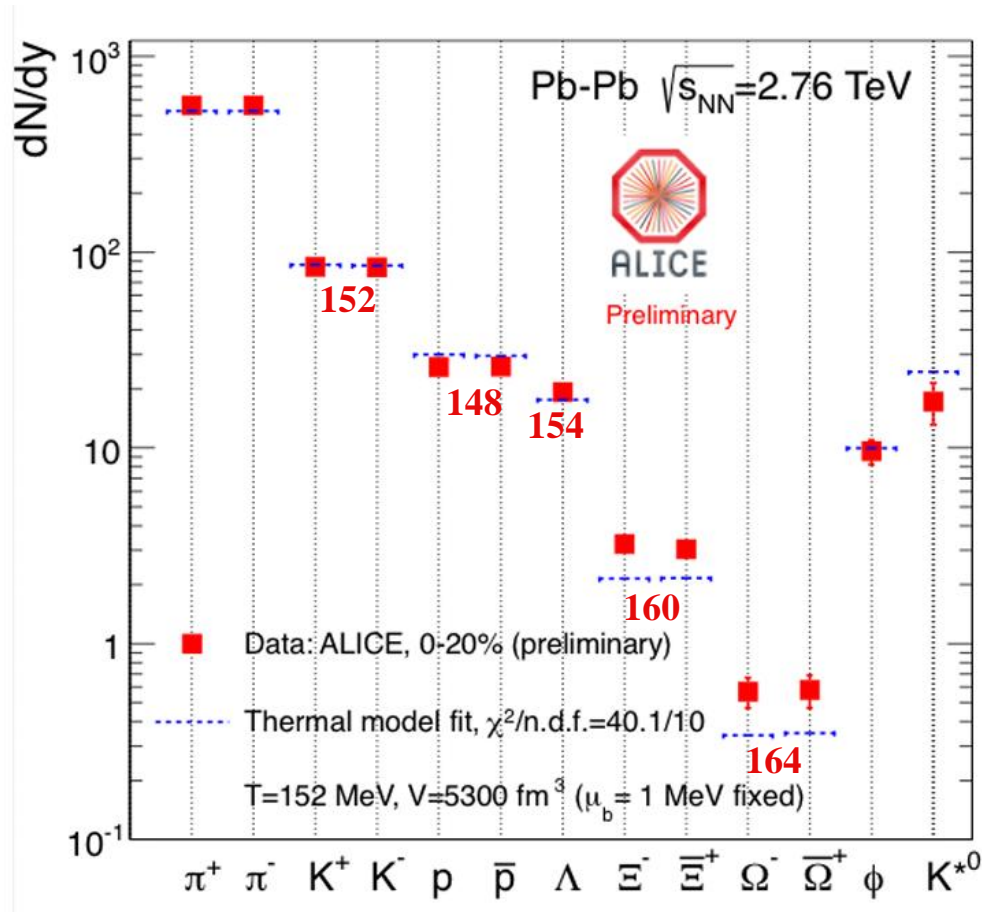


Alternative: flavor hierarchy ?

# In addition: a peculiar flavor dependence



# SHM model comparison based on yields including multi-strange baryons

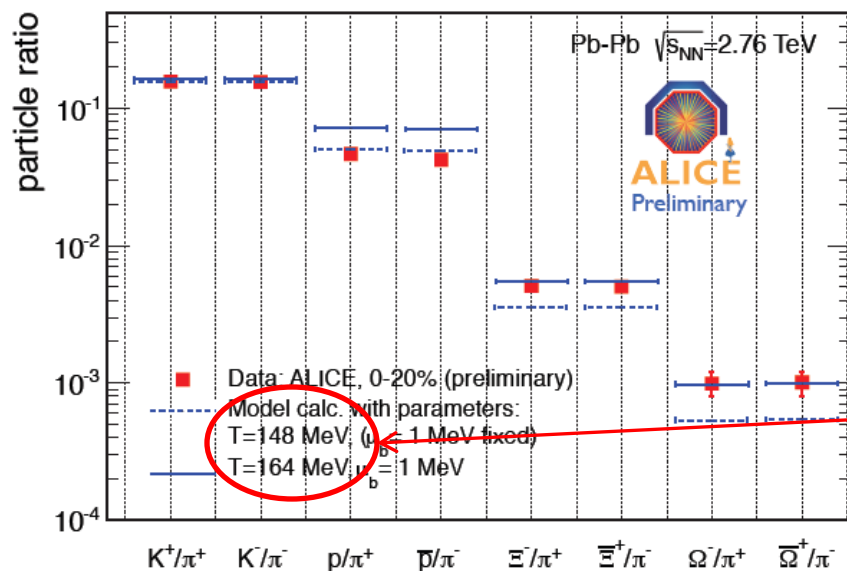


Either a bad fit  
with a common  
freeze-out.....

..or a good fit with  
a flavor specific  
freez-out

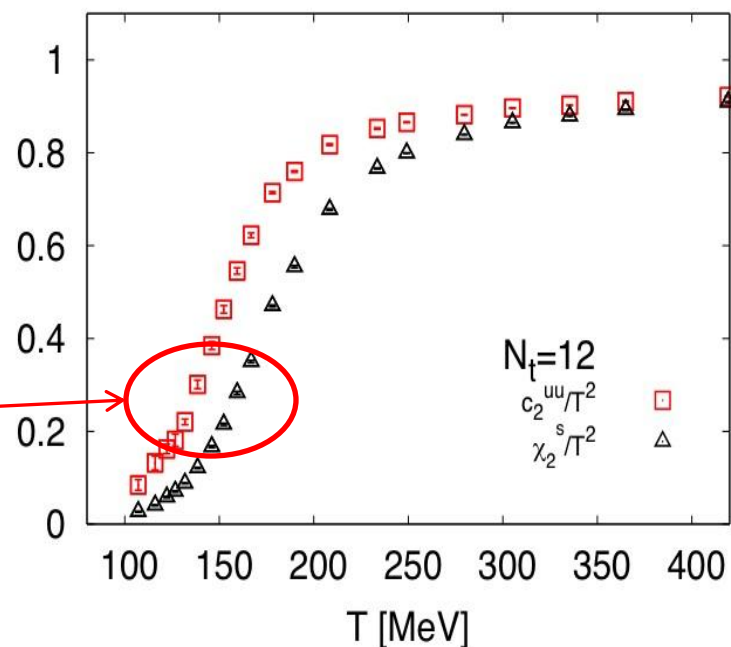
# Potential evidence of flavor dependence in equilibrium freeze-out

Data: ALICE, SQM 2011



Model: A. Andronic et al., Phys. Lett. B 673:142-145, 2009

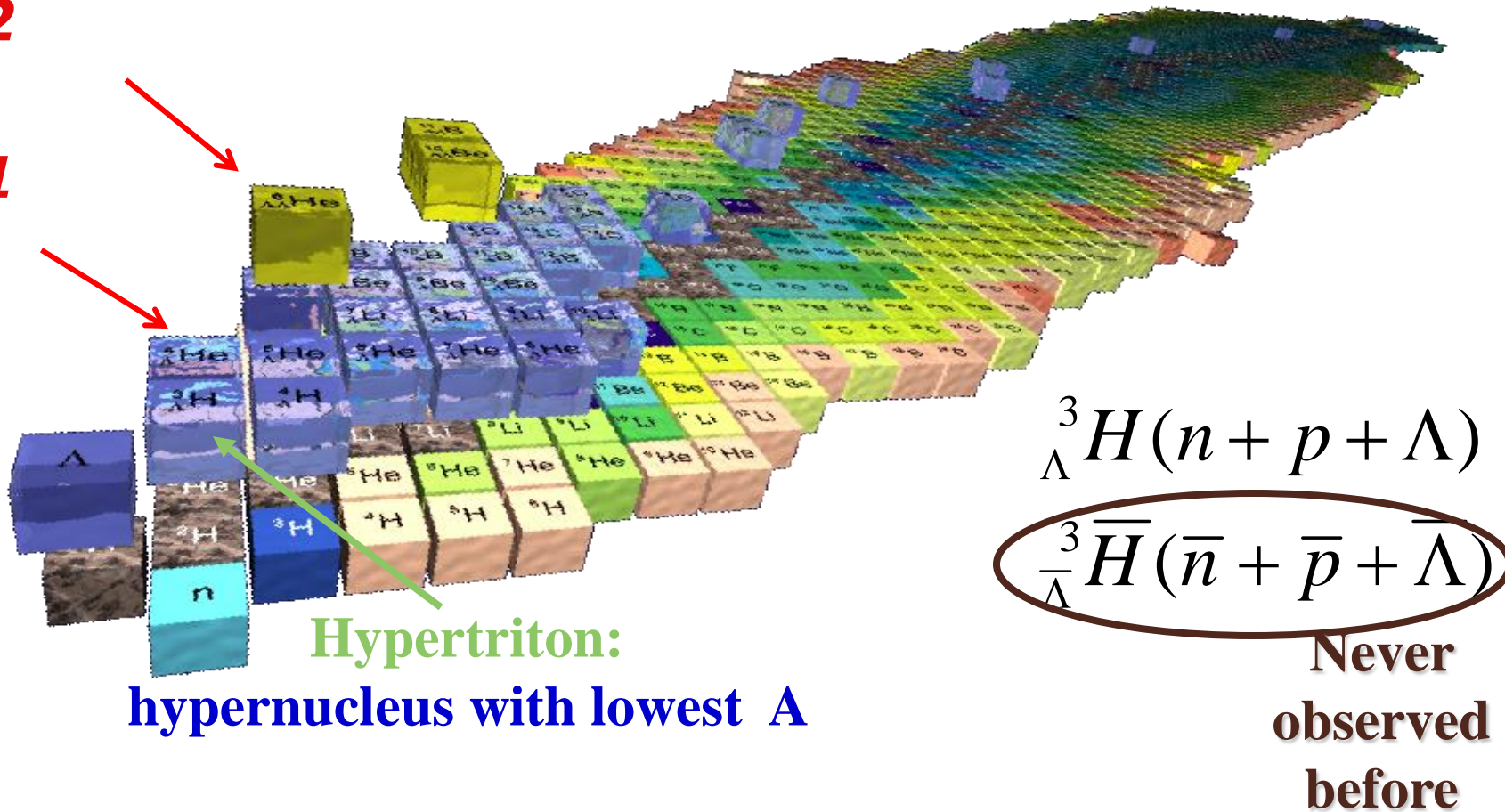
Theory: Ratti et al., QM 2011





# Observation of ${}^3_{\Lambda}H$ and ${}^3_{\bar{\Lambda}}\bar{H}$ @ RHIC

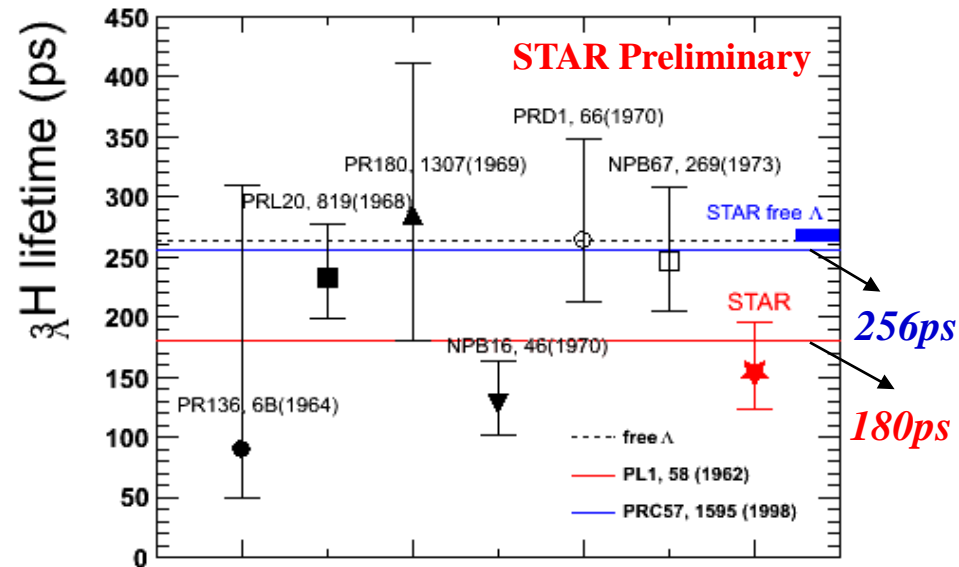
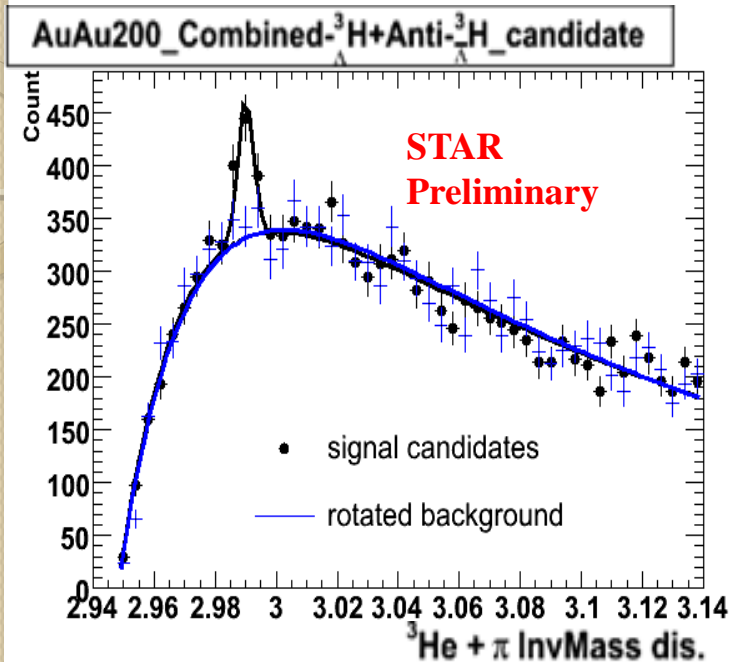
$S=-2$   
 $S=-1$   
 $S=0$



- strangeness production dominance generates exotic states
- produced through coalescence in the hadronic phase



# Observation of ${}^3_{\Lambda}\text{H}$ and ${}^3_{\bar{\Lambda}}\text{H}$ @ RHIC

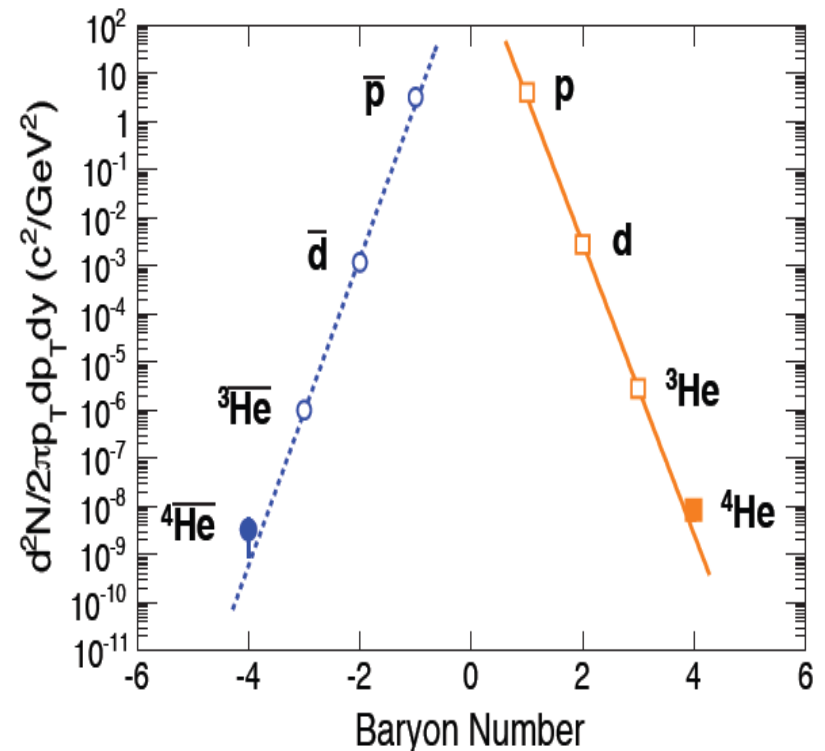
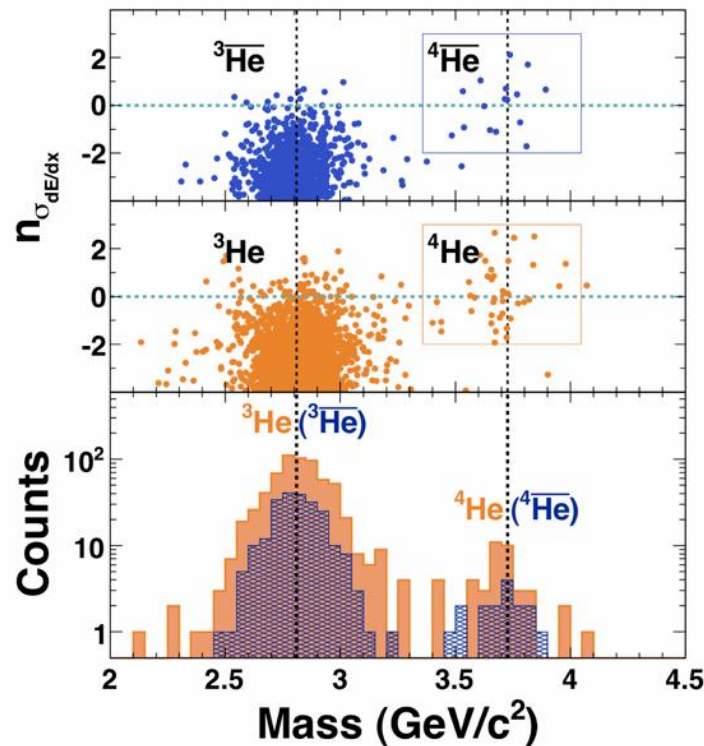


World data

- ◆ First ever observation of an anti-hypernucleus ( $4\sigma$  signal of  ${}^3_{\bar{\Lambda}}\text{H}$ )
- ◆ The hypertriton and anti-hypertriton signal :  $244 \pm 35$
- ◆ The hypertriton and anti-hypertriton lifetime:  $\tau = 153 \pm_{30}^{43} \text{ ps}$

- [1] R. H. Dalitz, *Nuclear Interactions of the Hyperons* (1965).  
 [2] R.H. Dalitz and G. Rajasekharan, *Phys. Letts.* **1**, 58 (1962).  
 [3] H. Kamada, W. Glockle et al., *Phys. Rev. C* **57**, 1595(1998).

# A dense deconfined medium also produce exotic non-strange matter



Discovery of Anti-Helium-4 (Nature 473, 353 (2011))

# 1977: two distinctly different hadronization processes

**Independent jet fragmentation:**  $a[\text{parton}] \rightarrow h[\text{hadron}]$

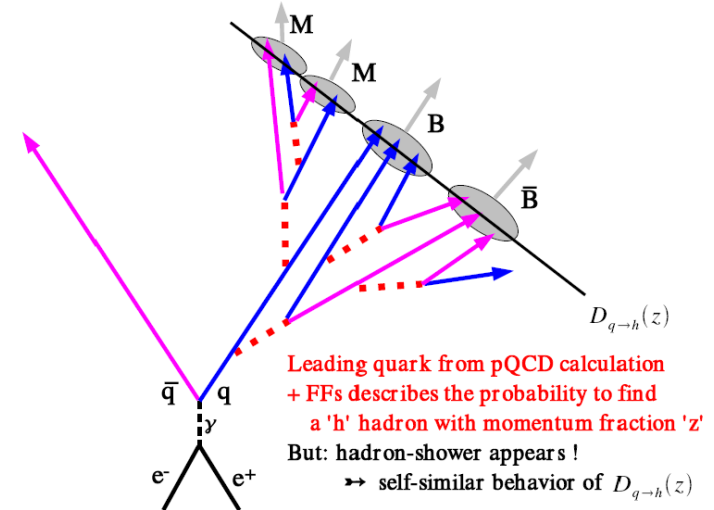
R.D. Field, R.P. Feynman, PRD15(1977)2590, ...

$$E \frac{d\sigma_h}{d^3p} = \sum_a \int \frac{dz}{z^2} D_{a \rightarrow h}(z) E \frac{d\sigma_a}{d^3p_a}$$

$D_{a \rightarrow h}(z)$ : FFs are determined from  $e^+e^-$  collisions

**More likely in vacuum ?**

Hadron production at the microscopical level: FF picture



**Parton recombination/coalescence/clustering:**  $a+b \rightarrow h$

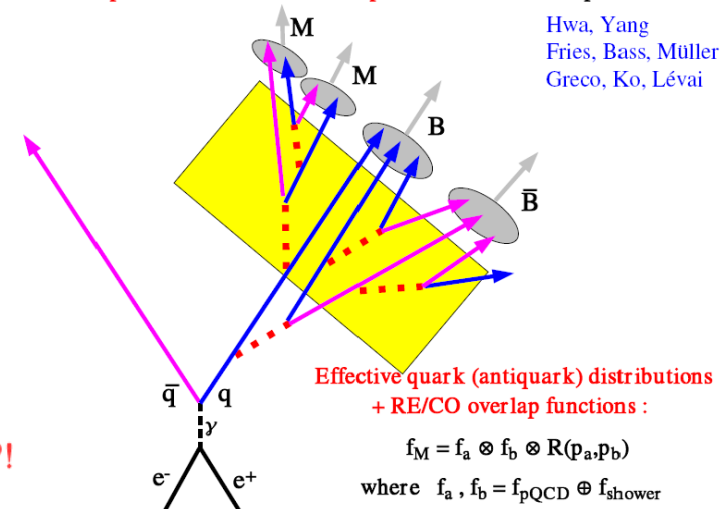
K.P. Das, R.C. Hwa, PLB68(1977)459, ...

$$E \frac{d\sigma_h}{d^3p} = \sum_a \int d^3p_a d^3p_b E \frac{d\sigma_a}{d^3p_a} E \frac{d\sigma_b}{d^3p_b} R(\vec{p}_a, \vec{p}_b, \vec{p}_h) \delta^{(3)}(\vec{p}_a + \vec{p}_b - \vec{p}_h)$$

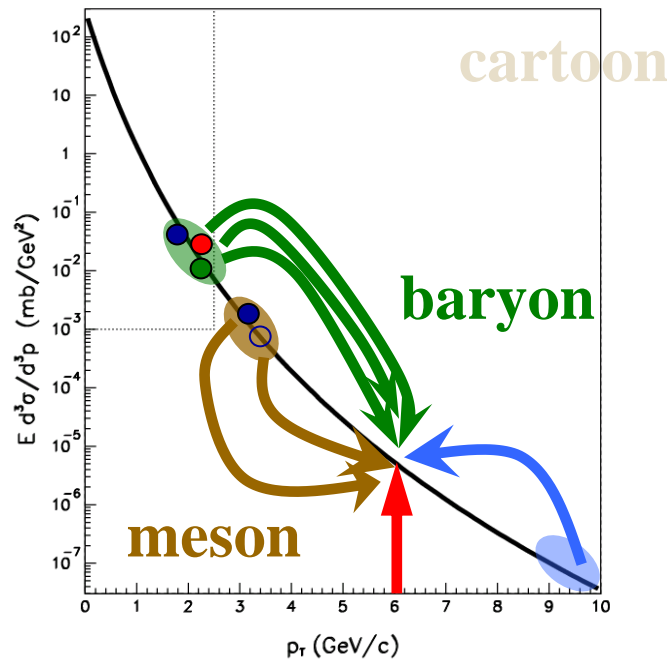
can be substituted by  
'effective' FFs

Momentum distributions +  
momentum overlap functions. NO explicit interaction picture ?!

Hadron production at the microscopical level: RE/CO picture

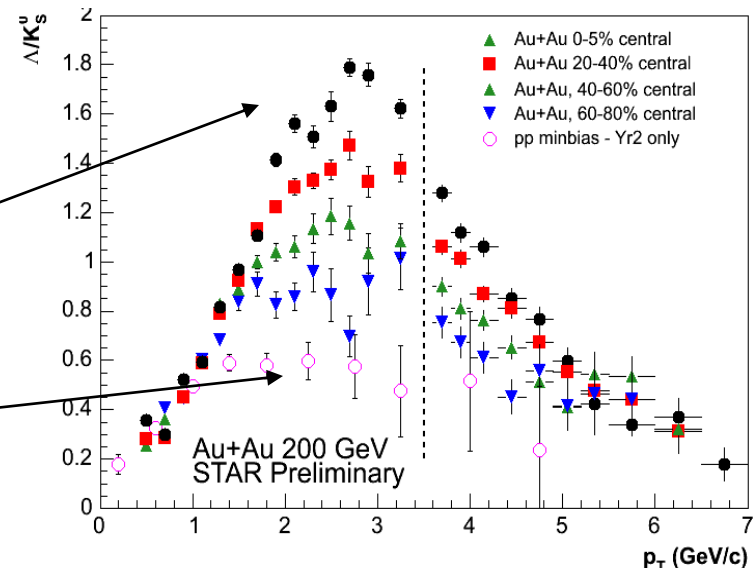
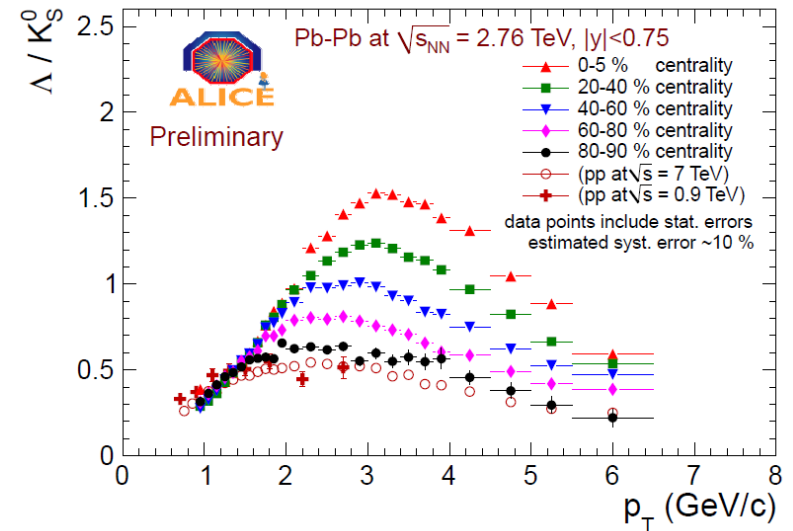


# Evidence at RHIC & LHC: confounding result (more baryons than mesons at particular momentum) can be attributed to recombination



Recombination  
in medium

Fragmentation  
in vacuum

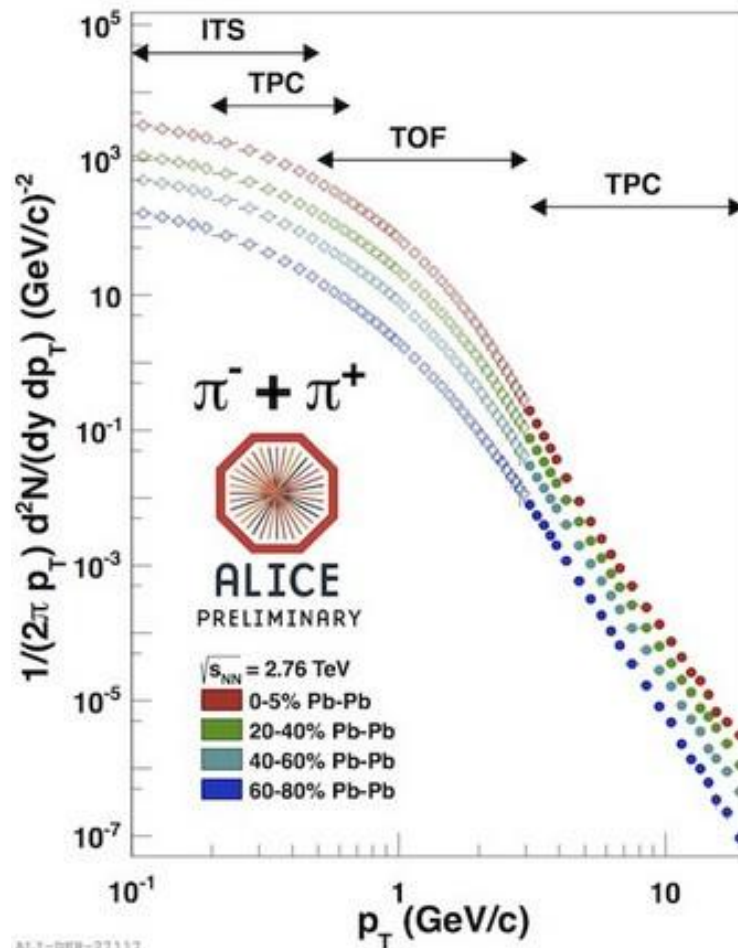


# Lecture 2, Part 2: Spectra

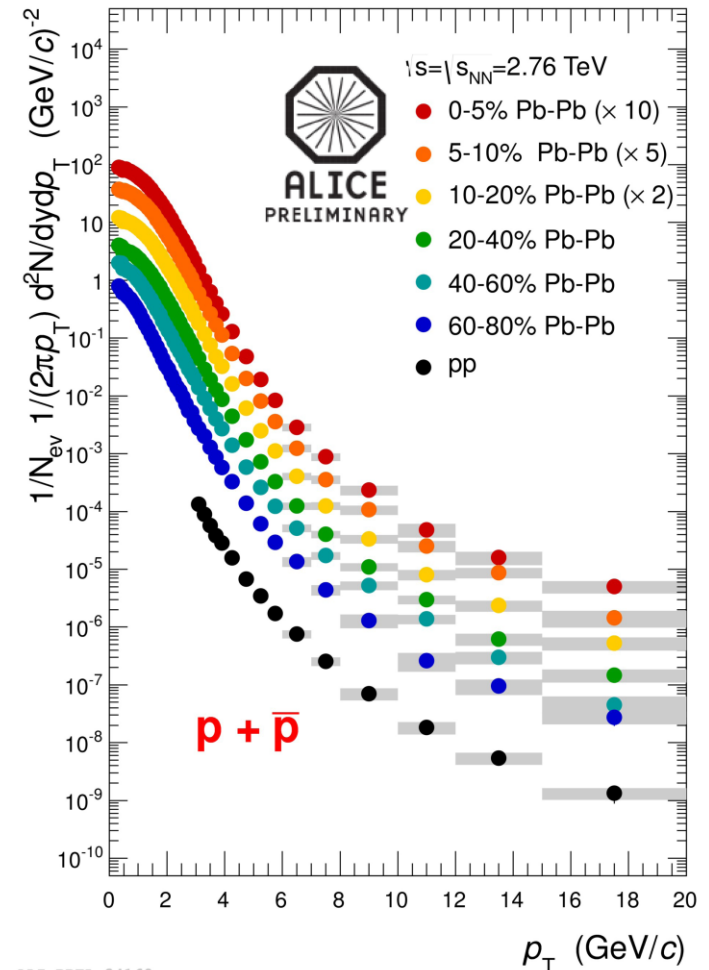


# Identified particle spectra :

$p, \bar{p}, K^-, ^+, \pi^-, ^+, K_s^0, \Lambda, \Xi, \Omega, \phi, K^*, \rho$ , etc.

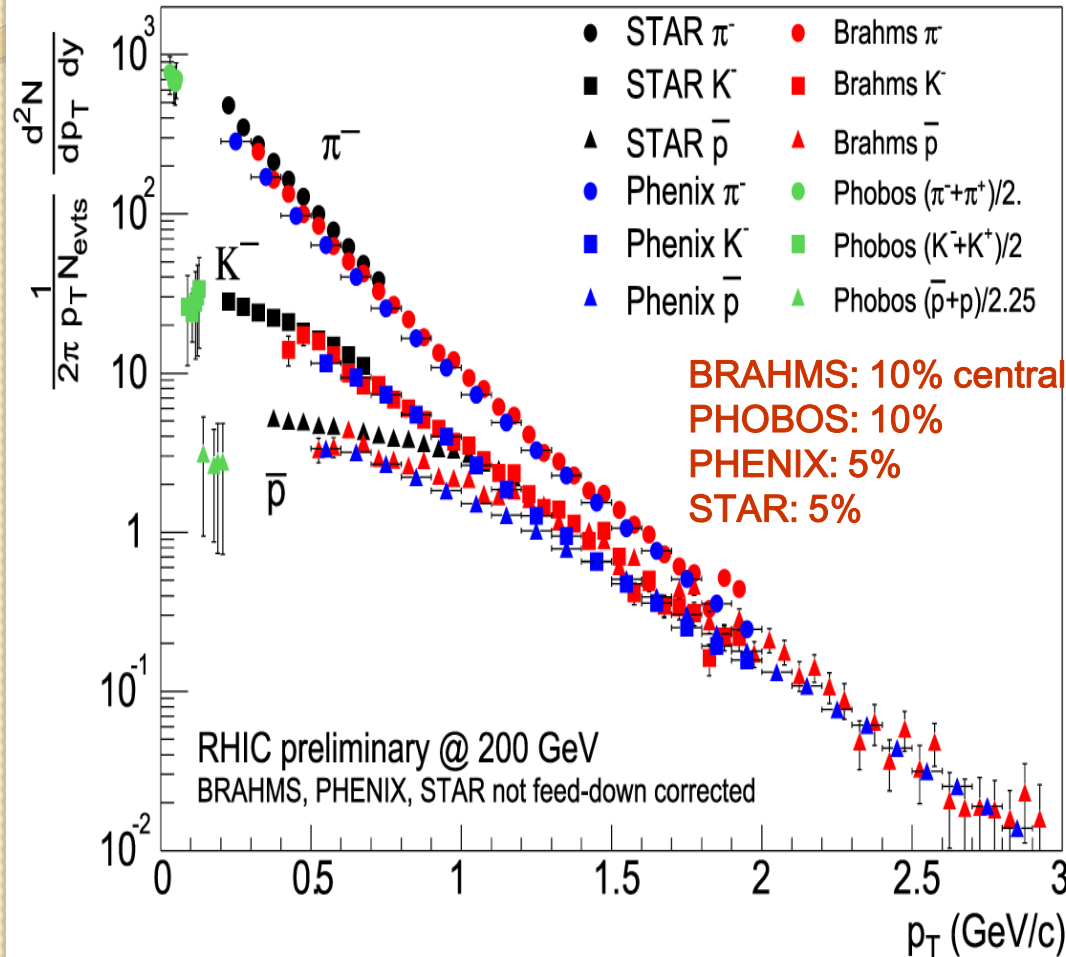


ALI-DHR-27117



ALI-PREL-34169

# Identified Particle Spectra for Au-Au @ 200 GeV



- The spectral shape gives us:
  - Kinetic freeze-out temperatures
  - Transverse flow
- The stronger the flow the less appropriate are simple exponential fits:
  - Hydrodynamic models (e.g. Heinz et al., Shuryak et al.)
  - Hydro-like parameters (Blastwave)
- Blastwave parameterization e.g.:
  - E.Schnedermann et al, PRC48 (1993) 2462

**Explains: spectra,  
flow & HBT**



# “Thermal” Spectra

Invariant spectrum of particles radiated by a thermal source:

$$E \frac{d^3 N}{dp^3} = \frac{dN}{dy m_T dm_T d\phi} \propto E e^{-(E-\mu)/T}$$

where:  $m_T = (m^2 + p_T^2)^{1/2}$  transverse mass (requires knowledge of mass)  
 $\mu = b \mu_b + s \mu_s$  grand canonical chem. potential  
 $T$  temperature of source

Neglect quantum statistics (small effect) and integrating over rapidity gives:

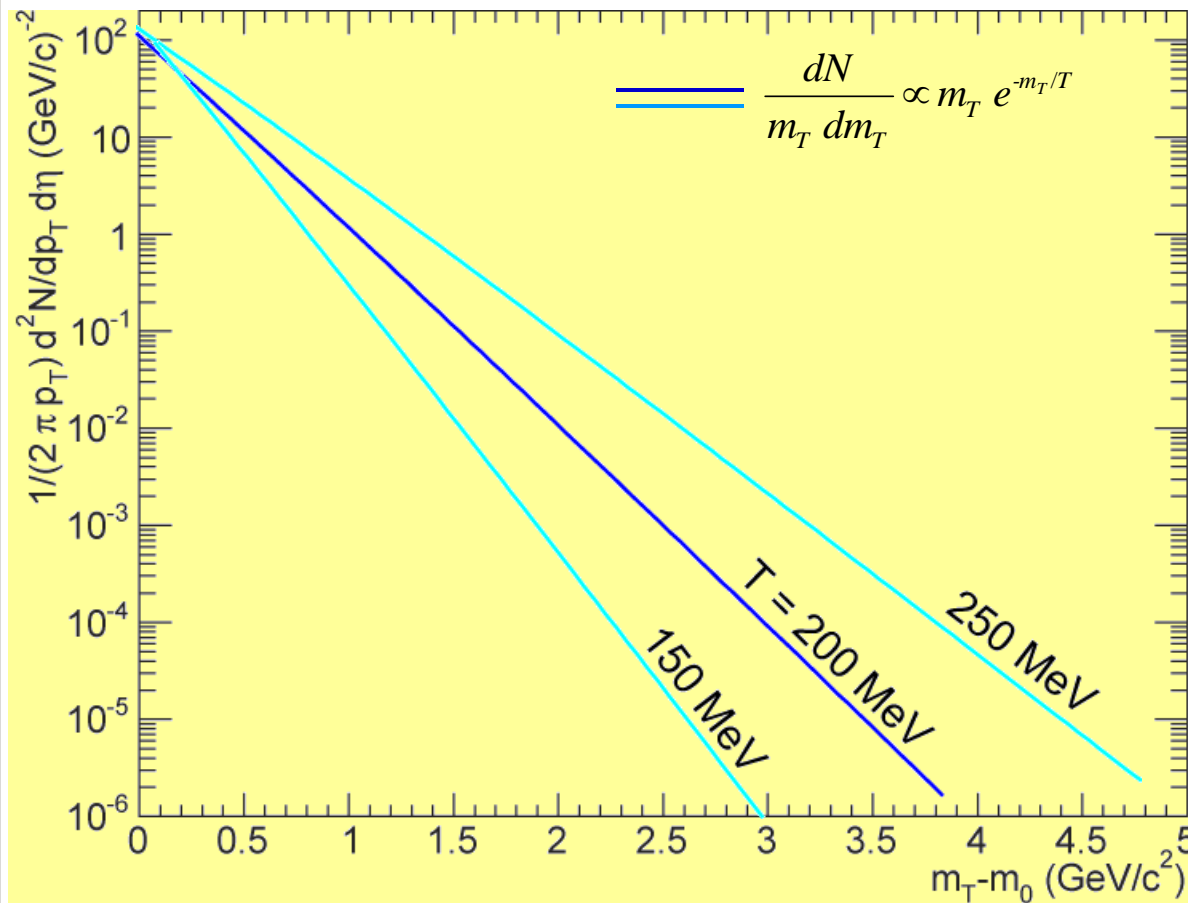
$$\frac{dN}{m_T dm_T} \propto m_T K_1(m_T/T) \xrightarrow{m_T \gg T} \sqrt{m_T} e^{-m_T/T}$$

*R. Hagedorn, Supplemento al Nuovo Cimento Vol. III, No.2 (1965)*

At mid-rapidity  $E = m_T \cosh y = m_T$  and hence:  $\frac{dN}{m_T dm_T} \propto m_T e^{-m_T/T}$   
**“Boltzmann”**



# “Thermal” Spectra (radial flow aside)



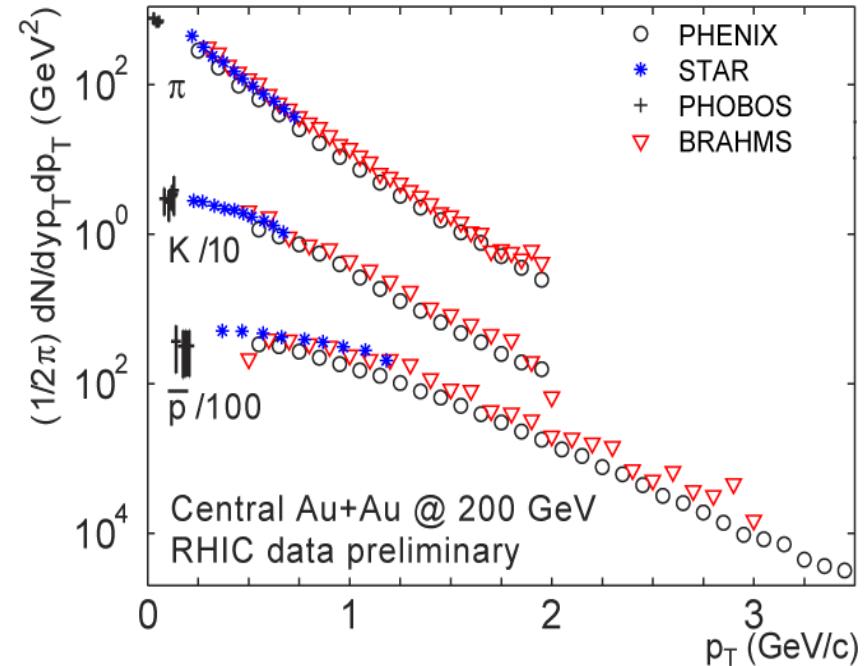
Describes many spectra well over several orders of magnitude with almost uniform slope  $1/T$

- usually fails at low- $p_T$  ( $\Rightarrow$  flow)
- most certainly will fail at high- $p_T$  ( $\Rightarrow$  power-law)

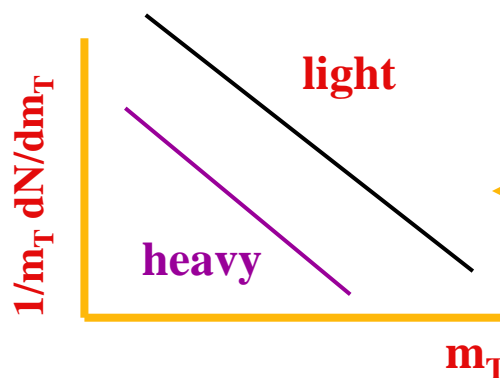
**N.B.** Constituent quark and parton recombination models yield exponential spectra with partons following a pQCD power-law distribution. (Biro, Müller, [hep-ph/0309052](#))  $\Rightarrow$  in this case  $T$  is not related to actual “temperature” but reflects pQCD parameter  $p_0$  and  $n$  (similar to Tsallis function).

# “Thermal” spectra and radial expansion (flow)

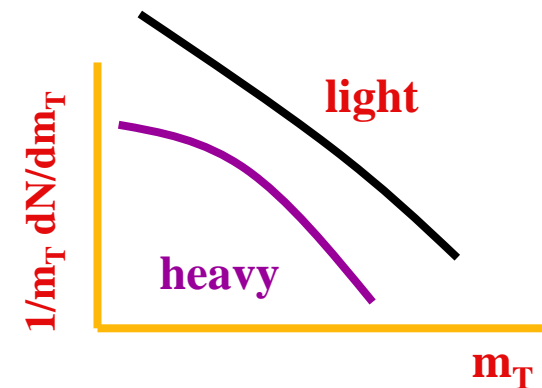
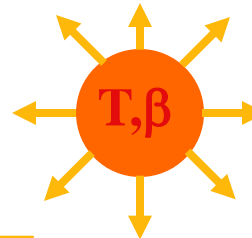
- Different spectral shapes for particles of differing mass  
→ strong collective radial flow
- Spectral shape is determined by more than a simple  $T$   
→ at a minimum  $T, \beta_T$



purely thermal  
source



explosive  
source

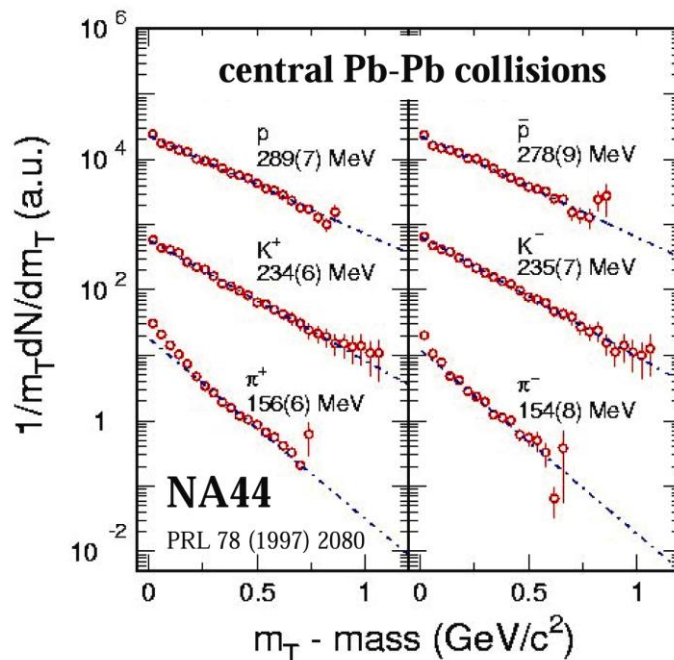


# Thermal + Flow: “Traditional” Approach

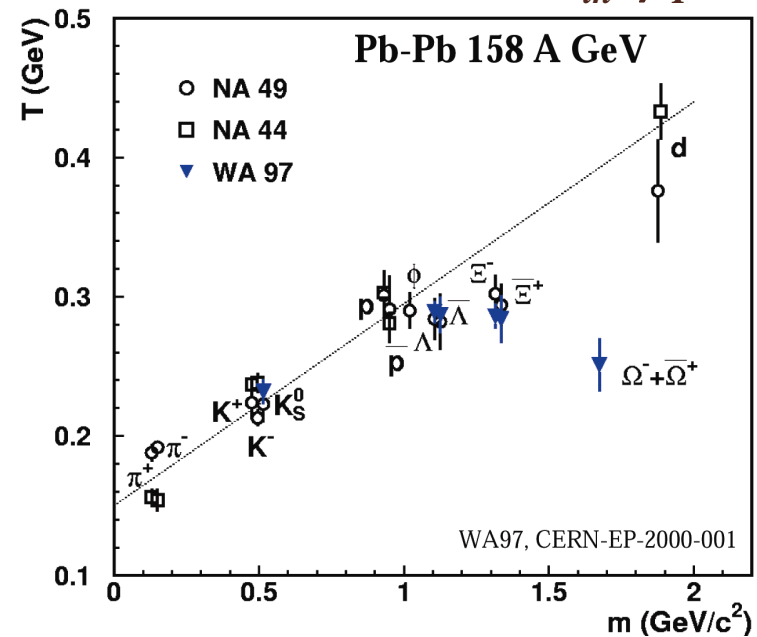
$$T_{\text{measured}} = \begin{cases} T_{\text{th}} + m \langle \beta_T \rangle^2 & \text{for } p_T \leq m \\ T_{\text{th}} \sqrt{\frac{1 + \langle \beta_T \rangle}{1 - \langle \beta_T \rangle}} & \text{for } p_T \gg m \quad (\text{blue shift}) \end{cases}$$

Assume *common* flow pattern and *common* temperature  $T_{\text{th}}$

## 1. Fit Data $\Rightarrow T$



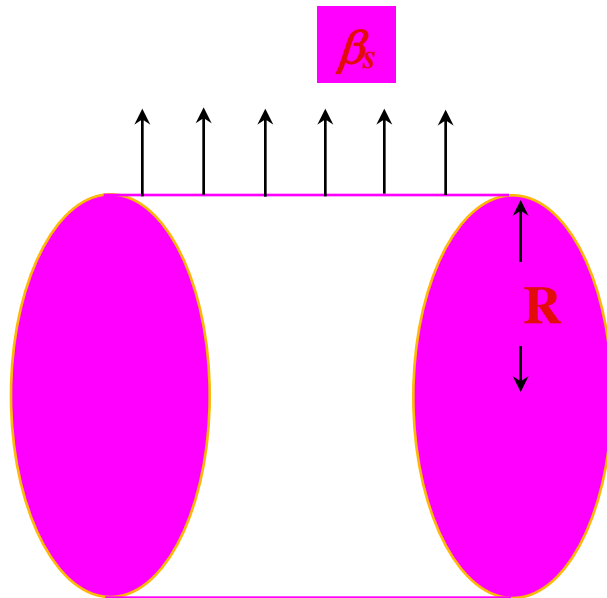
## 2. Plot $T(m) \Rightarrow T_{\text{th}}, \beta_T$



$\beta$  is the transverse expansion velocity. 2<sup>nd</sup> term = KE term ( $\frac{1}{2} m \beta^2$ )  $\rightarrow$  common  $T_{\text{th}}, \beta$ .

# Blastwave: a hydro inspired description of spectra

Spectrum of longitudinal and transverse boosted thermal source:



*Ref. : Schnedermann, Sollfrank & Heinz,  
PRC48 (1993) 2462*

Static Freeze-out picture,  
No dynamical evolution to freeze-out

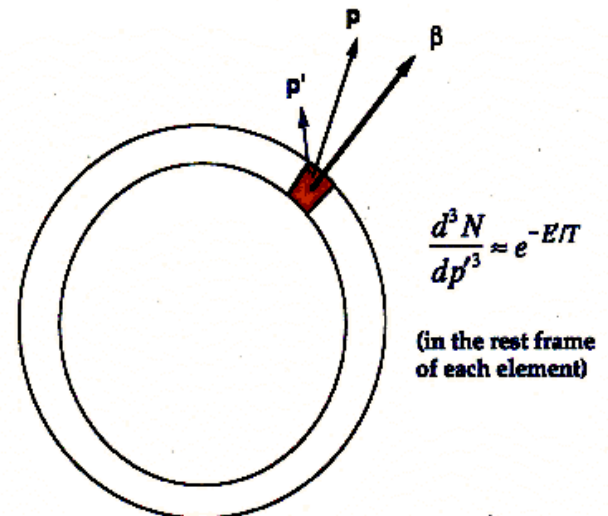
$$\frac{dN}{m_T dm_T} \propto \int_0^R r dr m_T I_0 \left( \frac{p_T \sinh \rho}{T} \right) K_1 \left( \frac{m_T \cosh \rho}{T} \right)$$

with

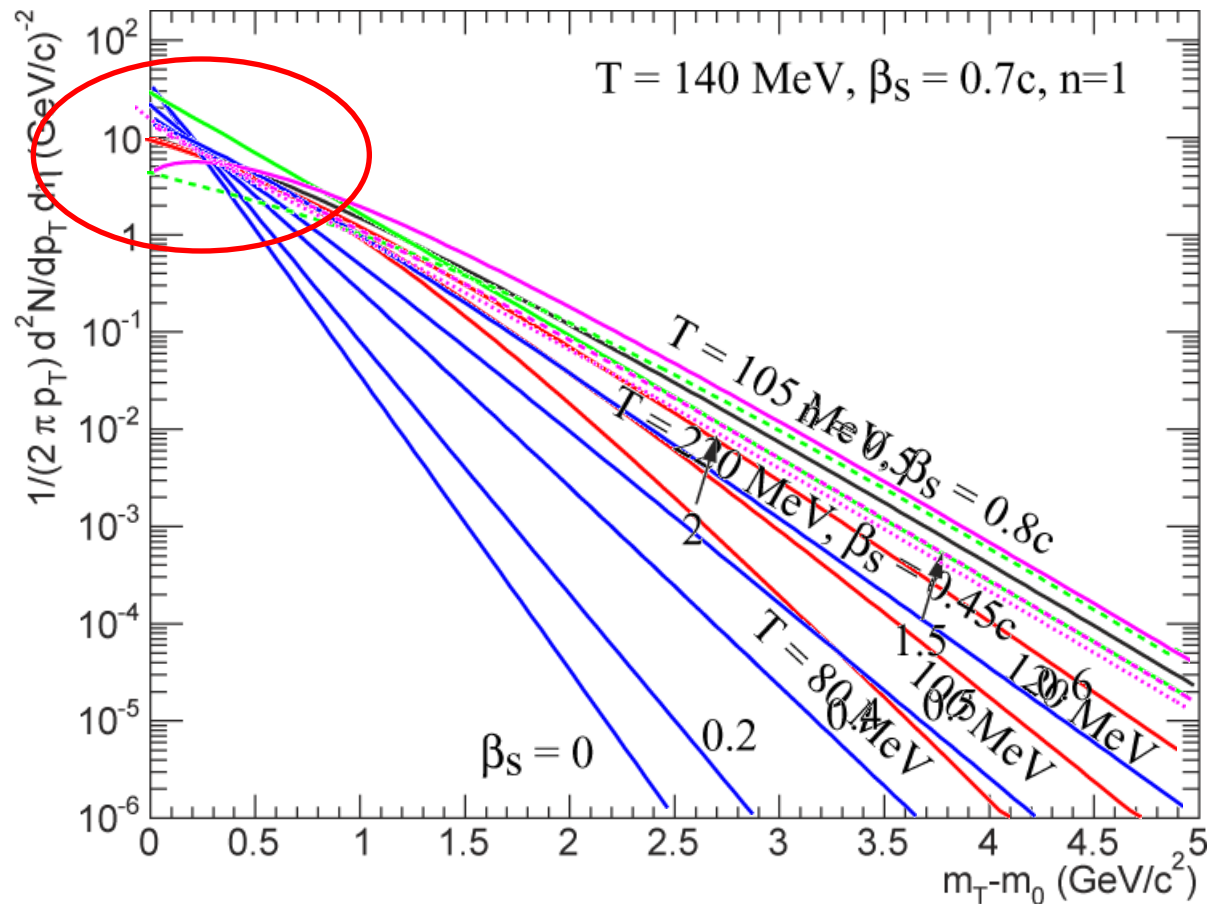
transverse velocity distribution  $\beta_r(r) = \beta_s \left( \frac{r}{R} \right)^n$

and boost angle (boost rapidity)  $\rho = \tanh^{-1} \beta_r$

## Emission from a Thermal Expanding Source



# The Blastwave Function

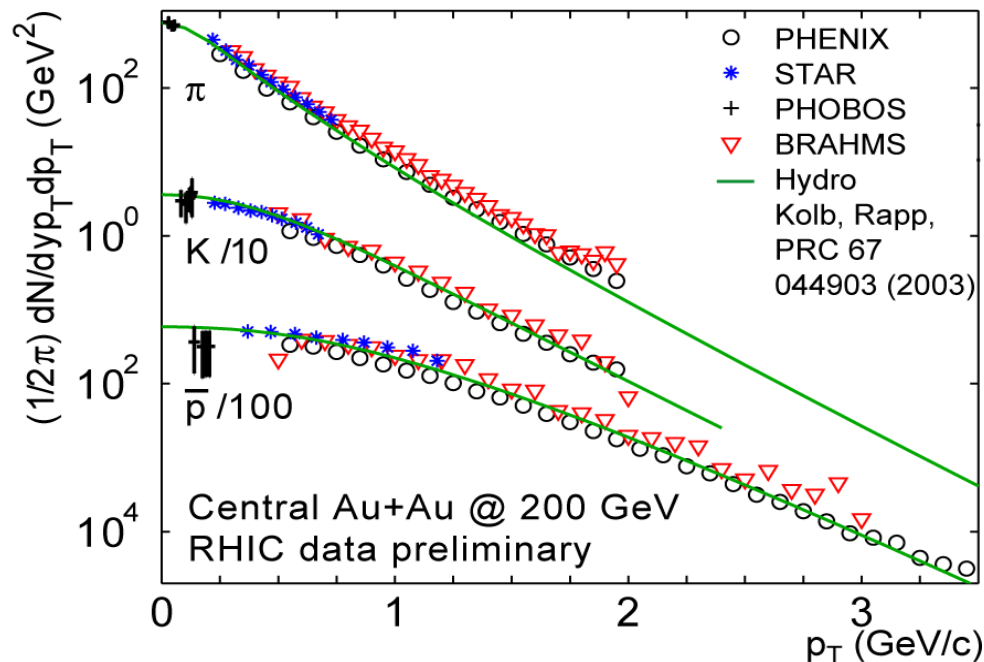


- Increasing  $T$  has similar effect on a spectrum as increasing  $\beta_s$ 
  - Flow profile ( $n$ ) matters at lower  $m_T$ !
  - Need high quality data down to low- $m_T$

# Hydrodynamics in High-Density Scenarios

- Assumes local thermal equilibrium (zero mean-free-path limit) and solves equations of motion (energy momentum tensor) for fluid elements (not particles)
- Equations given by continuity, conservation laws, and lattice QCD Equation of State (EOS)

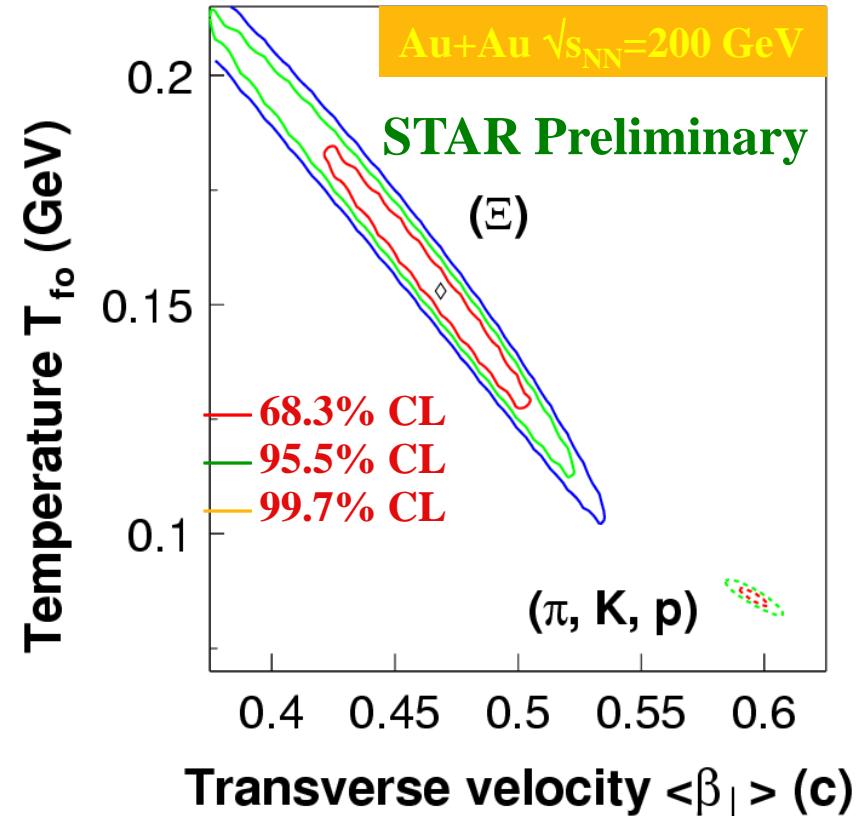
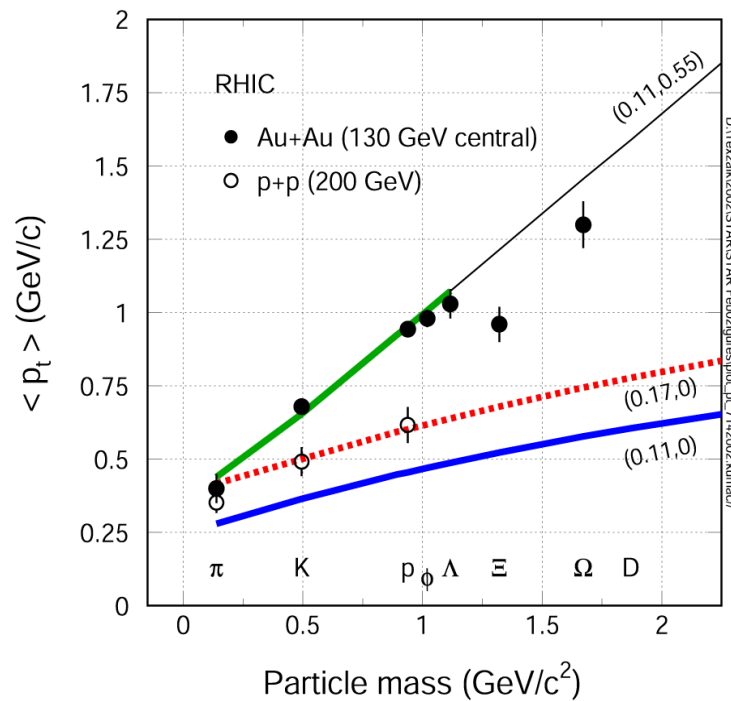
*Kolb, Sollfrank  
& Heinz,  
hep-ph/0006129*



**Does well with spectra**

$T_{th} \sim 100$  MeV,  
 $\langle \beta_T \rangle \sim 0.55$  c

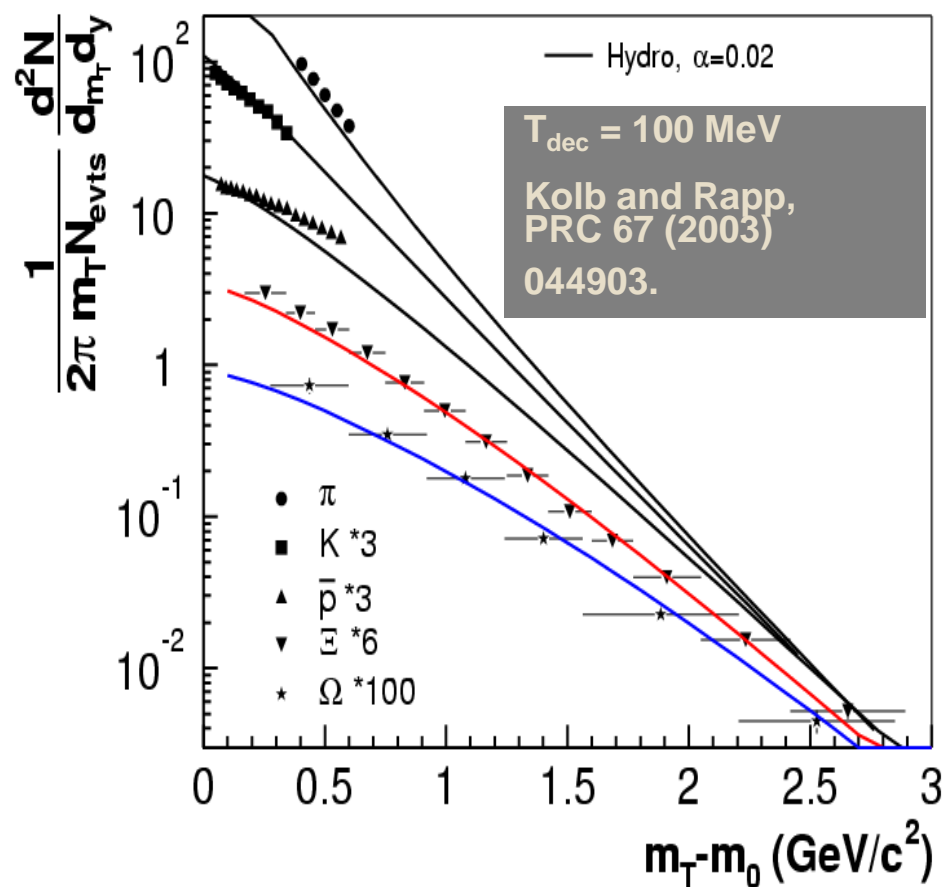
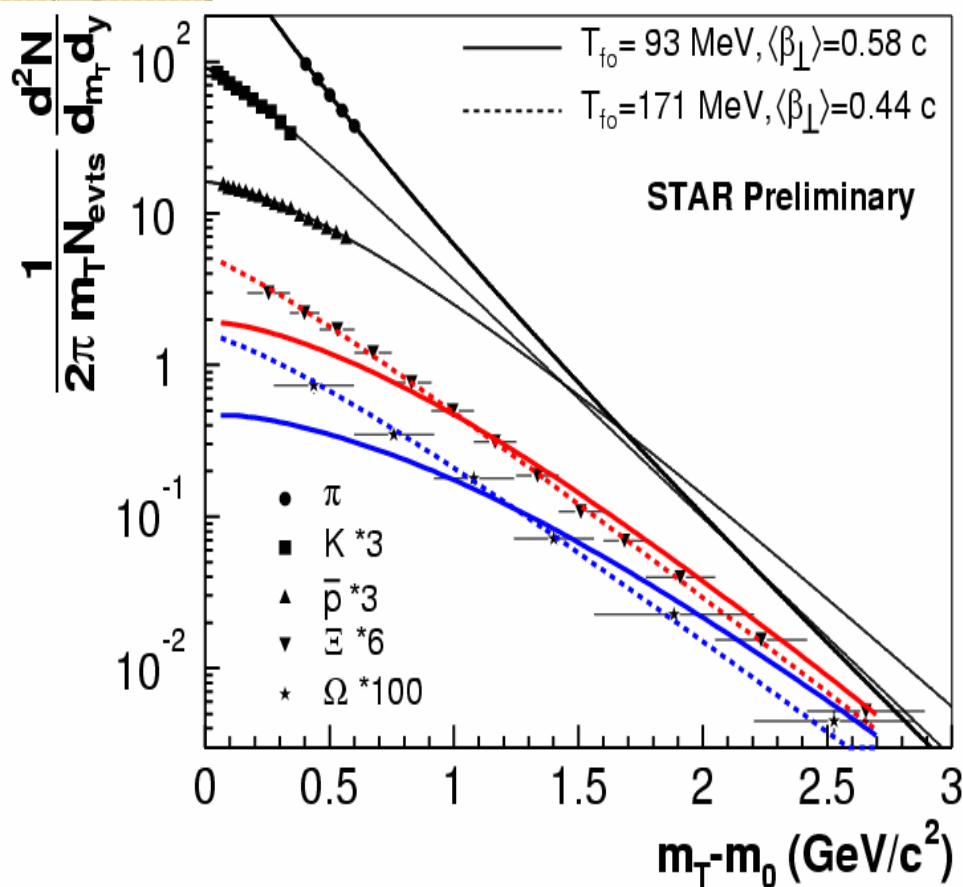
# Strange baryons show deviations in basic thermal parametrization



- $\pi$ , K, p: Common thermal freeze-out at  $T \sim 90$  MeV and  $\langle \beta_{\perp} \rangle \sim 0.60$  c
- $\Xi$ ,  $\Omega$ : different thermal freeze-out at higher  $T$  and lower  $\beta$
- less hadronic re-interaction earlier freeze-out (close to  $T_{ch}$ )



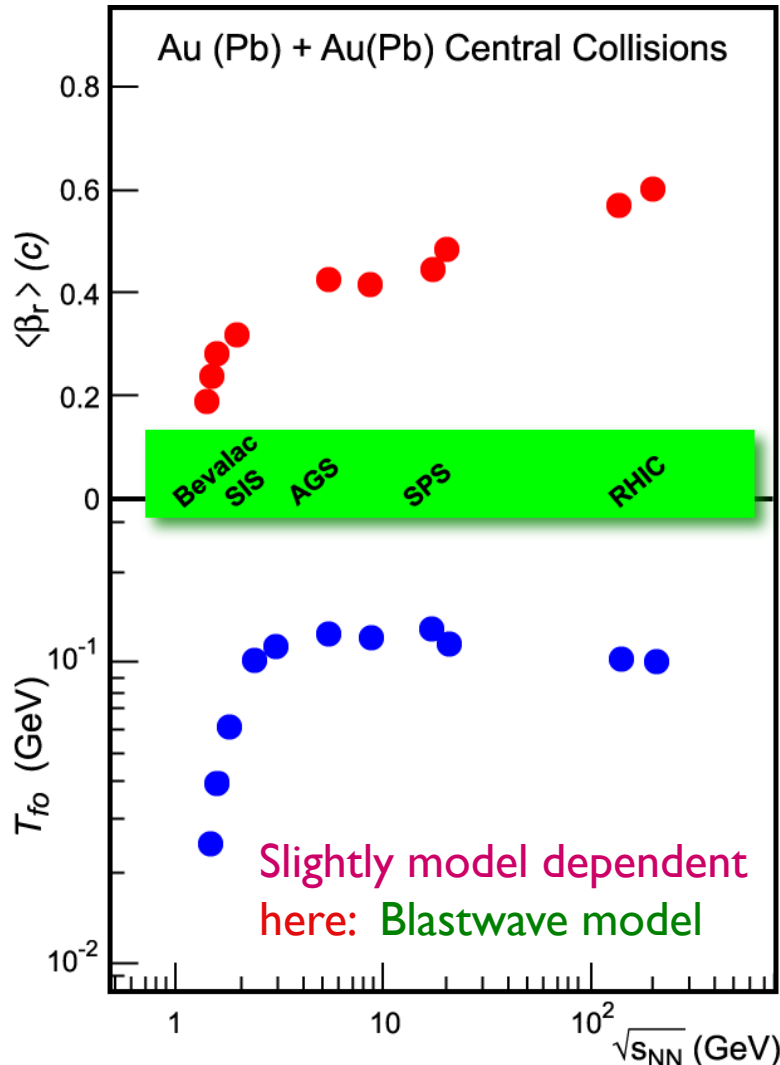
# Blastwave vs. Hydrodynamics



**Mike Lisa (QM04): Use it don't abuse it ! Only use a static parametrization when the dynamic model doesn't work !!**



# Collective Radial Expansion

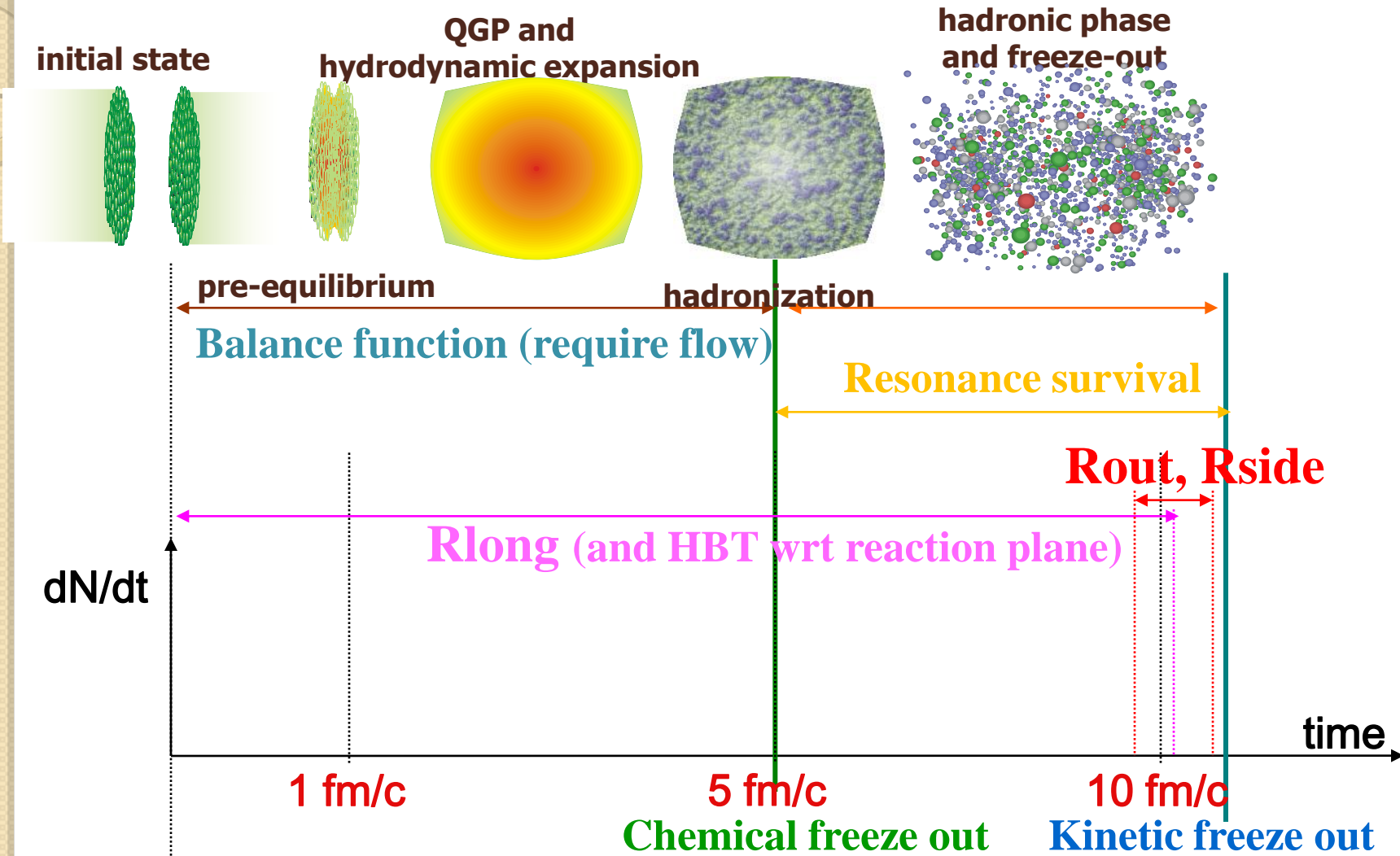


**From fits to  $\pi$ , K, p spectra:**

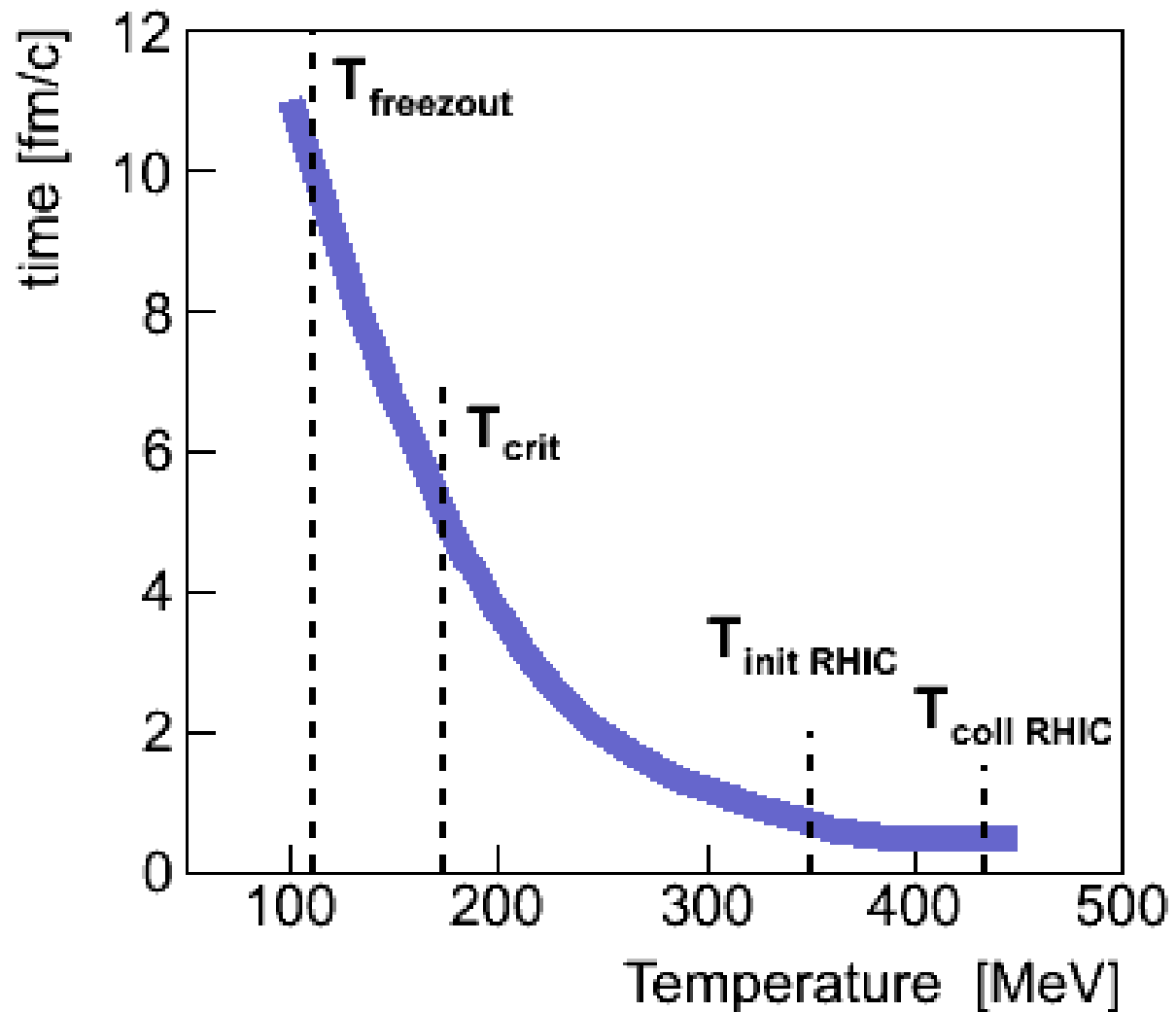
- $\langle \beta_r \rangle$ 
  - increases continuously
- $T_{th}$ 
  - saturates around AGS energy

**Strong collective radial expansion at RHIC**  
 $\Rightarrow$  high pressure  
 $\Rightarrow$  high rescattering rate  
 $\Rightarrow$  Thermalization *likely*

# Summary of basic PID observables



# Time vs. Temperature



# Evolution of a RHIC heavy ion collision

(as a function of temperature and time)

**Model:**

**IQCD**

**SHM**

**Blastwave**

**Effect:**

hadronization

chemical f.o.

kinetic f.o.

**Freeze-out surface:**  $T_{\text{crit}}$

$T_{\text{ch}}$

$T_{\text{kin}}(\Xi, \Omega)$

$T_{\text{kin}}(\pi, k, p, \Lambda)$

**Temperature (MeV):** 160

160

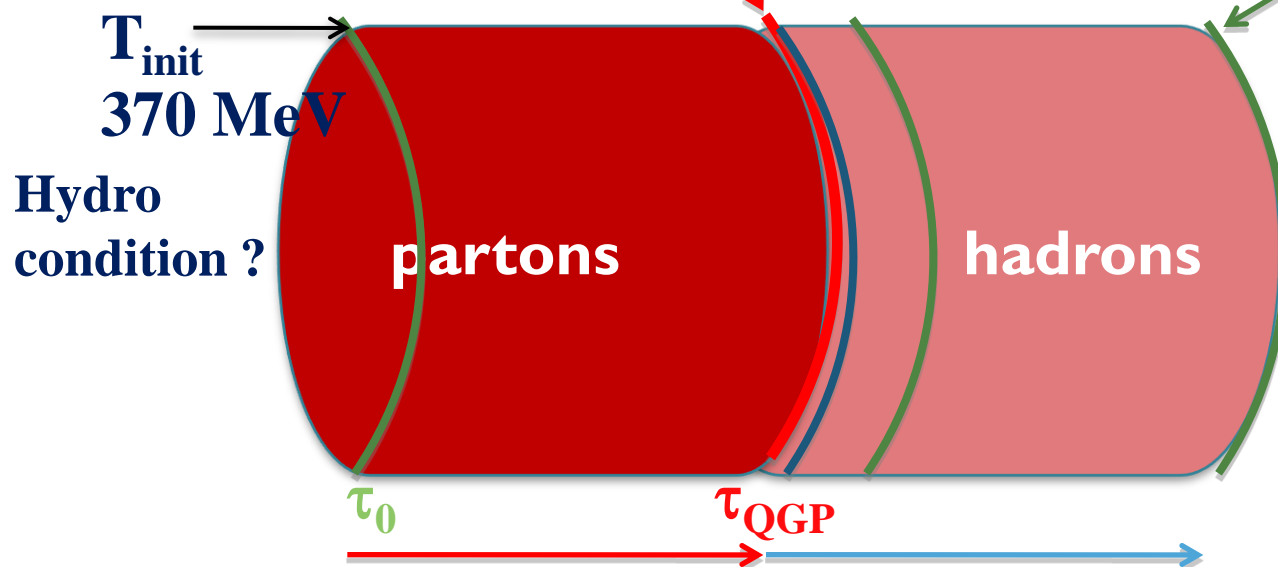
150

80

**Expansion velocity (c):**

$\beta=0.45$

$\beta=0.6$



**References:**

**Lattice QCD:**

arXiv:1005.3508

arXiv:1107.5027

**Statistical**

**Hadronization:**

hep-ph/0511094

nucl-th/0511071

**Blastwave:**

nucl-ex/0307024

arXiv:0808.2041

**Exp.: time:**  $\sim 5$  fm/c

$\sim 5$  fm/c

(STAR, PRL 97:132301,(2006))